# Bonhams



Fine & Rare Wine and Whisky



# Fine & Rare Wines and Whisky

Hong Kong | Friday 18 November 2022 at 11am

#### **BONHAMS (HONG KONG) LTD**

Bonhams Hong Kong Gallery Suite 2001, One Pacific Place Admiralty, Hong Kong www.bonhams.com/hongkong

#### **BIDS**

+852 2918 4321 +852 2918 4320 fax To bid via the internet, please visit www.bonhams.com

Please note that bids should be submitted no later than Thursday 17 November. New bidders must also provide proof of identity and address when submitting bids. Failure to do this may result in your bids not being processed. Bidders of accepted bids will receive a Bid Confirmation.

#### **SALE NUMBER**

27735

#### **ILLUSTRATIONS**

Front cover: 468

#### VIEWING HONG KONG

Bonhams' operations and facilities are currently subject to government restrictions and arrangements may be subject to change. In accordance with Covid-19 guidelines, lots will be made available for in-person viewing by appointment only on the following dates:

15 - 17 November, 10am to 5pm

#### **ENQUIRIES**

Hugo Spencer +852 2245 3714 hugo.spencer@bonhams.com

Katherine Wong +852 2245 3716 katherine.wong@bonhams.com

#### LONDON

Richard Harvey M.W +44 (0) 20 7468 5811 richard.harvey@bonhams.com

Diego Lanza +44 (0) 20 7468 5804 diego.lanza@bonhams.com

#### **EDINBURGH (WHISKY)**

Martin Green +44 (0) 7775 842 686 martin.green@bonhams.com

#### US

wine.us@bonhams.com

#### **TAIWAN**

Bobbie Hu +886 2 2757 7070 taiwan@bonhams.com

#### **CHINA - BEIJING**

Vivian Zhang +86 138 1040 9035 vivian.zhang@bonhams.com

#### **CHINA - SHANGHAI**

Wang Jie +86 1390 1792358 wang.iie@bonhams.com

#### **JAPAN**

Kimiko Tominaga +81 (0) 3 5532 8636 kimiko.tominaga@bonhams.com

#### SINGAPORE

Bernadette Rankine +65 6701 8038 bernadette.rankine@bonhams.com

#### **BIDS**

#### Bid online/APP

Register to bid online by visiting www.bonhams.com/27735



Bid through the app. Download now for android and iOS

#### - Bid by telephone/absentee

We require a completed Bidder Registration Form returned by email to bids@bonhams.com.

The form can be found at the back of every catalogue and on our website at www.bonhams.

Please note we cannot guarantee bids within 24 hours of the sale.

#### - Bid in person

You can pre-register online at Bonhams.com or obtain a paddle at our Registration Desk.

#### - New Bidders

You must provide proof of identity when submitting bids. A copy of a government- issued photo identification (driving licence or passport) showing your full name and date of birth, and, if not shown on the ID document, proof of your current address (utility bill or bank statement).

For company account or other entities, please contact us in relation to the documents you will need to provide.

Failure to do this may result in your bids not being processed.

For all other enquiries, contact our Client Services department on:

+852 2918 4321 or info.hk@bonhams.com

#### **PAYMENT**

For an overview of the payment process, please refer to Clause 9 of the NOTICE TO BIDDERS section at the back of this catalogue.

Please see back of catalogue for Notice to Bidders

#### **CUSTOMER SERVICES**

Monday to Friday 9am to 6pm +852 2918 4321

拍賣品之狀況

請注意:本目錄並無説明任何拍賣品之狀況。按照本目錄後部份所載之「競投人通告第15條」,準買家必須於拍賣前親自確定拍賣品之狀況。 純為方便準買家,本公司如在拍賣開始前24小時如24小時如24小時次了第一次報告,狀況報告,此說報告,以報告,該報告告同時可於本公司網頁下載。該報告是依據「競投人通告」之「附錄二第1.6條」提供。

## PHYSICAL CONDITION OF LOTS IN THIS AUCTION

PLEASE NOTE THAT THERE IS NO REFERENCE IN THIS CATALOGUE TO THE PHYSICAL CONDITION OF ANY LOT. INTENDING BIDDERS MUST SATISFY THEMSELVES AS TO THE CONDITION OF ANY LOTS AS SPECIFIED IN CLAUSE 15 OF THE NOTICE TO BIDDERS CONTAINED AT THE END OF THIS CATALOGUE.

As a courtesy to intending bidders, Bonhams will provide a written indication of the physical condition of lots in this sale if a request is received up to 24 hours before the auction starts. Such report is also available for download from Bonhams website. This written indication is issued subject to Clause 1.6 of Appendix 2 to the Notice to Bidders.

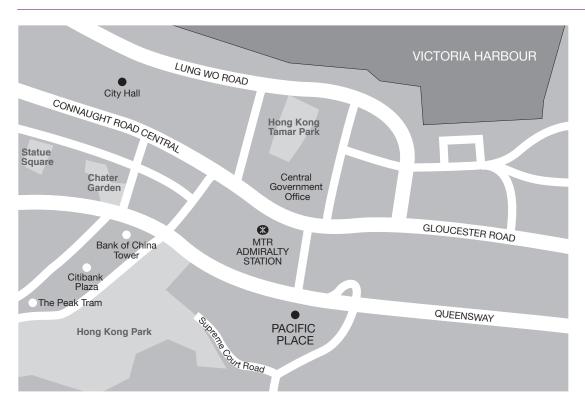
## REGISTRATION IMPORTANT NOTICE

Please note that all customers irrespective of any previous activity with Bonhams, are required to complete the Bidder Registration Form in advance of the sale. The form can be found at the back of every catalogue and on our website at www.bonhams.com and should be returned by email or post to the specialist department or to the bids department at info.hk@bonhams.com

To bid live online and / or leave internet bids please go to www.bonhams.com/ auctions/27735 and click on the Register to bid link at the top left of the page.

Bonhams (Hong Kong) Ltd. Trading Office Suite 2001, One Pacific Place 88 Queensway Admiralty Hong Kong

# Sale Information for Buyers and Sellers



#### **BONHAMS HONG KONG GALLERY**

Suite 2001, One Pacific Place Admiralty, Hong Kong +852 2918 4321 +852 2918 4320 fax

Nearest MTR location: Admiralty

Sale information for Buyers and Sellers after Sale Collection

Mr Bryan Yem Crown Wine Cellars contact: 1/F. Crown Data Centre 1 6 Kin Fung Circuit Tuen Mun, Hong Kong Tel: 2453 3530 Fax: 2453 3350

Email: byem@crownww.com

All tasting notes attributed from Robert Parker's Wine Advocate (WA), Allen Meadows's Burghound (AM), Michael Broadbent's Vintage Wine Book (MB) and Jancis Robinson (JR)

#### AFTER SALE COLLECTION: WINE

Crown Wine Cellars will store all purchases for a month after the sale at no additional charge.

All sold lots not cleared by 18 December 2022 will accrue storage fees charged by CWC.

Buyers wishing to store their wines with CWC must submit a registration and autopay application to CWC Ltd by 18 December 2022.

All buyers failing to submit a registration and autopay application to CWC Ltd in hard copy by 18 December 2022 will be charged HK\$60 per case or HK\$30 per half case or outsized bottle per month or part thereof, inclusive of comprehensive insurance as of 18 December 2022. These charges will only cease once the required forms are received and the storage option specified, or after the wines have been collected from CWC Ltd.

All requests for collection from CWC Ltd must be made 7 working days before the requested collection date. Upon receipt of a delivery request deliveries will take place a minimum of 7 working days thereafter.

Post-sale inspections of wine are available at a rate of HK\$50 per case.

A photo service is available at a rate of HK\$10 per bottle, with a minimum charge of HK\$120

## AFTER SALE COLLECTION: WHISKY AND COGNAC

All sold lots are to be collected at the Bonhams Hong Kong office, Suite 2001, One Pacific Place, Hong Kong, Tel: +852 2918 4321.

Lots collection will be available from 24 November 2022, Thursday. Please email winehk@bonhams.com to arrange an appointment for collection. The office is open from 9.30am to 6pm, Monday to Friday.

From 18 December 2022 onward, all sold lots are to be collected at address below, and storage charge will apply at HKD 50 per bottle per month.

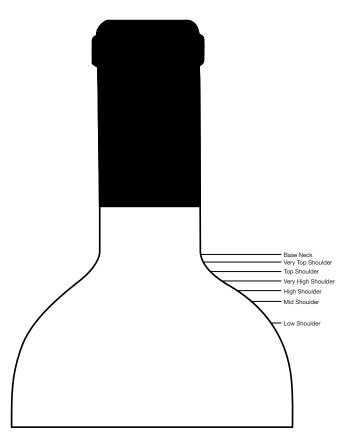
Unit 303, Kerry Cargo Center, 55 Wing Kei Road, Kwai Chung, Hong Kong.

#### **Shipping**

Buyers are asked to contact Bonhams Hong Kong in advance regarding collection of property procedures and related fees for shipping.

## WHISKY AND COGNAC ADDITIONAL INFORMATION

As noted in Condition of Sale, many countries and other jurisdictions prohibit the importation, or limit the quantity of



#### Case Terms

ocb	original cardboard box
ogb	original gift box
OWC	original wooden case

alcoholic beverages entering such jurisdiction, and some jurisdictions require the purchaser, seller and/or shipper to obtain certain permits or licenses prior thereto. It is the purchaser's sole responsibility to determine whether any such restriction, limitation or prohibitions are applicable prior to bidding, to obtain an required permits or licenses, and to comply with all of such jurisdiction's requirements.

#### **PAYMENT**

Purchases can only be released when full settlement (inclusive of all charges) of all invoices issued to the buyer is received in cleared funds. If you are a successful Bidder, payment will be due to be made to us by 4:30pm on the second working day after the Sale so that all sums are cleared by the seventh working day after the Sale. This applies even if the buyer wishes to export the lot and an export license is (or may be) required. Before bidding you should ensure that you have the necessary funds available and be able to pay according to one of the methods set out below. All cheques should be made payable to Bonhams (Hong Kong) Ltd - Client A/C. Unless agreed by us in advance payments made by anyone other than the registered buyer will not be accepted. We accept the following methods of payment:

Hong Kong dollar personal cheque drawn on a Hong Kong branch of a bank: all cheques must be cleared before you can collect your purchases:

Cash: you may pay for Lots purchased by you at this Sale with notes, coins or travellers cheques in the currency in which the Sale is conducted (but not any other currency) provided that the total amount payable by you in respect of all Lots purchased by you at the Sale does not exceed HK\$80,000. If the amount payable by you for Lots exceeds that sum, the balance must be paid otherwise than in coins, notes or travellers cheques;

**Bank Transfer:** you may electronically transfer funds to our Client Account. If you do so, please quote your paddle number and invoice number as the reference.

#### Our Client Account details are as follows:

#### **Account Name**

Bonhams (Hong Kong) Ltd - Client A/C

#### **Account Number**

808-870174-001

#### Bank Name

**HSBC** 

#### **Bank Address**

Head Office 1 Queens Road Central Hong Kong

#### Swift Code

**HSBCHKHHHKH** 

If paying by bank transfer, the amount received after the deduction of any bank fees and/or conversion of the currency of payment to Hong Kong dollars must not be less than the Hong Kong dollars amount payable, as set out on the invoice.

**Debit cards issued by a Hong Kong Bank:** there is no additional charge for purchases made with these cards;

**Credit cards:** American Express, Visa and Mastercard only. There is a HK\$200,000 limit on payment value if payment is made in person.

Payment by telephone may also be accepted up to HK\$50,000, subject to appropriate verification procedures, although this facility is not available for first time buyers. If the amount payable by you for Lots exceeds that sum, the balance must be paid by other means.

## China UnionPay (CUP) debit cards:

There is no additional charge for purchases made with these cards.

It maybe advisable to notify your card provider of your intended purchase in advance to reduce delays caused by us having to seek authority when you come to pay. If you have any questions with regard to payment, please contact our Customer Services Department.

# 買家及賣家資訊



香港邦瀚斯藝術廊 金鐘太古廣場一期2001室 電話:+852 2918 4321 傳真:+852 2918 4320

從金鐘地鐵站步行可至

買家及賣家於拍賣後領取拍賣品的資料 Mr Bryan Yem

皇冠酒窖的聯絡方法: 香港屯門建豐街6號 嘉栢中心一期一樓 電話:2453 3530 傳真:2453 3350

Email: byem@crownww.com

所有酒評撰錄自Robert Parker的《葡萄酒倡導家》(WA)、Allen Meadows的Burghound.com(AM)、Michael Broadbent的《葡萄酒年份全書》(MB)及Jancis Robinson的評論(JR)。

#### 拍賣後領取葡萄酒及清酒

皇冠酒窖會在拍賣會後,免費為買家儲存所購葡萄酒一個月。

所有已售的拍賣品若於2022年12月18日前還不領取,皇冠酒窖會向 買家收取儲存費。

買家如欲委託皇冠酒窖儲存葡萄酒,必須於2022年12月18日之前,向皇冠酒窖提交登記及自動轉賬申請表。

所有買家若不能於2022年12月18日前,向皇冠酒窖遞交紙版的登記及自動轉賬申請表,每箱酒將會收取每月HK\$60的儲存費,半箱酒或特大瓶裝的費用則為每月HK\$30,少於半箱的亦作半箱計算;該等費用已包括自2022年12月18日起計算的綜合保險費。當所需申請表已向皇冠酒窖遞交,並訂明了葡萄酒的儲存方式,或者葡萄酒已從皇冠酒窖領走,該等費用才將停止收取。

所有要從皇冠酒窖領酒的申請,必須於領取日前7個工作天提出。當 收到領取申請後,拍賣品的發放將於最少7個工作天後進行。

拍賣後檢查服務的收費為每箱酒HK\$50。

拍照服務的收費為每瓶酒HK\$10,每次最低消費為HK\$120。

#### 拍賣後領取威士忌及干邑

所有已售的拍賣品可於2022年11月24日星期四上午10時後,於邦瀚 斯香港辦公室內領取。

地址:香港金鐘道88號太古廣場一座2001室

電話: +852 2918 4321.

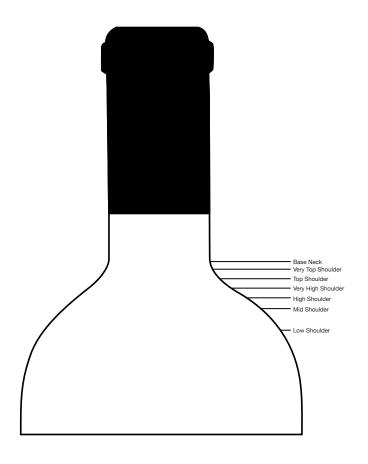
領酒前敬請以電郵至winehk@bonhams.com預約。辦公時間為星期一 至五,上午9時30分至下午6時。

由2022年12月18日上午10時起,將於以下地址提貨。 翔輝運輸有限公司香港葵涌永基路五十五號 嘉里貨運中心303室 每瓶威士忌/干邑,將收取每月HK\$50的儲存費。

買家如需海外付運威士忌/干邑,請預先向香港邦瀚斯查詢。

#### 威士忌及干邑的其他資料

如〈拍賣規則〉所述,很多國家及司法管轄區禁止進口酒類,或者限 制進口酒類的數量,某些司法管轄區則需要買家、賣家及/或運輸商 預先申領特定的許可證或執照。買家須自行負責於競投前了解該等限 制或禁止進口酒類是否正在實施,申領所需的許可證或執照,以及遵 從該等司法管轄區的要求。



#### 付款

買家須將成功所購得之全部拍賣品的總額悉數付清後(包括所有費用) 才可提取拍賣品。為確保拍賣會後七個工作天內所有款項可全部過賬,請 於拍賣會後第二個工作天的下午四點半前付款,如買家希望把所購得之 拍賣品運至香港以外的地方,以及需要或可能需要申請出口執照,這條 款依然適用。在競投之前,請準買家確保所需資金,並可利用下述任何 一種方式付款。除事前雙方有協議外,登記買家以外的其他人仕之付款將 不會被接納。

我們接受以下各種付款方法:

#### 所有香港銀行及其分行的港幣個人支票:

提取拍賣品前,所有支票必須巳全部過帳 支票的抬頭人請寫上

[Bonhams (Hong Kong) Ltd - Client A/C]

銀行匯票:在確認有效身份證明及匯票後,買家可立刻提取所購之拍賣品

現金:如所購得的拍賣品總值不超過HK\$80,000,買家可以現金或港幣 (不接受其他貨幣) 為單位之旅行支票付款。如所購得的拍賣品總值超 過HK\$80,000, HK\$80,000以外的金額,必須以現金及旅行支票以外的 方式支付

銀行轉脹:買家可利用電子轉賬至我們的銀行賬戶,請注意把競標牌號碼 及發票資料寫上,以作參考。

#### 客戶賬戶詳情如下:

#### 賬戶名稱

Bonhams (Hong Kong) Ltd - Client A/C

#### 賬戶號碼

808-870174-001

### 銀行名稱

**HSBC** 

#### 銀行地址

Head Office 1 Queens Road Central Hong Kong

#### 國際匯款代碼

**HSBCHKHHHKH** 

如果閣下通過銀行轉賬付款,我們所收的款額經扣除任何銀行費用,以 及/或者款項經兑換成港幣後,必須不少於如發票所的應付款額。

由香港銀行發出的扣賬卡:以此方法付款,將不收取額外的費用

信用卡: 美國運通卡·Visa, Mastercard卡及中國銀聯信用卡均可使 用。請注意,以信用卡付款的話,本公司每次拍賣接受總數不超過 HK\$200,000。如所購得的拍賣品總值超過HK\$200,000,閣下可使用匯 款或以上提及的方式支付。

在符合我們的規定下,如要以通過電話的形 式以信用卡支付,本公司每 次拍賣接受的總 數不超過HK\$50,000,但此方式不適用於第 一次成功競

中國銀聯(CUP)借記卡:以此方法付款,將不收取額外的費用。

我們建議,閣下在拍賣前可預先通知發卡銀行,以免您於付款時,由於 需要確認授權而造成延誤

#### NOTICE TO BIDDERS

This notice is addressed by Bonhams to any person who may be interested in a Lot, including Bidders and potential Bidders (including any eventual Buyer of the Lot). For ease of reference we refer to such persons as "Bidders" or "you".

Our List of Definitions and Glossary is incorporated into this Notice to Bidders. It is at Appendix 3 at the back of the Catalogue. Where words and phrases are used in this notice which are in the List of Definitions, they are printed in italics.

IMPORTANT: Additional information applicable to the Sale may be set out in the Catalogue for the Sale, in an insert in the Catalogue and/or in a notice displayed at the Sale venue and you should read them as well. Announcements affecting the Sale may also be given out orally before and during the Sale without prior written notice. You should be alert to this possibility of changes and ask in advance of bidding if there have been any.

#### 1. OUR ROLE

In its role as auctioneer of Lots, Bonhams acts solely for and in the interests of the Seller. Bonhams' job is to sell the Lot at the highest price obtainable at the Sale to a Bidder. Bonhams does not act for Buyers or Bidders in this role and does not give advice to Buyers or Bidders. When it or its staff make statements about a Lot or, if Bonhams provides a Condition Report on a Lot it is doing that on behalf of the Seller of the Lot. Bidders and Buyers who are themselves not expert in the Lots are strongly advised to seek and obtain independent advice on the Lots and their value before bidding for them

The Seller has authorised Bonhams to sell the Lot as its agent on its behalf and, save where we expressly make it clear to the contrary, Bonhams acts only as agent for the Seller. Any statement or representation we make in respect of a Lot is made on the Seller's behalf and, unless Bonhams sells a Lot as principal, not on our behalf and any Contract for Sale is between the Buyer and the Seller and not with us. If Bonhams sells a Lot as principal this will either be stated in the Catalogue or an announcement to that effect will be made by the Auctioneer, or it will be stated in a notice at the Sale or an insert in the Catalogue.

Bonhams does not owe or undertake or agree to any duty or responsibility to you in contract or tort (whether direct, collateral, express, implied or otherwise). If you successfully bid for a Lot and buy it, at that stage Bonhams does enter into an agreement with the Buyer. The terms of that contract are set out in our Buyer's Agreement save for those varied by announcement given out orally before and/or during the Sale, which you will find at Appendix 2 at the back of the Catalogue. This will govern Bonhams' relationship with the

#### 2. LOTS

Subject to the Contractual Description printed in bold letters in the Entry about the Lot in the Catalogue (see paragraph 3 below), Lots are sold to the Buyer on an "as is" basis, with all faults and imperfections. No reference is made in the Catelogue to any defect, damage or restoration of the Lot. Please see paragraph 15.

Illustrations and photographs contained in the Catalogue (other than photographs forming part of the Contractual Description) or elsewhere of any Lots are for identification purposes only. They may not reveal the true condition of the Lot. A photograph or illustration may not reflect an accurate reproduction of the colour(s) of the Lot. Lots are available for inspection prior to the Sale and it is for you to satisfy yourself as to each and every aspect of a Lot, including its authorship, attribution, condition, provenance, history, background, authenticity, style, period, age, suitability, quality, roadworthiness (if relevant), origin, value and estimated selling price (including the Hammer price). It is your responsibility to examine any Lot in which you are interested.

It should be remembered that the actual condition of a Lot may not be as good as that indicated by its outward appearance. In particular, parts may have been replaced or renewed and Lots may not be authentic or of satisfactory quality; the inside of a Lot may not be visible and may not be original or may be damaged, as for example where it is covered by upholstery or material. Given the age of many Lots they may have been damaged and/or repaired and you should not assume that a Lot is in good condition.

Electronic or mechanical parts may not operate or may not comply with current statutory requirements. You should not assume that electrical items designed to operate on mains electricity will be suitable for connection to the mains electricity supply and you should obtain a report from a qualified electrician on their status before doing so. Such items which are unsuitable for connection are sold as items of interest for display purposes only.

If you yourself do not have expertise regarding a *Lot*, you should consult someone who does to advise you. We can assist in arranging facilities for you to carry out or have carried out more detailed inspections and tests. Please ask our staff for details.

# Any person who damages a Lot will be held liable for the loss caused. 3. DESCRIPTIONS OF LOTS AND ESTIMATES Contractual Description of a Lot

The Catalogue contains an Entry about each Lot. Each Lot is sold by its respective Seller to the Buyer of the Lot as corresponding only with that part of the Entry which is printed in bold letters and (except for the colour, which may be inaccurately reproduced) with any photograph of the Lot in the Catalogue. The remainder of the Entry, which is not printed in bold letters, represents Bonhams' opinion (given on behalf of the Seller) about the Lot only and is not part of the Contractual Description in accordance with which the Lot is sold by the Seller.

In most cases, an Estimate is printed beside the Entry. Estimates are only an expression of Bonhams' opinion made on behalf of the Seller of the range where Bonhams thinks the Hammer Price for the Lot is likely to fall; it is not an estimate of value. It does not take into account

any Tax or Buyer's Premium payable. Lots can in fact sell for Hammer Prices below and above the Estimate. Any Estimate should not be relied on as an indication of the actual selling price or value of a Lot. Estimates are in the currency of the Sale.

#### Condition reports

In respect of most Lots, you may ask for a Condition Report on its physical condition from Bonhams. If you do so, this will be provided by Bonhams on behalf of the Seller free of charge. Bonhams is not entering into a contract with you in respect of the Condition Report and accordingly does not assume responsibility to you in respect of it. Nor does the Seller owe or agree to owe you as a Bidder any obligation or duty in respect of this free report about a Lot which is available for your own inspection or for inspection by an expert instructed by you. However, any written description of the physical condition of the Lot contained in a Condition Report will form part of the Contractual Description of the Lot under which it is sold to any Buyer.

#### The Seller's responsibility to you

The Seller does not make or agree to make any representation of fact or contractual promise, guarantee or warranty and undertakes no obligation or duty, whether in contract or in tort (other than to the eventual Buyer as set out above), in respect of the accuracy or completeness of any statement or representation made by him or on his behalf which is in any way descriptive of any Lot or as to the anticipated or likely selling price of any Lot. Other than as set out above, no statement or representation in any way descriptive of a Lot or any Estimate is incorporated into any Contract for Sale between a Seller and a Buyer.

#### Bonhams' responsibility to you

You have the opportunity of examining the Lot if you want to and the Contract for Sale for a Lot is with the Seller and not with Bonhams; Bonhams acts as the Seller's agent only (unless Bonhams sells the Lot as principal)

Bonhams undertakes no obligation to you to examine, investigate or carry out any tests, either in sufficient depth or at all, on each Lot to establish the accuracy or otherwise of any descriptions or opinions given by Bonhams, or by any person on Bonhams' behalf, whether in the Catalogue or elsewhere.

You should not suppose that such examinations, investigations or tests have occurred.

Bonhams does not make or agree to make any representation of fact, and undertakes no obligation or duty (whether in contract or representation made by Bonhams or on Bonhams' behalf which is in any way descriptive of any Lot or as to the anticipated or likely selling price of any Lot.

No statement or representation by *Bonhams* or on its behalf in any way descriptive of any *Lot* or any *Estimate* is incorporated into our *Buser's Agreement* 

#### Alterations

Descriptions and Estimates may be amended at Bonhams' discretion from time to time by notice given orally or in writing before or during a

THE LOT IS AVAILABLE FOR INSPECTION AND YOU MUST FORM YOUR OWN OPINION IN RELATION TO IT. YOU ARE STRONGLY ADVISED TO EXAMINE ANY LOT OR HAVE IT EXAMINED ON YOUR BEHALF REFORE THE SALF.

#### 4. CONDUCT OF THE SALE

Our  $\it Sales$  are public auctions which persons may attend and you should take the opportunity to do so.

We do reserve the right at our sole discretion to refuse admission to our premises or to any Sale without stating a reason. We have complete discretion as to whether the Sale proceeds, whether any Lot is included in the Sale, the manner in which the Sale is conducted and we may offer Lots for sale in any order we choose notwithstanding the numbers given to Lots in the Catalogue. You should therefore check the date and starting time of the Sale, whether there have been any withdrawals or late entries. Remember that withdrawals and late entries may affect the time at which a Lot you are interested in is put up for Sale.

We have complete discretion to refuse any bid, to nominate any bidding increment we consider appropriate, to divide any Lot, to combine two or more Lots, to withdraw any Lot from a Sale and, before the Sale has been closed, to put up any Lot for auction again. Auction speeds can exceed 100 Lots to the hour and bidding increments are generally about 10%. However these do vary from Sale to Sale and from Auctioneer to Auctioneer. Please check with the department organising the Sale for advice on this.

Where a Reserve has been applied to a Lot, the Auctioneer may, in his absolute discretion, place bids (up to an amount not equalling or exceeding such Reserve) on behalf of the Seller. We are not responsible to you in respect of the presence or absence of any Reserve in respect of any Lot.

If there is a Reserve it will normally be no higher than the lower figure for any Estimate in the Catalogue, assuming that the currency of the Reserve has not fluctuated adversely against the currency of the Estimate.

The Buyer will be the Bidder who makes the highest bid acceptable to the Auctioneer for any Lot (subject to any applicable Reserve) to whom the Lot is knocked down by the Auctioneer at the striking of the Auctioneer's hammer. Any dispute as to the highest acceptable bid will be settled by the Auctioneer in his absolute discretion.

All bids tendered will relate to the actual Lot number announced by the Auctioneer.

An electronic currency converter may be used at the Sale. This equipment is provided as a general guide as to the equivalent amount in certain currencies of a given bid. We do not accept any responsibility for any errors which may occur in the use of the currency converter.

We hereby give you notice that we may use video cameras to record the  $\it Sale$  and may record telephone calls for reasons of security and to

assist in solving any disputes which may arise in relation to bids made at the Sale.

At some Sales, for example, jewellery Sales, we may use screens on which images of the Lots will be projected. This service is provided to assist viewing at the Sale. The image on the screen should be treated as an indication only of the current Lot. It should be noted that all bids tendered will relate to the actual Lot number announced by the Auctioneer. We do not accept any responsibility for any errors which may occur in the use of the screen.

#### 5. BIDDING

You must complete and deliver to us one of our *Bidding Forms*, either our *Bidder Registration Form*, *Absentee Bidding Form* or *Telephone Bidding Form* in order to bid at our *Sales*.

If you are a new client at Bonhams or have not recently updated your registration details with us, you must pre-register to bid at least two working days before the Sale at which you wish to bid. You will be required to provide government-issued proof of identity and residence, and if you are a company, your certificate of incorporation or equivalent documentation with your name and registered address, government issued proof of your current address, documentary proof of your beneficial owners and directors, and proof of authority to transact. We may also request a financial reference and /or deposit from you before allowing you to bid.

We reserve the rights at our discretion to request further information in order to complete our client identification and to decline to register any person as a Bidder, and to decline to accept their bids if they have been so registered. We also reserve the rights to postpone completion of the Sale of any Lot at our discretion while we complete our registration and identification enquiries, and to cancel the Sale of any Lot if you are in breach of your warranties as Buyer, or if we consider that such Sale would be unlawful or otherwise cause liabilities for the Saller or Bonhams or be detrimental to Bonhams' reputation.

#### Bidding in person

So long as you have pre-registered to bid or have updated your existing registration recently, you should come to our *Bidder* registration desk at the *Sale* venue and fill out a Registration and Bidding Form on (or, if possible, before) the day of the *Sale*. The bidding number system is sometimes referred to as "paddle bidding". You will be issued with a large card (a "paddle") with a printed number on it. This will be attributed to you for the purposes of the *Sale*. Should you be a successful *Bidder* you will need to ensure that your number can be clearly seen by the *Auctioneer* and that it is your number which is identified as the *Buyer's*. You should not let anyone else use your paddle as all *Lots* will be invoiced to the name and address given on your *Bidder* Registration Form. Once an invoice is issued it will not be changed. If there is any doubt as to the *Hammer Price* of, or whether you are the successful *Bidder* of, a particular *Lot*, you must draw this to the attention of the *Auctioneer* before the next *Lot* is offered for *Sale*. At the end of the *Sale*, or when you have finished bidding please return your paddle to the *Bidder* registration desk.

#### Bidding by telephone

If you wish to bid at the Sale by telephone, and have pre-registered to bid or have updated your existing registration details recently, please complete a Registration and Bidding Form, which is available from our offices or in the Catalogue. Please then return it to the office responsible for the Sale at least 24 hours in advance of the Sale. It is your responsibility to check with our Bids Office that your bid has been received. Telephone calls will be recorded. The telephone bidding facility is a discretionary service offered at no additional charge and may not be available in relation to all Lots. We will not be responsible for bidding on your behalf if you are unavailable at the time of the Sale or if the telephone connection is interrupted during bidding. Please contact us for further details.

#### Bidding by post or fax

Absentee Bidding Forms can be found in the back of this Catalogue and should be completed and sent to the office responsible for the Sale, once you have pre-registered to bid or have updated your existing registration details recently. It is in your interests to return your form as soon as possible, as if two or more Bidders submit identical bids for a Lot, the first bid received takes preference. In any event, all bids should be received at least 24 hours before the start of the Sale Please check your Absentee Bidding Form carefully before returning it to us, fully completed and signed by you. It is your responsibility to check with our Bids Office that your bid has been received. This additional service is complimentary and is confidential. Such bids are made at your own risk and we cannot accept liability for our failure to receive and/or place any such bids. All bids made on your behalf will be made at the lowest level possible subject to Reserves and other bids made for the Lot. Where appropriate your bids will be rounded down to the nearest amount consistent with the Auctioneer's bidding increments. New Bidders must also provide proof of identity and address when submitting bids. Failure to do this will result in your bid not being placed

#### Bidding via the internet

In order to bid online in a Sale, you must be 18 or over and you must register to bid via the Bonhams App or www.bonhams.com. Once you have registered, you should keep your account details strictly confidential and not permit any third party to access your account on your behalf or otherwise. You will be liable for any and all bids made via your account. Please note payment must be made from a bank account in the name of the redistered bidder.

Individuals: Enter your full name, email, residential address, date of birth and nationality and provide a valid credit card in your name which will be verified via Stripe before you are able to bid. If your credit card fails verification, you will not be permitted to bid and you should contact Client Services for assistance. We may in addition request a financial reference and/or deposit from you prior to letting you bid. If you are bidding as agent on behalf of another party, you agree: (i) to disclose this fact to Client Services; (ii) to provide such information as we require to enable us to complete our identification and anti-money laundering checks on that third party, and (ii) where your bid is successful, you are jointly and severally liable with that other party for the full amounts owing for the successful bid. Where you are the successful bidder for any lot with a hammer price equal to or in excess

of £5,000/\$10,000/HKD50,000/AUS\$10,000 depending on the jurisdiction and currency of the Sale, and if you have not provided such documents previously, you will be required to upload or provide to Client Services your Government issued photo ID and (if not on the ID) proof of your address before the lot can be released to you. We reserve the right to request ID documentation from any bidder or successful buyer regardless of these thresholds and to refuse to release any purchased lot until such documentation is provided. Companies: You must select the option to set up a business account and then provide your full name, email, residential address, date of birth and the full name of the company. You must provide a credit card for verification either in your name or the name of the company but payment must be made from an account in the company's name If your credit card fails verification, you will not be permitted to bid and please contact Client Services for assistance. We may in addition require a bank reference or deposit prior to letting you bid. For all successful bids, we require the company's Certificate of Incorporation or equivalent documentation confirming the company's name and registered address, documentary proof of each beneficial owner owning 25% or more of the company, and proof of your authority to transact before the lot can be released to you.

We reserve the right to request any further information from any bidder that we may require in order to carry out any identification, anti-money laundering or anti-terrorism financing checks conducted by us. We may at our discretion postpone or cancel your registration, not permit you to bid and/or postpone or cancel completion of any purchase you

#### Bidding through an agent

Bids will be treated as placed exclusively by and on behalf of the person named on the Bidding Form unless otherwise agreed by us in writing in advance of the Sale. If you wish to bid on behalf of another person (your principal) you must complete the pre-registration requirements set out above both on your own behalf and with full details of your principal, and we will require written confirmation from the principal confirming your authority to bid.

You are specifically referred to your due diligence requirements concerning your principal and their source of funds, and the warranties you give in the event you are the Buver, which are contained in paragraph 3 of the Buyer's Agreement, set out at Appendix 2 at the back of the Catalogue.

Nevertheless, as the Bidding Form explains, any person placing a bid as agent on behalf of another (whether or not he has disclosed that fact) will be jointly and severally liable with the principal to the Seller and to Bonhams under any contract resulting from the acceptance of

Equally, please let us know if you intend to nominate another person to bid on your behalf at the Sale unless this is to be carried out by us pursuant to a Telephone or Absentee Bidding Form that you have completed. If we do not approve the agency arrangements in writing before the Sale, we are entitled to assume that the person bidding at the Sale is bidding on his own behalf. Accordingly, the person bidding at the Sale will be the Buyer and will be liable to pay the Hammer Price and Buyer's Premium and associated charges. If we approve the identity of your client in advance, we will be in a position to address the invoice to your principal rather than you. We will require proof of the agent's client's identity and residence in advance of any bids made by the agent on his behalf. Please refer to our Conditions of Business and contact our Customer Services Department for further details. Bonhams undertakes Customer Due Diligence (CDD) into its Sellers and Buyers as required by the Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017 ("the Regulations"). Bonhams' interpretation of the Regulations and Treasury Approved industry Guidance is that CDD under the Regulations is not required by Buyers into Sellers at Bonhams auctions or vice versa

#### 6. CONTRACTS BETWEEN THE BUYER AND SELLER AND THE BUYER AND BONHAMS

On the Lot being knocked down to the Buver, a Contract for Sale of the Lot will be entered into between the Seller and the Buyer on the terms of the Contract for Sale set out in Appendix 1 at the back of the Catalogue save for those varied by announcement given out orally before and/or during the Sale. You will be liable to pay the Purchase Price, which is the Hammer Price plus any Tax.

At the same time, a separate contract is also entered into between us as auctioneers and the Buyer. This is our Buyer's Agreement, the terms of which are set out in Appendix 2 at the end of the Catalogue. Please read the terms of the Contract for Sale and our Buver's Agreement contained in the Catalogue in case you are the successful Bidder. We may change the terms of either or both of these agreements in advance of their being entered into, by setting out different terms in the Catalogue and/or by placing an insert in the Catalogue and/or by notices at the Sale venue and/or by oral announcements before and during the Sale. You should be alert to this possibility of changes and ask if there have been

#### 7. BUYER'S PREMIUM AND OTHER CHARGES PAYABLE BY THE BUYER

Under the Buver's Agreement, a premium (the Buver's Premium) is payable to us by the Buyer in accordance with the terms of the Buyer's Agreement and at rates set out below, calculated by reference to the Hammer Price and payable in addition to it. Storage charges and Expenses are also payable by the Buyer as set out in the Buyer's Agreement.

For this Sale the Buyer's Premium will be 25% of the Hammer Price on each Lot purchased.

#### 8. TAX

The Hammer Price and the Buyer's Premium payable by the Buyer is exclusive of any goods or service tax or other Tax (whether imposed by Hong Kong or otherwise). If any such Tax was to be paid under Hong Kong laws or any other laws, the Buyer shall be solely responsible to pay such Tax and at the rate and time as required by the relevant law, or if such Tax is to be paid by us, we may add such Tax to the

Purchase Price payable by the Buyer.

It is of critical importance that you ensure that you have readily available funds to pay the Purchase Price and the Buyer's Premium (plus Tax and any other charges and Expenses to us) in full before making a bid for the Lot. If you are a successful Bidder, payment will be due to be made to us by 4.30 pm on the second working day after the Sale so that all sums are cleared by the seventh working day after the Sale. Payment will have to be by one of the following methods (all cheques should be made payable to Bonhams (Hong Kong) Limited). Bonhams reserves the right to vary the terms of payment at any time. Unless agreed by us advance payments made by anyone other than the registered buver will not be accepted.

#### Bonhams' preferred payment method is by bank transfer

Bank transfer: you may electronically transfer funds to our Client Account. If you do so, please quote your paddle number and invoice number as the reference. Our Client Account details are as follows:

Bank: HSBC Head Office Address:

1 Queen's Road Central, Hong Kong Account Name: Bonhams (Hong Kong) Limited. -

Client A/C

808 870 174001 Account Number: **HSBCHKHHHKH** 

If paying by bank transfer, the amount received after the deduction of any bank fees and/or conversion of the currency of payment to Hong Kong dollars must not be less than the Hong Kong dollars amount payable, as set out on the

Hong Kong dollar personal cheque drawn on a Hong Kong branch of a bank: all cheques must be cleared before you can collect your purchases;

Bankers draft cheque: if you can provide suitable proof of identity and we are satisfied as to the genuineness of the draft or cheque, and that the funds have originated from your own account, we will allow you to collect your purchases immediately;

Cash: you may pay for Lots purchased by you at this Sale with notes or coins in the currency in which the Sale is conducted (but not any other currency) provided that the total amount payable by you in respect of all Lots purchased by you at the Sale does not exceed HK\$80,000. If the amount payable by you for Lots exceeds that sum, the balance must be paid otherwise than in coins or notes;

Debit cards issued by a Hong Kong bank (EPS): there is no additional charge for purchases made with these cards in person;

Credit cards: American Express, Visa and Mastercard only. There is a HK\$200,000 limit on payment value if payment is made in person.

Payment by telephone may also be accepted up to HK\$50,000, subject to appropriate verification procedures, although this facility is not available for first time buyers. If the amount payable by you for Lots exceeds that sum, the balance must be paid by other means

China UnionPay (CUP) debit cards: There is no limit on payment value if payment is made in person.

It maybe advisable to notify your card provider of your intended purchase in advance to reduce delays caused by us having to seek authority when you come to pay. If you have any questions with regard to payment, please contact our Customer Services Department

#### 10. COLLECTION AND STORAGE

The Buyer of a Lot will not be allowed to collect it until payment in full and in cleared funds has been made (unless we have made a special arrangement with the Buyer). Details relating to the collection of a Lot, the storage of a Lot and our Storage Contractor after the Sale are set out in the Buyer's Agreement as set out in Appendix 2 of the Catalogue.
11. SHIPPING

Please refer enquiries on this to our customer services department

#### 12. EXPORT/TRADE RESTRICTIONS

It is your sole responsibility to comply with all Hong Kong export and overseas import regulations relating to your purchases and also to obtain any relevant export and/or import licence(s).

The need for export and import licences varies from country to country and you should acquaint yourself with all relevant local requirements and provisions.

The refusal of any import or export licence(s) or any delay in obtaining such licence(s) shall not permit the rescission of any Sale nor allow any delay in making full payment for the Lot.

#### 13. CITES REGULATIONS

Buyers are advised to check the regulations applicable to Hong Kong exportation and overseas importation when exporting any goods from Hong Kong to the place of importation. Buyers should also be aware

that the export from Hong Kong of any items made of or incorporating ivory, whalebone, tortoiseshell, rhinoceros horn, coral and other restricted materials is prohibited unless a CITES export licence is obtained from the Agriculture, Fisheries and Conservation Department of Hong Kong. A period of 8 weeks may be required for the purposes of obtaining such export licence.

Please note that Lots marked in the catalogue with a Y next to the lot number contain one or more of the aforesaid restricted materials. However, the omission of such letter Y does not automatically mean that the Lot is not subject to CITES regulations. Buyers are advised to obtain information from the relevant regulatory authorities regarding export and import restrictions, requirements and costs prior to bidding.

#### 14. THE SELLERS AND/OR BONHAMS' LIABILITY

Other than any liability of the Seller to the Buyer of a Lot under the Contract for Sale, neither we nor the Seller are liable (whether in negligence or otherwise) for any error or misdescription or omission in any Description of a Lot or any Estimate in respect of it, whether contained in the Catalogue or otherwise, whether given orally or in writing and whether given before or during the Sale. Neither we nor the Seller will be liable for any loss of Business, profits, revenue or income, or for loss of reputation, or for disruption to Business or wasted time on the part of management or staff, or for indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence, other tort, breach of contract (if any) or statutory duty, restitutionary claim or otherwise. In any circumstances where we and/or the Seller are liable in relation to any Lot or any Description or Estimate made of any Lot, or the conduct of any Sale in relation to any Lot, whether in damages, for an indemnity or contribution, or for a restitutionary remedy or otherwise, our and/or the Seller's liability (combined, if both we and the Seller are liable) will be limited to payment of a sum which will not exceed by way of maximum the amount of the Purchase Price of the Lot irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from any negligence, other tort, breach of contract (if any) or statutory duty or otherwise. Nothing set out above will be construed as excluding or restricting (whether directly or indirectly) our liability or excluding or restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by our negligence (or by the negligence of any person under our control or for whom we are legally responsible), or (iii) acts or omissions for which we are liable under the Occupiers Liability Ordinance (Chapter 314 of the Laws of Hong Kong), or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law or (v) our undertakings under paragraph 9 of the Buyer's

#### 15. DAMAGE AND RESTORATION

Bidders should note that there is no reference to any defect, damage or restoration in this Catalogue. A detailed Condition Report can be provided by Bonhams up to 24 hours before the Sale. When providing Condition Reports, we do not guarantee that there are no other defects present which have not been mentioned. Bidders should satisfy themselves by inspection, as to the condition of each Lot. Please see the Contract for Sale printed in this Catalogue

Agreement. The same applies in respect of the Seller, as if references to

us in this paragraph were substituted with references to the Seller.

#### 16. BOOKS

As stated above, all Lots are sold on an "as is" basis, subject to all faults, imperfections and errors of Description save as set out below. However, you will be entitled to reject a Book in the circumstances set out in paragraph 11 of the Buyers Agreement. Please note that Lots comprising printed Books, unframed maps and bound manuscripts are not liable to VAT on the Buver's Premium.

#### 17. CLOCKS AND WATCHES

All Lots are sold "as is", and the absence of any reference to the condition of a clock or watch does not imply that the Lot is in good condition and without defects, repairs or restorations. Most clocks and watches have been repaired in the course of their normal lifetime and may now incorporate parts not original to them. Furthermore, Bonhams makes no representation or warranty that any clock or watch is in working order. As clocks and watches often contain fine and complex mechanisms, Bidders should be aware that a general service, change of battery or further repair work, for which the Buyer is solely responsible, may be necessary. Bidders should be aware that the importation of watches such as Rolex, Frank Muller and Corum into the United States is highly restricted. These watches may not be shipped to the USA and can only be imported personally.

#### 18. JEWELLERY

#### Gemstones

Historically many gemstones have been subjected to a variety of treatments to enhance their appearance. Sapphires and rubies are routinely heat treated to improve their colour and clarity, similarly emeralds are frequently treated with oils or resin for the same purpose. Other treatments such as staining, irradiation or coating may have been used on other gemstones. These treatments may be permanent, whilst others may need special care or re-treatment over the years to retain their appearance. Bidders should be aware that Estimates assume that gemstones may have been subjected to such treatments. A number of laboratories issue certificates that give more detailed Descriptions of gemstones. However there may not be consensus between different laboratories on the degrees, or types of treatment for any particular gemstone. In the event that Bonhams has been given or has obtained certificates for any Lot in the Sale these certificates will be disclosed in the Catalogue. Although, as a matter of policy, Bonhams endeavours to provide certificates from recognised laboratories for certain gemstones, it is not feasible to obtain certificates for each Lot. In the event that no certificate is published in the Catalogue, Bidders should assume that the gemstones may have been treated. Neither Bonhams nor the Seller accepts any liability for

contradictions or differing certificates obtained by *Buyers* on any *Lots* subsequent to the *Sale*.

#### Estimated Weights

If a stone(s) weight appears within the body of the Description in capital letters, the stone(s) has been unmounted and weighed by Bonhams. If the weight of the stone(s) is stated to be approximate and does not appear in capital letters, the stone(s) has been assessed by us within its/their settings, and the stated weight is a statement of our opinion only. This information is given as a guide and Bidders should satisfy themselves with regard to this information as to its accuracy.

#### Signatures

#### 1. A diamond brooch, by Kutchinsky

When the maker's name appears in the title, in *Bonhams*' opinion the piece is by that maker.

#### 2. A diamond brooch, signed Kutchinsky

Has a signature that, in *Bonhams*' opinion, is authentic but may contain gemstones that are not original, or the piece may have been altered

#### 3. A diamond brooch, mounted by Kutchinsky

Has been created by the jeweller, in Bonhams' opinion, but using stones or designs supplied by the client.

#### 19. PICTURES

#### Explanation of Catalogue Terms

The following terms used in the *Catalogue* have the following meanings but are subject to the general provisions relating to *Descriptions* contained in the *Contract for Sale*:

- "Jacopo Bassano": in our opinion a work by the artist. When the artist's forename(s) is not known, a series of asterisks, followed by the surname of the artist, whether preceded by an initial or not, indicates that in our opinion the work is by the artist named;
- "Attributed to Jacopo Bassano": in our opinion probably a work by the artist but less certainty as to authorship is expressed than in the preceding category;
- "Studio/Workshop of Jacopo Bassano": in our opinion a work by an unknown hand in a studio of the artist which may or may not have been executed under the artist's direction;
- "Circle of Jacopo Bassano": in our opinion a work by a hand closely associated with a named artist but not necessarily his pupil:
- "Follower of Jacopo Bassano": in our opinion a work by a painter working in the artist's style, contemporary or nearly contemporary, but not necessarily his pupil;
- "Manner of Jacopo Bassano": in our opinion a work in the style of the artist and of a later date;
- the artist and of a later date;"After Jacopo Bassano": in our opinion, a copy of a known work of
- "Signed and/or dated and/or inscribed": in our opinion the signature and/or date and/or inscription are from the hand of the artist:
- "Bears a signature and/or date and/or inscription": in our opinion the signature and/or date and/or inscription have been added by another hand.

#### 20. PORCELAIN AND GLASS

#### Damage and Restoration

For your guidance, in our Catalogues we detail, as far as practicable, recorded all significant defects, cracks and restoration. Such practicable Descriptions of damage cannot be definitive, and in providing Condition Reports, we cannot Guarantee that there are no other defects present which have not been mentioned. Bidders should satisfy themselves by inspection, as to the condition of each Lot. Please see the Contract for Sale printed in this Catalogue. Because of the difficulty in determining whether an item of glass has been repolished, in our Catalogues reference is only made to visible chips and cracks. No mention is made of repolishing, severe or otherwise.

21. WINE

## Lots which are lying under Bond and those liable to VAT may not be available for immediate collection.

#### Examining the wines

It is occasionally possible to provide a pre-Sale tasting for larger parcels (as defined below). This is generally limited to more recent and everyday drinking wines. Please contact the department for details. It is not our policy to inspect every unopened case. In the case of wines older than 20 years the boxes will usually have been opened and levels and appearance noted in the Catalogue where necessary. You should make proper allowance for variations in ullage levels and conditions of corks, capsules and labels.

#### Corks and Ullages

Ullage refers to the space between the base of the cork and the wine. Ullage levels for Bordeaux shaped bottles are only normally noted when below the neck and for Burgundy, Alsace, German and Cognac shaped bottles when greater than 4 centimetres (cm). Acceptable ullage levels increase with age; generally acceptable levels are as follows:

Under 15 years old – into neck or less than 4cm 15 to 30 years old – top shoulder (ts) or up to 5cm Over 30 years old – high shoulder (hs) or up to 6cm It should be noted that ulages may change between publication of the Catalogue and the Sale and that corks may fail as a result of transporting the wine. We will only accept responsibility for Descriptions of condition at the time of publication of the Catalogue and cannot accept responsibility for any loss resulting from failure of corks either before or after this point.

#### Options to buy parcels

A parcel is a number of *Lots* of identical size of the same wine, bottle size and *Description*. The *Buyer* of any of these *Lots* has the option to accept some or all of the remaining *Lots* in the parcel at the same price, although such options will be at the *Auctioneer's* sole discretion.

Absentee Bidders are, therefore, advised to bid on the first Lot in a parcel.

#### Bottling Details and Case Terms

The following terms used in the Catalogue have the following meanings:

- CB Château bottled
- DB Domaine bottled EstB - Estate bottled
- BB Bordeaux bottled
- BE Belgian bottled
- FB French bottled
- GB German bottled
- OB Oporto bottled
- UK United Kingdom bottled
- owc original wooden case
- iwc individual wooden case oc original carton

#### SYMBOLS

#### THE FOLLOWING SYMBOLS ARE USED TO DENOTE

- This lot contains one or more regulated plant or animal species and is subject to CITES regulations. It is the buyer's responsibility to investigate such regulations and to obtain any necessary import or export certificates. A buyer's inability to obtain such certificates cannot justify a delay in payment or cancellation of a sale. See clause 13.
- The Seller has been guaranteed a minimum price for the Lot, either by Bonhams or a third party. This may take the form of an irrevocable bid by a third party, who may make a financial gain on a successful Sale or a financial loss if unsuccessful.
- Bonhams owns the Lot either wholly or partially or may otherwise have an economic interest.
- This lot contains elephant ivory and is therefore subject to CITES regulations.

Property containing African elephant ivory cannot be imported to the USA. The EU and the UIK have in place wide-ranging restrictions on dealing with property containing elephant ivory, including restrictions on import and/or export. It is a buyer's responsibility to obtain any export or import licences, certifications and any other required documentation, where applicable. Bonhams is not able to assist buyers with the shipment of any lots containing elephant ivory into the US, the UK or the EU. A buyer's inability to export or import these lots cannot justify a delay in payment or cancellation of a sale.

#### 22. LANGUAGE

The Notice to Bidders is published in both Chinese and English. If there is any dispute in its interpretation, the English version will prevail.

#### DATA PROTECTION - USE OF YOUR INFORMATION

As a result of the services provided by us, we obtain personal data about you (which expression for the purposes of this paragraph only includes your employees and officers, if any). You agree to our use of it as follows.

We may use your data to notify you about changes to our services and to provide you with information about products or services that you request from us or which we feel may be of interest to you. Data about you may be analysed to identify your potential preferences for these purposes. We may disclose your data to any member of our group (which means our subsidiaries, our ultimate holding company and its subsidiaries as defined in section 1159 and schedule 6 of the Companies Act 2006, including any overseas subsidiary). Subject to this, we will not disclose your data to any third party, but we may from time to time provide you with information about goods and service provided by third parties which we feel may be of interest to you. Any member of our group may use your data for similar purposes We will keep your data for a period of five years from the date of your last contact with us so as to simplify any future registration. The data may be transferred to and stored outside Hong Kong, and you agree to this transfer. You have the right to request us not to use your information for these purposes by contacting Bonhams (Hong Kong) Limited (which for the purpose of the Personal Data (Privacy) Ordinance (Chapter 486 of the Laws of Hong Kong) is the data user) at Montpelier Galleries Montpelier Street, London, SW7 1HH, United Kingdom or by e-mail at client.services@bonhams.com.

#### APPENDIX 1

#### CONTRACT FOR SALE

IMPORTANT: These terms may be changed in advance of the Sale of the Lot to you, by the setting out of different terms in the Catalogue for the Sale and/or by placing an insert in the Catalogue and/or by notices at the Sale venue and/or by oral announcements before and during the Sale at the Sale venue. You should be alert to this possibility of changes and ask in advance of bidding if there have been any. UNDER THIS CONTRACT, THE SELLER'S LIABILITY IN RESPECT OF THE QUALITY OF THE LOT, ITS FITNESS FOR ANY PURPOSE AND ITS CONFORMITY WITH ANY DESCRIPTON IS LIMITED. YOU ARE STRONGLY ADVISED TO EXAMINE THE LOT FOR YOURSELF AND/OR OBTAIN AN INDEPENDENT EXAMINATION OF IT BEFORE YOU BUY IT.

#### 1 THE CONTRACT

- 1.1 These terms govern the Contract for Sale of the Lot by the Seller to the Buver.
- .2 The Definitions and Glossary contained in Appendix 3 in the Catalogue are incorporated into this Contract for Sale and a separate copy can also be provided by Bonhams on request. Where words and phrases are used which are in the List of Definitions in it, they are printed in italics.
- 1.3 Seller sells the Lot as the principal to the Contract for Sale, such contract being made between the Seller and you through Bonhams which acts in the sole capacity as the Seller's agent

and not as an additional principal. However, if the Catalogue states that Bonhams sells the Lot as principal, or such a statement is made by an announcement by the Auctioneer, or by a notice at the Sale, or an insert in the Catalogue, then Bonhams is the Seller for the purposes of this agreement.

1.4 The contract is made on the striking of the Auctioneer's hammer in respect of the Lot when it is knocked down to you.

#### 2 SELLER'S UNDERTAKINGS

- 2.1 The Seller undertakes to you that:
- 2.1.1 The Seller is the owner of the Lot or is duly authorised to sell the Lot by the owner:
- 2.1.2 save as disclosed in the Entry for the Lot in the Catalogue, the Seller sells the Lot with full title guarantee or, where the Seller is an executor, trustee, liquidator, receiver or administrator, with whatever right, title or interest he may have in the Lot;
- 2.1.3 except where the Sale is by an executor, trustee, liquidator, receiver or administrator the Saller is both legally entitled to sell the Lot, and legally capable of conferring on you quiet possession of the Lot
- 2.1.4 the Seller has complied with all requirements, legal or otherwise, relating to any export or import of the Lot, and all duties and Taxes in respect of the export or import of the Lot have (unless stated to the contrary in the Catalogue or announced by the Auctioneer) been paid and, so far as the Seller is aware, all third parties have complied with such requirements in the past;
- 2.1.5 subject to any alterations expressly identified as such made by announcement or notice at the Sale venue or by the Notice to Bidders or by an insert in the Catalogue, the Lot corresponds with the Contractual Description of the Lot, being that part of the Entry about the Lot in the Catalogue which is in bold letters and (except for colour) with any photograph of the Lot in the Catalogue and the contents of any Condition Report which has been provided to the Buyer.

#### DESCRIPTIONS OF THE LOT

- Paragraph 2.1.5 sets out what is the Contractual Description 3.1 of the Lot. In particular, the Lot is not sold as corresponding with that part of the Entry in the Catalogue which is not printed in bold letters, which merely sets out (on the Seller's behalf) Bonhams' opinion about the Lot and which is not part of the Contractual Description upon which the Lot is sold. Any statement or representation other than that part of the Entry referred to in paragraph 2.1.5 (together with any express alteration to it as referred to in paragraph 2.1.5), including any Description or Estimate, whether made orally or in writing including in the Catalogue or on Bonhams' Website, or by conduct, or otherwise, and whether by or on behalf of the Seller or Bonhams and whether made prior to or during the Sale, is not part of the Contractual Description upon which the Lot is hlos
- 3.2 Except as provided in paragraph 2.1.5, the Seller does not make or give and does not agree to make or give any contractual promise, undertaking, obligation, guarantee, warranty, or representation of fact, or undertake any duty of care, in relation to any Description of the Lot or any Estimate in relation to it, nor of the accuracy or completeness of any Description or Estimate which may have been made by or on behalf of the Seller including by Bonhams. No such Description or Estimate is incorporated into this Contract for Sale.

#### FITNESS FOR PURPOSE AND SATISFACTORY QUALITY

- .1 The Selfer does not make and does not agree to make any contractual promise, undertaking, obligation, guarantee, warranty, or representation of fact in relation to the satisfactory quality of the Lot or its fitness for any purpose.
- 4.2 The Selfer will not be liable for any breach of any undertaking, whether implied by the Sale of Goods Ordinance (Chapter 26 of the Laws of Hong Kong) or otherwise, as to the satisfactory quality of the Lot or its fitness for any purpose.

#### RISK, PROPERTY AND TITLE

- Risk in the Lot passes to you when it is knocked down to you on the fall of the Auctioneer's hammer in respect of the Lot. The Selfer will not be responsible thereafter for the Lot prior to you collecting it from Bonhams or the Storage Contractor, with whom you have separate contract(s) as Buyer. You will indemnify the Selfer and keep the Selfer fully indemnified from and against all claims, proceedings, costs, expenses and losses arising in respect of any injury, loss and damage caused to the Lot after the fall of the Auctioneer's hammer until you obtain full title to it.
- 5.2 Title to the Lot remains in and is retained by the Seller until the Purchase Price and all other sums payable by you to Bonhams in relation to the Lot have been paid in full to, and received in cleared funds by, Bonhams.

#### 6 PAYMENT

- 6.1 Your obligation to pay the *Purchase Price* arises when the *Lot* is knocked down to you on the fall of the *Auctioneer's* hammer in respect of the *Lot*.
  - 2 Time will be of the essence in relation to payment of the Purchase Price and all other sums payable by you to Bonhams. Unless agreed in writing with you by Bonhams on the Seller's behalf (in which case you must comply with the terms of that agreement), all such sums must be paid to Bonhams by you in the currency in which the Sale was conducted by not later than 4.30pm on the second working day following the Sale and you must ensure that the funds are cleared by the seventh working day after the Sale. Payment must be made to Bonhams by one of the methods stated in the Notice to Bidders unless otherwise agreed with you in writing by Bonhams. If you do not pay any sums due in accordance with this paragraph, the Seller will have the rights set out in paragraph 8 below.

#### 7 COLLECTION OF THE LOT

- Unless otherwise agreed in writing with you by Bonhams, the Lot will be released to you or to your order only when Bonhams has received cleared funds to the amount of the full Purchase Price and all other sums owed by you to the Seller and to Ronhams
- The Seller is entitled to withhold possession from you of any other Lot he has sold to you at the same or at any other Sale and whether currently in Bonhams' possession or not until payment in full and in cleared funds of the Purchase Price and all other sums due to the Seller and/or Bonhams in respect of the Lot.
- You will collect and remove the Lot at your own expense from Bonhams' custody and/or control or from the Storage Contractor's custody in accordance with Bonhams' instructions or requirements.
- You will be wholly responsible for packing, handling and transport of the Lot on collection and for complying with all import or export regulations in connection with the Lot.
- You will be wholly responsible for any removal, storage or other charges or expenses incurred by the Seller if you do not remove the Lot in accordance with this paragraph 7 and will indemnify the Seller against all charges, costs, including any legal costs and fees, expenses and losses suffered by the Seller by reason of your failure to remove the Lot including any charges due under any Storage Contract. All such sums due to the Seller will be payable on demand.

#### FAILURE TO PAY FOR THE LOT

- If the Purchase Price for a Lot is not paid to Bonhams in full in accordance with the Contract for Sale the Seller will be entitled, with the prior written agreement of Bonhams but without further notice to you, to exercise one or more of the following rights (whether through Bonhams or otherwise):
- 8.1.1 to terminate immediately the Contract for Sale of the Lot for your breach of contract;
- 8.1.2 to re-sell the Lot by auction, private treaty or any other means on giving seven days' written notice to you of the intention to resell:
- 8.1.3 to retain possession of the Lot:
- 8.1.4 to remove and store the Lot at your expense;
- 8.1.5 to take legal proceedings against you for any sum due under the Contract for Sale and/or damages for breach of contract;
- 8.1.6 to be paid interest on any monies due (after as well as before judgement or order) at the annual rate of 5% per annum above the base rate of Standard Chartered Bank (Hong Kong) Limited from time to time to be calculated on a daily basis from the date upon which such monies become payable until the date of
- 8.1.7 to repossess the Lot (or any part thereof) which has not become your property, and for this purpose you hereby grant an irrevocable licence to the *Seller* by himself and to his servants or agents to enter upon all or any of your premises (with or without vehicles) during normal Business hours to take possession of the Lot or part thereof;
- 8.1.8  $\,$  to retain possession of any other property sold to you by the Seller at the Sale or any other auction or by private treaty until all sums due under the Contract for Sale shall have been paid in full in cleared funds;
- 8.1.9 to retain possession of, and on three months' written notice to sell, Without Reserve, any of your other property in the possession of the Seller and/or of Bonhams (as bailee for the Seller) for any purpose (including, without limitation, other goods sold to you) and to apply any monies due to you as a result of such sale in satisfaction or part satisfaction of any amounts owed to the Seller or to Bonhams; and
- 8.1.10 so long as such goods remain in the possession of the Seller or Bonhams as its bailee, to rescind the contract for the Sale of any other goods sold to you by the Seller at the Sale or at any other auction or by private treaty and apply any monies received from you in respect of such goods in part or full satisfaction of any amounts owed to the Seller or to Bonhams by you.
- You agree to indemnify the Seller against all legal and other costs of enforcement, all losses and other expenses and costs (including any monies payable to Bonhams in order to obtain the release of the Lot) incurred by the Seller (whether or not court proceedings will have been issued) as a result of Bonhams taking steps under this paragraph 8 on a full indemnity basis together with interest thereon (after as well as before judgement or order) at the rate specified in paragraph 8.1.6 from the date upon which the Seller becomes liable to pay the same until payment by you.
- On any re-sale of the Lot under paragraph 8.1.2, the Seller will account to you in respect of any balance remaining from any monies received by him or on his behalf in respect of the Lot, after the payment of all sums due to the Seller and to Bonhams, within 28 days of receipt of such monies by him or on his behalf.

#### THE SELLER'S LIABILITY

- 9.1 The Seller will not be liable for any injury, loss or damage. caused by the Lot after the fall of the Auctioneer's hammer in
- Subject to paragraphs 9.3 to 9.5 below, except for breach of the express undertaking provided in paragraph 2.1.5, the Seller will not be liable for any breach of any term that the Lot will correspond with any Description applied to it by or on behalf of the Seller, whether implied by the Sale of Goods Ordinance (Chapter 26 of the Laws of Hong Kong) or otherwise.
- The Seller will not be liable (whether in negligence, other tort, breach of contract or statutory duty or in restitution or under the Misrepresentation Ordinance (Chapter 284 of the Laws of Hong

- Kong), or in any other way) for any lack of conformity with, or inaccuracy, error, misdescription or omission in any Description of the Lot or any Entry or Estimate in relation to the Lot made by or on behalf of the Seller (whether made in writing, including in the Catalogue, or on the Website, or orally, or by conduct or otherwise) and whether made before or after this agreement or prior to or during the Sale.
- The Seller will not be liable for any loss of Business, Business profits or revenue or income or for loss of reputation or for disruption to Business or wasted time on the part of the Buyer or of the Buver's management or staff or, for any indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence. other tort, breach of contract, statutory duty, restitutionary claim or otherwise:
- In any circumstances where the Seller is liable to you in respect of the Lot, or any act, omission, statement, or representation in respect of it, or this agreement or its performance, and whether in damages, for an indemnity or contribution or for a restitutionary remedy or in any way whatsoever, the Seller's liability will be limited to payment of a sum which will not exceed by way of maximum the amount of the Purchase Price of the Lot irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from any negligence, other tort, breach of contract, statutory duty, bailee's duty, restitutionary claim or otherwise.
- Nothing set out in paragraphs 9.1 to 9.5 above will be construed as excluding or restricting (whether directly or indirectly)any person's liability or excluding or restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by the Seller's negligence (or any person under the Seller's control or for whom the Seller is legally responsible), or (iii) acts or omissions for which the Seller is liable under the Occupiers Liability Ordinance (Chapter 314 of the Laws of Hong Kong), or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law. MISCELLANEOUS

- You may not assign either the benefit or burden of the Contract 10.1
- The Seller's failure or delay in enforcing or exercising any power or right under the Contract for Sale will not operate or be deemed to operate as a waiver of his rights under it except to the extent of any express waiver given to you in writing. Any such waiver will not affect the Seller's ability subsequently to enforce any right arising under the Contract for Sale.
- 10.3 If either party to the Contract for Sale is prevented from performing that party's respective obligations under the Contract for Sale by circumstances beyond its reasonable control or if performance of its obligations would by reason of such circumstances give rise to a significantly increased financial cost to it, that party will not, for so long as such circumstances prevail, be required to perform such obligations. This paragraph does not apply to the obligations imposed on you by paragraph 6.
- Any notice or other communication to be given under the Contract for Sale must be in writing and may be delivered by hand or sent by first class post or air mail or fax transmission, if to the Seller, addressed c/o Bonhams at its address or fax number in the Catalogue (marked for the attention of the Company Secretary), and if to you to the address or fax number of the Buyer given in the Bidding Form (unless notice of any change of address is given in writing). It is the responsibility of the sender of the notice or communication to ensure that it is received in a legible form within any applicable time period.
- If any term or any part of any term of the Contract for Sale is held to be unenforceable or invalid, such unenforceability or invalidity will not affect the enforceability and validity of the remaining terms or the remainder of the relevant term.
- 10.6 References in the Contract for Sale to Bonhams will, where appropriate, include reference to Bonhams' officers, employees and agents.
- The headings used in the Contract for Sale are for convenience only and will not affect its interpretation.
- In the Contract for Sale "including" means "including, without limitation".
- References to the singular will include reference to the plural (and vice versa) and reference to any one gender will include reference to the other genders
- 10.10 Reference to a numbered paragraph is to a paragraph of the Contract for Sale.
- 10.11 Save as expressly provided in paragraph 10.12 nothing in the Contract for Sale confers (or purports to confer) on any person who is not a party to the Contract for Sale any benefit conferred by, or the right to enforce any term of, the Contract for Sale.
- 10.12 Where the Contract for Sale confers an immunity from, and/or an exclusion or restriction of, the responsibility and/or liability of the Seller, it will also operate in favour and for the benefit of Bonhams, Bonhams' holding company and the subsidiaries of such holding company and the successors and assignees of Bonhams and of such companies and of any officer, employee and agent of Bonhams and such companies, each of whom will be entitled to avail itself of the same relevant right at law.

#### **GOVERNING LAW AND DISPUTE** RESOLUTION

#### Law

All transactions to which this agreement applies and all connected matters will be governed by and construed in accordance with the laws of Hong Kong. Bonhams has a disputes procedure in place

#### Language

The Contract for Sale is published in both Chinese and English. If there is any dispute in its interpretation, the English version will prevail.

#### APPENDIX 2

#### **BUYER'S AGREEMENT**

IMPORTANT: These terms may be changed in advance of the sale of the Lot to you, by the setting out of different terms in the Catalogue for the Sale and/or by placing an insert in the Catalogue and/or by notices at the Sale venue and/or by oral announcements before and during the Sale at the Sale venue. You should be alert to this possibility of changes and ask in advance of bidding if there have been any.

#### THE CONTRACT

- These terms govern the contract between Bonhams personally and the Buyer, being the person to whom a Lot has been knocked down by the Auctioneer.
- The Definitions and Glossary contained in Appendix~3 to the Catalogue for the Sale are incorporated into this agreement and a separate copy can also be provided by us on request. Where words and phrases which are defined in the List of Definitions are used in this agreement, they are printed in italics. Reference is made in this agreement to information printed in the Notice to Bidders, printed at the beginning of the Catalogue for the Sale, and where such information is referred to it is incorporated into this agreement.
- Except as specified in paragraph 4 of the Notice to Bidders the Contract for Sale of the Lot between you and the Seller is made on the fall of the Auctioneer's hammer in respect of the Lot. when it is knocked down to you and at that moment a separate contract is also made between you and Bonhams on the terms in this Buyer's Agreement.
- We act as agents for the Seller and are not answerable or personally responsible to you for any breach of contract or other default by the Seller, unless Bonhams sells the Lot as principal.
- Our personal obligations to you are governed by this agreement and we agree, subject to the terms below, to the following obligations:
- we will, until the date and time specified in the Notice to Bidders or otherwise notified to you, store the Lot in accordance with paragraph 5:
- 1.5.2 subject to any power of the Seller or us to refuse to release the Lot to you, we will release the Lot to you in accordance with paragraph 4 once you have paid to us, in cleared funds, everything due to us and the Seller;
- we will provide a guarantee in the terms set out in paragraph 9.
- We do not make or give and do not agree to make or give any contractual promise, undertaking, obligation, guarantee, warranty, representation of fact in relation to any Description of the Lot or any Estimate in relation to it, nor of the accuracy or completeness of any Description or Estimate which may have been made by us or on our behalf or by or on behalf of the Seller (whether made orally or in writing, including in the Catalogue or on Bonhams' Website, or by conduct, or otherwise), and whether made before or after this agreement or prior to or during the Sale. No such Description or Estimate is incorporated into this agreement between you and us. Any such Description or Estimate, if made by us or on our behalf, was (unless Bonhams itself sells the Lot as principal) made as agent on behalf of the Seller

#### PERFORMANCE OF THE CONTRACT FOR SALE

You undertake to us personally that you will observe and comply with all your obligations and undertakings to the Seller under the Contract for Sale in respect of the Lot.

#### PAYMENT

- Unless agreed in writing between you and us or as otherwise set out in the Notice to Bidders, you must pay to us by not later than 4.30pm on the second working day following the Sale:
- The Purchase Price for the Lot;
- A Buyer's Premium in accordance with the rates set out in the Notice to Bidders on each lot, and
- 3.1.3 If the Lot is marked [AR], an Additional Premium which is calculated and payable in accordance with the Notice to Bidders together with VAT on that sum if applicable so that all sums due to us are cleared funds by the seventh working day after the Sale.
- You must also pay us on demand any Expenses payable pursuant to this agreement.
- All payments to us must be made in the currency in which the Sale was conducted, using, unless otherwise agreed by us in writing, one of the methods of payment set out in the Notice to Bidders. Our invoices will only be addressed to the registered Bidder unless the Bidder is acting as an agent for a named principal and we have approved that arrangement, in which case we will address the invoice to the principal.
- Unless otherwise stated in this agreement all sums payable to us will be subject to the Tax at the appropriate rate and such Tax will be payable by you on all such sums.
- We may deduct and retain for our own benefit from the monies paid by you to us the Buver's Premium, the Commission payable by the Seller in respect of the Lot, any Expenses and Tax and any interest earned and/or incurred until payment to the Seller.
- Time will be of the essence in relation to any payment payable to us. If you do not pay the Purchase Price, or any other sum due to us in accordance with this paragraph 3, we will have the

rights set out in paragraph 7 below.

3.7 Where a number of Lots have been knocked down to you, any monies we receive from you will be applied firstly pro-rata to pay the Purchase Price of each Lot and secondly pro-rata to pay all amounts due to Ronhams.

#### 4 COLLECTION OF THE LOT

- 4.1 Subject to any power of the Seller or us to refuse to release the Lot to you, once you have paid to us, in cleared funds, everything due to the Seller and to us, we will release the Lot to you or as you may direct us in writing. The Lot will only be released on production of a stamped, paid invoice, obtained from our cashier's office.
- 4.2 You must collect and remove the Lot at your own expense by the date and time specified in the Notice to Bidders, or if no date is specified by 4.30pm on the seventh day after the Sale.
- 4.3 For the period referred to in paragraph 4.2, the Lot can be collected from the address referred to in the Notice to Bidders for collection on the days and times specified in the Notice to Bidders. Thereafter, the Lot may be removed elsewhere for storage and you must enquire from us as to when and where you can collect it, although this information will usually be set out in the Notice to Bidders.
- 4.4 If you have not collected the Lot by the date specified in the Notice to Bidders, you authorise us, acting as your agent and on your behalf, to enter into a contract (the "Storage Contract") with the Storage Contractor for the storage of the Lot on the then current standard terms and conditions agreed between Bonhams and the Storage Contractor (copies of which are available on request). If the Lot is stored at our premises storage fees at our current daily rates (currently a minimum of HK\$50 plus Tax per Lot per day) will be payable from the expiry of the period referred to in paragraph 4.2. These storage fees form part of our Expenses.
- 4.5 Until you have paid the Purchase Price and any Expenses in full the Lot will either be held by us as agent on behalf of the Seller or held by the Storage Contractor as agent on behalf of the Seller and ourselves on the terms contained in the Storage Contract.
- 4.6 You undertake to comply with the terms of any Storage Contract and in particular to pay the charges (and all costs of moving the Lot into storage) due under any Storage Contract. You acknowledge and agree that you will not be able to collect the Lot from the Storage Contractor's premises until you have paid the Purchase Price, any Expenses and all charges due under the Storage Contract.
- 4.7 You will be wholly responsible for packing, handling and transport of the Lot on collection and for complying with all import or export regulations in connection with the Lot.
- 4.8 You will be wholly responsible for any removal, storage, or other charges for any Lot not removed in accordance with paragraph 4.2, payable at our current rates, and any Expenses we incur (including any charges due under the Storage Contract), all of which must be paid by you on demand and in any event before any collection of the Lot by you or on your behalf.

#### 5 STORING THE LOT

We agree to store the Lot until the earlier of your removal of the Lot or until the time and date set out in the Notice to Bidders (or if no date is specified, by 4.30pm on the seventh day after the Sale) and, subject to paragraphs 6 and 10, to be responsible as bailee to you for damage to or the loss or destruction of the Lot (notwithstanding that it is not your property before payment of the Purchase Price). If you do not collect the Lot before the time and date set out in the Notice to Bidders (or if no date is specified, by 4,30pm on the seventh day after the Sale) we may remove the Lot to another location, the details of which will usually be set out in the Notice to Bidders. If you have not paid for the  ${\it Lot}$  in accordance with paragraph 3, and the  ${\it Lot}$ is moved to any third party's premises, the Lot will be held by such third party strictly to Bonhams' order and we will retain our lien over the Lot until we have been paid in full in accordance with paragraph 3.

#### 6 RESPONSIBILITY FOR THE LOT

- 6.1 Only on the payment of the *Purchase Price* to us will title in the *Lot* pass to you. However under the *Contract for Sale*, the risk in the *Lot* passed to you when it was knocked down to you.
- 6.2 You are advised to obtain insurance in respect of the Lot as soon as possible after the Sale.

## 7 FAILURE TO PAY OR TO REMOVE THE LOT AND PART PAYMENTS

- 7.1 If all sums payable to us are not so paid in full at the time they are due and/or the Lot is not removed in accordance with this agreement, we will without further notice to you be entitled to exercise one or more of the following rights (without prejudice to any rights we may exercise on behalf of the Seller):
- 7.1.1 to terminate this agreement immediately for your breach of contract;
- 7.1.2 to retain possession of the Lot;
- 7.1.3 to remove, and/or store the Lot at your expense;
- 7.1.4 to take legal proceedings against you for payment of any sums payable to us by you (including the *Purchase Price*) and/or damages for breach of contract;
- 7.1.5 to be paid interest on any monies due to us (after as well as before judgement or order) at the annual rate of 5% per annum above the base lending rate of Standard Chartered Bank (Hong Kong) Limited from time to time to be calculated on a daily basis from the date upon which such monies become payable until the date of actual payment;
- 7.1.6 to repossess the Lot (or any part thereof) which has not become your property, and for this purpose you hereby grant an irrevocable licence to us, by ourselves, our servants

- or agents, to enter upon all or any of your premises (with or without vehicles) during normal business hours to take possession of any *Lot* or part thereof;
- 7.1.7 to sell the Lot Without Reserve by auction, private treaty or any other means on giving you three months written notice of our intention to do so;
- 7.1.8 to retain possession of any of your other property in our possession for any purpose (including, without limitation, other goods sold to you or with us for sale) until all sums due to us have been paid in full;
- 7.1.9 to apply any monies received from you for any purpose whether at the time of your default or at any time there after in payment or part payment of any sums due to us by you under this agreement;
- 7.1.10 on three months' written notice to sell, Without Reserve, any of your other property in our possession or under our control for any purpose (including other goods sold to you or with us for sale) and to apply any monies due to you as a result of such sale in payment or part payment of any amounts owed to us;
- 7.1.11 refuse to allow you to register for a future Sale or to reject a bid from you at any future Sale or to require you to pay a deposit before any bid is accepted by us at any future Sale in which case we will be entitled to apply such deposit in payment or part payment, as the case may be, of the Purchase Price of any Lot of which you are the Buyer.
- 7.2 You agree to indemnify us against all legal and other costs, all losses and all other expenses (whether or not court proceedings will have been issued) incurred by us as a result of our taking steps under this paragraph 7 on a full indemnity basis together with interest thereon (after as well as before judgement or order) at the rate specified in paragraph 7.1.5 from the date upon which we become liable to pay the same until payment by you.
- 7.3 If you pay us only part of the sums due to us such payment shall be applied firstly to the Purchase Price of the Lot (or where you have purchased more than one Lot pro-rata towards the Purchase Price of each Lot) and secondly to the Buyer's Premium (or where you have purchased more than one Lot pro-rata to the Buyer's Premium on each Lot) and thirdly to any other sums due to us.
- 7.4 We will account to you in respect of any balance we hold remaining from any monies received by us in respect of any sale of the Lot under our rights under this paragraph 7 after the payment of all sums due to us and/or the Seller within 28 days of receipt by us of all such sums paid to us.

#### 8 CLAIMS BY OTHER PERSONS IN RESPECT OF THE LOT

- .1 Whenever it becomes apparent to us that the Lot is the subject of a claim by someone other than you and other than the Seller (or that such a claim can reasonably be expected to be made), we may, at our absolute discretion, deal with the Lot in any manner which appears to us to recognise the legitimate interests of ourselves and the other parties involved and lawfully to protect our position and our legitimate interests. Without prejudice to the generality of the discretion and by way of example, we may:
- 8.1.1 retain the Lot to investigate any question raised or reasonably expected by us to be raised in relation to the Lot; and/or
- 8.1.2 deliver the Lot to a person other than you; and/or
- 8.1.3 commence interpleader proceedings or seek any other order of any court, mediator, arbitrator or government body; and/or
- 8.1.4 require an indemnity and/or security from you in return for
- pursuing a course of action agreed to by you.

  8.2 The discretion referred to in paragraph 8.1:
- 8.2.1 may be exercised at any time during which we have actual or constructive possession of the Lot, or at any time after such possession, where the cessation of such possession has occurred by reason of any decision, order or ruling of any court, mediator, arbitrator or government body; and
- 8.2.2 will not be exercised unless we believe that there exists a serious prospect of a good arguable case in favour of the claim.

#### FORGERIES

- 9.1 We undertake a personal responsibility for any Forgery in accordance with the terms of this paragraph 9.
- 9.2 Paragraph 9 applies only if:
- 9.2.1 your name appears as the named person to whom the original invoice was made out by us in respect of the Lot and that invoice has been paid; and
- 9.2.2 you notify us in writing as soon as reasonably practicable after you have become aware that the Lot is or may be a Forgery, and in any event within one year after the Sale, that the Lot is a Forgery; and
- 9.2.3 within one month after such notification has been given, you return the Lot to us in the same condition as it was at the time of the Sale, accompanied by written evidence that the Lot is a Forgery and details of the Sale and Lot number sufficient to identify the Lot.
- 9.3 Paragraph 9 will not apply in respect of a Forgery if:
- 9.3.1 the Entry in relation to the Lot contained in the Catalogue reflected the then accepted general opinion of scholars and experts or fairly indicated that there was a conflict of such opinion or reflected the then current opinion of an expert acknowledged to be a leading expert in the relevant field; or
- 9.3.2 it can be established that the Lot is a Forgery only by means of a process not generally accepted for use until after the date on which the Catalogue was published or by means of a process which it was unreasonable in all the circumstances for us to have employed.
- 1.4 You authorise us to carry out such processes and tests on the Lot as we in our absolute discretion consider necessary to satisfy ourselves that the Lot is or is not a Forgery.

- .5 If we are satisfied that a Lot is a Forgery we will (as principal) purchase the Lot from you and you will transfer the title to the Lot in question to us, with full title guarantee, free from any liens, charges, encumbrances and adverse claims, in accordance with the provisions of Sections 14(1) (a) and 14(1) (b) of the Sale of Goods Ordinance (Chapter 26 of the Laws of Hong Kong) and we will pay to you an amount equal to the sum of the Purchase Price, Buyer's Premium, Tax and Expenses paid by you in respect of the Lot.
- 9.6 The benefit of paragraph 9 is personal to, and incapable of assignment by, you.
- 9.7 If you sell or otherwise dispose of your interest in the Lot, all rights and benefits under this paragraph will cease.
- 9.8 Paragraph 9 does not apply to a Lot made up of or including a Chinese painting or Chinese paintings, a motor vehicle or motor vehicles, a Stamp or Stamps or a Book or Books.

#### 10 OUR LIABILITY

- 10.1 We will not be liable whether in negligence, other tort, breach of contract or statutory duty or in restitution or under the Misrepresentation Ordinance (Chapter 284 of the Laws of Hong Kong) or in any other way for lack of conformity with or any inaccuracy, error, misdescription or omission in any Description of the Lot or any Entry or Estimate in respect of it, made by us or on our behalf or by or on behalf of the Seller (whether made in writing, including in the Catalogue, or on the Bonhams' Website, or orally, or by conduct or otherwise) and whether made before or after this agreement or prior to or during the Sale.
- 10.2 Our duty to you while the Lot is at your risk and/or your property and in our custody and/or control is to exercise reasonable care in relation to it, but we will not be responsible for damage to the Lot or to other persons or things caused by:
- 10.2.1 handling the Lot if it was affected at the time of sale to you by woodworm and any damage is caused as a result of it being affected by woodworm: or
- 10.2.2 changes in atmospheric pressure; nor will we be liable for: 10.2.3 damage to tension stringed musical instruments; or
- 10.2.4 damage to glided picture frames, plaster picture frames or picture frame glass; and if the Lot is or becomes dangerous, we may dispose of it without notice to you in advance in any manner we think fit and we will be under no liability to you for doing so.
- 10.3 We will not be liable to you for any loss of Business, Business profits, revenue or income or for loss of Business reputation or for disruption to Business or wasted time on the part of the Buyer's management or staff or, if you are buying the Lot in the course of a Business, for any indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence, other tort, breach of contract, statutory duty, ballee's duty, a restitutionary claim or otherwise.
- 10.4 In any circumstances where we are liable to you in respect of a Lot, or any act, omission, statement, representation in respect of it, or this agreement or its performance, and whether damages, for an indemnity or contribution or for a restitutionary remedy or in any way whatsoever, our liability will be limited to payment of a sum which will not exceed by way of maximum the amount of the Purchase Price of the Lot plus Buyer's Premium (less any sum you maybe entitled to recover from the Seller) irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from negligence, other tort, breach of contract, statutory duty, bailee's duty, a restitutionary claim or otherwise.
  - You may wish to protect yourself against loss by obtaining insurance.
- 0.5 Nothing set out above will be construed as excluding or restricting (whether directly or indirectly) any person's liability or excluding restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by our negligence (or any person under our control or for whom we are legally responsible), or (iii) acts or omissions for which we are liable under the Occupiers Liability Ordinance (Chapter 314 of the Laws of Hong Kong), or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law, or (v) under our undertaking in paragraph 9 of these conditions.

#### 11 MISCELLANEOUS

- 11.1 You may not assign either the benefit or burden of this agreement.
- 11.2 Our failure or delay in enforcing or exercising any power or right under this agreement will not operate or be deemed to operate as a waiver of our rights under it except to the extent of any express waiver given to you in writing. Any such waiver will not affect our ability subsequently to enforce any right arising under this agreement.
- 1.3 If either party to this agreement is prevented from performing that party's respective obligations under this agreement by circumstances beyond its reasonable control or if performance of its obligations would by reason of such circumstances give rise to a significantly increased financial cost to it, that party will not, for so long as such circumstances prevail, be required to perform such obligations. This paragraph does not apply to the obligations imposed on you by paragraph 3.
- 11.4 Any notice or other communication to be given under this agreement must be in writing and may be delivered by hand or sent by registered post or air mail or fax transmission (if to Bonhams marked for the attention of the Company Secretary), to the address or fax number of the relevant party given in the

- Contract Form (unless notice of any change of address is given in writing). It is the responsibility of the sender of the notice or communication to ensure that it is received in a legible form within any applicable time period.
- 11.5 If any term or any part of any term of this agreement is held to be unenforceable or invalid, such unenforceablity or invalidity will not affect the enforceability and validity of the remaining terms or the remainder of the relevant term.
- 11.6 References in this agreement to Bonhams will, where appropriate, include reference to Bonhams' officers, employees and agents.
- 11.7 The headings used in this agreement are for convenience only and will not affect its interpretation.
- 11.8 In this agreement "including" means "including, without limitation".
- 11.9 References to the singular will include reference to the plural (and vice versa) and reference to any one gender will include reference to the other genders.
- 11.10 Reference to a numbered paragraph is to a paragraph of this agreement.
- 11.11 Save as expressly provided in paragraph 11.12 nothing in this agreement confers (or purports to confer) on any person who is not a party to this agreement any benefit conferred by, or the right to enforce any term of, this agreement.
- 11.12 Where this agreement confers an immunity from, and/or an exclusion or restriction of, the responsibility and/or liability of Bonhams, it will also operate in favour and for the benefit of Bonhams' holding company and the subsidiaries of such holding company and the successors and assigns of Bonhams and of such companies and of any officer, employee and agent of Bonhams and such companies, each of whom will be entitled to avail itself of the same relevant right at law.

#### 12 GOVERNING LAW

#### 12.1 Law

All transactions to which this agreement applies and all connected matters will be governed by and construed in accordance with the laws of Hong Kong. Bonhams has a disputes procedure in place.

12.2 Language

The Buyer's Agreement is published in both Chinese and English. If there is any dispute in its interpretation, the English version will

#### DATA PROTECTION - USE OF YOUR INFORMATION

As a result of the services provided by us, we obtain personal data about you (which expression for the purposes of this paragraph only includes your employees and officers, if relevant). You agree to our use of it as follows:

We may use your data to notify you about changes to our services and to provide you with information about products or services that you request from us or which we feel may be of interest to you. Data about you may be analysed to identify your potential preferences for these purposes. We may disclose your data to any member of our group (which means our subsidiaries, our ultimate holding company and its subsidiaries as defined in section 1159 and schedule 6 of the Companies Act 2006, including any overseas subsidiary), Subject to this, we will not disclose your data to any third party but we may from time to time provide you with information about goods and services provided by third parties which we feel may be of interest to you. Any member of our group may use your data for similar purposes We will keep your data for a period of five years from the date of your last contact with us so as to simplify any future registration. The data may be transferred to and stored outside Hong Kong and you agree to this transfer.

You have the right to request us not to use your information for these purposes by contacting Bonhams (Hong Kong) Limited at Montpelier Galleries, Montpelier Street, London, SW7 1HH, United Kingdom (which for the purpose of the Personal Data (Privacy) Ordinance (Chapter 486 of the Laws of Hong Kong) is the data user) or by e-mail at client. services@ bonhams.com.

#### APPENDIX 3

#### DEFINITIONS and GLOSSARY

Where these Definitions and Glossary are incorporated, the following words and phrases used have (unless the context otherwise requires) the meanings given to them below. The Glossary is to assist you to understand words and phrases which have a specific legal meaning with which you may not be familiar.

#### LIST OF DEFINITIONS

"Additional Premium" a premium, calculated in accordance with the Notice to Bidders, to cover Bonhams' expenses relating to the payment of royalties under the Artists Reseal Right Regulations 2006 which is payable by the Buyer to Bonhams on any Lot marked [16] which sells for a Hammer Price which together with the Buyer's Premium (but excluding any VAT) equals or exceeds 1000 euros (converted into the currency of the Sale using the European Central Bank Reference rate prevailing on the date of the Sale).

- "Auctioneer" the representative of Bonhams conducting the Sale. "Bidder" a person who has completed a Bidding Form.
- "Bidding Form" our Bidder Registration Form, our Absentee and Telephone Bidding Form.
- "Bonhams" Bonhams (Hong Kong) Limited or its successors or assigns. Bonhams is also referred to in the Buyer's Agreement, the Conditions of Business and the Notice to Bidders by the words "we", "us" and "our".
- "Book" a printed book offered for sale at a specialist book sale. "Business" includes any trade, business and profession.
- "Buyer" the person to whom a Lot is knocked down by the Auctioneer. The Buyer is also referred to in the Contract of Sale and the Buyer's Agreement by the words "vou" and "vour".
- "Buyer's Agreement" the contract entered into by Bonhams with the

Buyer (see Appendix 2 in the Catalogue)

"Buyer's Premium" the sum calculated on the Hammer Price at the rates stated in the Notice to Bidders.

"Catalogue" the catalogue relating to the relevant Sale, including any representation of the catalogue published on our Website.

"Commission" the commission payable by the Seller to Bonhams calculated at the rates stated in the Contract Form.

"Condition Report" a report on the physical condition of a Lot provided to a Bidder or potential Bidder by Bonhams on behalf of the Seller.

"Consignment Fee" a fee payable to Bonhams by the Seller calculated at rates set out in the Conditions of Business.

"Contract Form" the contract form, or vehicle entry form, as applicable, signed by or on behalf of the Seller listing the Lots to be offered for sale by Bonhams.

"Contract for Sale" the sale contract entered into by the Seller with the Buyer (see Appendix 1 in the Catalogue).

"Contractual Description" the only description of the Lot (being that part of the Entry about the Lot in the Catalogue which is in bold letters, any photograph (except for the colour) and the contents of any Condition Report) to which the Seller undertakes in the Contract of Sale the Lot corresponds.

"Description" any statement or representation in any way descriptive of the Lot, including any statement or representation relating to its authorship, attribution, condition, provenance, authenticity, style, period, age, suitability, quality, origin, value, estimated selling price (including the Hammer Price).

"Entry" a written statement in the Catalogue identifying the Lot and its lot number which may contain a description and illustration(s) relating to the Lot.

"Estimate" a statement of our opinion of the range within which the hammer is likely to fall.

"Expenses" charges and expenses paid or payable by Bonhams in respect of the Lot including legal expenses, banking charges and expenses incurred as a result of an electronic transfer of money, charges and expenses for insurance, catalogue and other reproductions and illustrations, any customs duties, advertising, packing or shipping costs, reproductions rights' fees, Taxes, levies, costs of testing, searches or enquiries, preparation of the Lot for sale, storage charges, removal charges or costs of collection from the Seller as the Seller's agents or from a defaulting Buyer, plus Tax.

"Forgery" an imitation intended by the maker or any other person to deceive as to authorship, attribution, origin, authenticity, style, date, age, period, provenance, culture, source or composition, which at the date of the Sale had a value materially less than it would have had if the Lot had not been such an imitation, and which is not stated to be such an imitation in any description of the Lot. A Lot will not be a Forgery by reason of any damage to, and/or restoration and/or modification work (including repainting or over painting) having been carried out on the Lot, where that damage, restoration or modification work (as the case may be) does not substantially affect the identity of the Lot as one conforming to the description of the Lot.

"Guarantee" the obligation undertaken personally by Bonhams to the Buyer in respect of any Forgery and, in the case of specialist Stamp Sales and/or specialist Book Sales, a Lot made up of a Stamp or Stamps or a Book or Books as set out in the Buyer's Agreement.

"Hammer Price" the price in the currency in which the Sale is conducted at which a Lot is knocked down by the Auctioneer. "Hong Kong" the Hong Kong Special Administrative Region of the

People's Republic of China. "Loss and Damage Warranty" means the warranty described in paragraph 8.2.1 of the Conditions of Business.

"Loss and Damage Warranty Fee" means the fee described in paragraph 8.2.3 of the Conditions of Business.

"Lot" any item consigned to Bonhams with a view to its sale at auction or by private treaty (and reference to any Lot will include, unless the context otherwise requires, reference to individual items comprised in a group of two or more items offered for sale as one lot).

"Motoring Catalogue Fee" a fee payable by the Seller to Bonhams in consideration of the additional work undertaken by Bonhams in respect of the cataloguing of motor vehicles and in respect of the promotion of sales of motor vehicles.

"New Bond Street" means Bonhams' saleroom at 101 New Bond Street, London W1S 1SR.

"Notional Charges" the amount of Commission and Tax which would have been payable if the Lot had been sold at the Notional Price.
"Notional Fee" the sum on which the Consignment Fee payable to Bonhams by the Seller is based and which is calculated according to the formula set out in the Conditions of Business.

"Notional Price" the latest in time of the average of the high and low estimates given by us to you or stated in the Catalogue or, if no such estimates have been given or stated, the Reserve applicable to the

"Notice to Bidders" the notice printed at the front of our Catalogues. "Purchase Price" the aggregate of the Hammer Price and Tax on the Hammer Price. (where applicable) the Buyer's Premium and VAT on the Buyer's Premium and any Expenses.

"Reserve" the minimum price at which a Lot may be sold (whether at auction or by private treaty).
"Sale" the auction sale at which a Lot is to be offered for sale by

Bonhams.

"Sale Proceeds" the net amount due to the Seller from the sale of a

"Sale Proceeds" the net amount due to the Seller from the sale of a Lot, being the Hammer Price less the Commission, any Tax chargeable thereon, Expenses and any other amount due to us in whatever capacity and howsoever arising.

"Seller" the person who offers the Lot for sale named on the Contract Form. Where the person so named identifies on the form another person as acting as his agent, or where the person named on the Contract Form acts as an agent for a principal (whether such agency is disclosed to Bonhams or not), "Seller" includes both the agent and the

principal who shall be jointly and severally liable as such. The Seller is also referred to in the Conditions of Business by the words "you" and "your"

"Specialist Examination" a visual examination of a Lot by a specialist on the Lot

"Stamp" means a postage stamp offered for sale at a Specialist Stamp sale.

"Standard Examination" a visual examination of a Lot by a nonspecialist member of Bonhams' staff.

"Storage Contract" means the contract described in paragraph 8.3.3 of the Conditions of Business or paragraph 4.4 of the Buyer's Acreement (as appropriate).

"Storage Contractor" means the company identified as such in the Catalogue.

"Tax" means all taxes, charges, duties, imposts, fees, levies or other assessments, and all estimated payments thereof, including without limitation income, business profits, branch profits, excise, property, sales, use, value added (VAT), environmental, franchise, customs, import, payroll, transfer, gross receipts, withholding, social security, unemployment taxes, as well as stamp duties and other costs, imposed by the Hong Kong government applicable from time to time and any interest and penalty relating to such taxes, charges, fees, levies or other assessments.

"Terrorism" means any act or threatened act of terrorism, whether any person is acting alone or on behalf of or in connection with any organisation(s) and/or government(s), committed for political, religious or ideological or similar purposes including, but not limited to, the intention to influence any government and/or put the public or any section of the public into fear.

"Trust Account" the bank account of Bonhams into which all relevant sums received in respect of the Purchase Price of any Lot will be paid, such account to be a distinct and separate account to Bonhams' normal business bank account.

"Website" Bonhams website at www.bonhams.com.

"Withdrawal Notice" the Seller's written notice to Bonhams revoking Bonhams' instructions to sell a Lot.

"Without Reserve" where there is no minimum price at which a Lot may be sold (whether at auction or by private treaty).

#### GLOSSARY

The following expressions have specific legal meanings with which you may not be familiar. The following glossary is intended to give you an understanding of those expressions but is not intended to limit their lead meanings:-

"artist's resale right": the right of the creator of a work of art to receive a payment on sales of that work subsequent to the original sale of that work by the creator of it as set out in the Artists Resale Right Regulations 2006.

"bailee": a person to whom goods are entrusted.

"indemnity": an obligation to put the person who has the benefit of the indemnity in the same position in which he would have been, had the circumstances giving rise to the indemnity not arisen and the expression "indemnify" is construed accordingly.

"interpleader proceedings": proceedings in the Courts to determine ownership or rights over a Lot.

"knocked down": when a Lot is sold to a Bidder, indicated by the fall

of the hammer at the Sale.

"lien": a right for the person who has possession of the Lot to retain

possession of it. **"risk"**: the possibility that a Lot may be lost, damaged, destroyed,

"risk": the possibility that a Lot may be lost, damaged, destroyed stolen, or deteriorate in condition or value.

"title": the legal and equitable right to the ownership of a Lot.
"tort": a legal wrong done to someone to whom the wrong doer has a duty of care.

## SALE OF GOODS ORDINANCE (Chapter 26 of the Laws of Hong Kong)

The following is an extract from the Sale of Goods Ordinance (Chapter 26 of the Laws of Hong Kong):

"Section 14 Implied undertaking as to title etc.

 In every contract of sale, other than one to which subsection (2) applies, there is-

(a) an implied condition on the part of the seller that in the case of the sale, he has a right to sell the goods, and in the case of an agreement to sell, he will have a right to sell the goods at the time when the property is to pass; and

(b) an implied warranty that the goods are free, and will remain free until the time when the property is to pass, from any charge or encumbrance not disclosed or known to the buyer before the contract is made and that the buyer will enjoy quiet possession of the goods except so far as it may be disturbed by the owner or other person entitled to the benefit of any charge or encumbrance so disclosed or known.

(2) In a contract of sale, in the case of which there appears from the contract or is to be inferred from the circumstances of the contract an intention that the seller should transfer only such title as he or a third person may have, there is-(a) an implied warranty that all charges or encumbrances known to the seller and not known to the buyer have been disclosed to the buyer before the contract is made; and (b) an implied warranty that neither-

(i) the seller; nor

(ii) in a case where the parties to the contract intend that the seller should transfer only such title as a third person may have, that person; nor

(iii) anyone claiming through or under the seller or that third person otherwise than under a charge or encumbrance disclosed or known to the buyer before the contract is made, will disturb the buyer's quiet possession of the goods.

#### 競投人通告

本通告乃由邦瀚斯致任何可能對拍賣品有興趣的人士,包括競投人或潛在競投人(包括拍賣品的任何最終買家)。為便於提述,本文稱該等人士為「競投人」或「閣下」。

本競投人通告 附有釋義及詞彙。該等釋義及詞彙載於 圖錄後的附錄三內,釋義內所收錄的詞語及用詞在本 文內以斜體刊載。

重要事項: 有關拍賣會的額外資料可載於拍賣會的圖錄、圖錄的插頁及/或於拍賣會場地展示的通告,閣下亦須參閱該等資料。本公司亦可於拍賣會前或於拍賣會上以口頭形式發出會影響拍賣會的公佈,而毋須事先給予書面通知。閣下須注意此等可能變動的情況,並於競投前查詢是否有任何變動。

#### 1. 本公司的角色

作為拍賣品的拍賣人,邦瀚斯 純粹代賣家及為賣家的權益行事。邦瀚斯 的職責為於拍賣會以可從競投人取 得的 最高價格 出售拍賣品。邦瀚斯 並非以這角色為買家或競投人行事,亦不向買家或競投人提供意見。邦瀚斯或其職員就拍賣品作出陳述或若邦瀚斯提供有關拍賣品的狀況報告時,邦瀚斯或其職員乃代表賣家行事。本公司強烈建議本身並非有關拍賣品之專家的買家或競投人須於競投前尋求並取得有關拍賣品及其價值的獨立意見。

賣家已授權邦瀚斯 作為其代理及其代表出售拍賣品, 除非本公司明確表示並非如此, 邦瀚斯 僅作為賣家的 代理行事。除非邦瀚斯 作為主事人出售拍賣品,本公 司就拍賣品所作的任何陳述或申述均為代表賣家作出 而非代表本公司作出,而任何銷售合約乃買家與賣家 訂立而非與本公司訂立。倘若邦瀚斯 作為主事人出售 拍賣品,本公司會就此情況於圖錄內説明或由拍賣人 作出公佈,或於拍賣會的通告或圖錄的插頁説明。 邦瀚斯 毋須對閣下承擔亦概無向閣下承諾或同意任何 合約或侵權法下的義務或責任(不論直接、間接、明 示、暗示或以其他方式)。在閣下成功投得並購買拍 賣品時,邦瀚斯 會在其時與買家訂立協議,該合約的 條款載於買家協議,除非該等條款已於拍賣會前及/ 或於拍賣會上以口頭公佈形式被修訂,閣下可於圖錄 後的附錄二查閱該協議。邦瀚斯與買家的關係受該協 議所担管。

#### 2. 拍賣品

在圖錄內有關拍賣品的資料內以粗體刊載的合約說明 所規限下(見下文第3段),拍賣品乃以其「現況」 售予買家,附有各種瑕疵及缺點。在圖錄內並無就拍 賣品的任何瑕疵、損壞或修復提供指引。請參考第 15段。

圖錄內或其他地方有關任何拍賣品的插圖及照片

(屬合約説明一部份的照片除外)僅供識別之用,可 能並不反映拍賣品的真實狀況,照片或插圖亦可能未 有進確重現拍賣品的額色。

拍賣品於拍賣會前可供查看,閣下須自行了解拍賣品的每個和各個方面,包括作者、屬性、狀況、出處、歷史、背景、真實性、風格、時期、年代、適合性、品質、駕駛性能(如適用)、來源地、價值及估計售價(包括成交價)。數閣下有興趣的任何拍賣品進行審查乃閣下的責任。

敬須注意拍賣品的實際狀況可能不及其外觀所顯示的 狀況。尤其是可能有部件已置換或更新,拍賣品亦可 能並非真品或具有滿意品質:拍賣品的內部可能無法 查看,而其可能並非原物或有損壞,例如為襯裡或 物料所覆蓋。鑑於很多拍賣品出品年代久遠,故可能 有損毀及/或經過修理,閣下不應假設拍賣品狀況 良好。

電子或機械部件或會不能操作或並不符合現時的法定 要求。閣下不應假設其設計為使用主電源的電器物品 乃適合接上主電源,閣下應在得到合格電工報告其適 合使用主電源後,方可將其接上主電源。不適合接上 電源的物品乃僅作為擺設物品出售。

若閣下對拍賣品並無專業知識,則應諮詢有該等知識 人士的意見。本公司可協助閣下安排進行(或已進 行)更詳細的查驗。詳情請向本公司職員查詢。 任何人士損毀拍賣品須承擔所導致的損失。

#### 3. 拍賣品的説明及成交價估計

#### 拍賣品的合約説明

圖錄內載有每項拍賣品的資料。賣家僅按資料內以粗體刊載的部份以及(除顏色外,該等顏色可能未有準確重現拍賣品的顏色)圖錄內所載的任何照片,向買家相應出售每項拍賣品。資料內其餘並非以粗體刊載的部份,僅為邦瀚斯代表賣家就拍賣品提供的意見,並不構成合約說明一部份,而賣家乃根據合約說明出售拍賣品。

#### 成交價估計

在大部份情況下,成交價估計會刊載於資料旁邊。成 交價估計僅為邦瀚斯代表賣家表達的意見,而邦瀚斯 認為拍賣品相當可能會以該價成交;成交價估計並非 對價值的估計。成交價估計並無計及任何應付税項或 買家費用。拍賣品實際成交價可能低於或高於成交價估計。閣下不應依賴任何成交價估計為拍賣品實際售價或價值的指標。

成交價估計採用拍賣會所用的貨幣單位。

#### 狀況報告

就大部份拍賣品而言,閣下可要求邦瀚斯提供拍賣品的狀況報告。若閣下提出該要求,則邦瀚斯會負費代賣家提供該報告。邦瀚斯 並無就該狀況報告與閣下訂立合約,因此,邦瀚斯並不就該報告向閣下承擔日。對此份供閣下本身或閣下所指示專家查閱的免費報告,賣家向閣下作為競投人亦不承擔或並無同意承擔任何義務或拍賣品的合約說明一部份,賣家乃根據合約說明尚買家出售拍賣品。

#### 賣家對閣下的責任

就賣家或其代表所作出以任何形式說明拍賣品或有關拍賣品預測售價或可能售價的任何陳述或申述的準確性或完備性,賣家並無或並無同意作出任何事實陳述或合約承諾、擔保或保證,亦不就其承擔不論合約或侵權法上的任何務務或責任(除對上述對最終買賣品任係外)。除以上所述分,以任何形式說明拍賣品或任何成交價估計的陳述或申述概不納入賣家與買家訂立的任何銷售合約內。

#### 邦瀚斯 對閣下的責任

如閣下擬查看拍賣品,閣下會獲得有關安排。有關拍 賣品的銷售合約乃與賣家訂立而非邦瀚斯: 邦瀚斯 僅 作為賣家的代理行事(邦瀚斯作為主事人出售拍賣品 除外)。

邦瀚斯 概不向閣下承擔任何對於每件拍賣品進行查 驗、調查或任何測試 (足夠深入或完全不進行), 以確定邦瀚斯或代表邦瀚斯的任何人士在圖錄內或 其他地方作出的任何説明或意見的準確性或其他的 責任。

閣下不應假定已經進行該等查驗、調查或測試。 就邦瀚斯 或其代表所作出以任何形式說明拍賣品或有 關拍賣品預測售價或可能售價的任何陳述或申述的準 確性或完備性,邦瀚斯 並無或並無同意作出任何事實 陳述,亦不就其來擔任何(不論合約或侵權法上的) 養務或責任。

邦瀚斯 或其代表以任何形式説明拍賣品或任何成交價估計的陳述或申述概不納入買家協議內。

修改邦瀚斯可於拍賣會前或於拍賣會上以口頭或書面 形式給予通知下,不時按邦瀚斯的酌情權決定修改説 明及成交價估計。

拍賣品可供查看,而閣下必須自行對拍賣品作出判斷。本公司強烈建議閣下於拍賣會前親自或委託他人代閣下查看拍賣品。

#### 4. 拍賣會的規則

本公司舉行的拍賣會為公開拍賣,各界人士均可參加,閣下亦應把握其機會。

本公司亦保留權利,可全權酌情拒絕任何人士進入本公司物業或任何拍賣會,而無須提出理由。本公司可會、拍賣會進行的方式,以及本公司可以按我們選擇的任何次序進行拍賣,而不論圖錄內所載的拍賣品編號。因此,閣下應查核拍賣會的日期及開始時間,是否有拍賣品撤銷或有新加入的拍賣品。請注意有拍賣品撤銷或新加入的可能影響閣下對其有興趣的拍賣品

和真还原刊起緬守小时100項和頁面,则山頂增帽 般約為10%。然而,這些都可因不同的拍賣會及拍賣 人而有所不同,請向主辦拍賣會的部門查詢這方面的 意見。

倘若拍賣品有底價,拍賣人可按其絕對酌情權代表賣 家出價(直至金額不等於或超過該底價為止)。本 公司不會就任何拍賣品設有底價或不設底價而向閣下 自書。

倘若設有底價,並假設底價所用的貨幣單位對成交價 估計所用貨幣單位的匯率並無出現不利變動,底價通 常不會高於圖錄所載的任何最低成交價估計。

任何拍賣品的買家為出價最高者(在符合任何適用的底價的情况下)並為拍賣人以敲打拍賣人槌子形式接納其出價的競投人。任何有關最高可接受出價的爭議由拍賣人以絕對酌情權決定。

所有競投出價須就拍賣人宣佈的實際拍賣品編號作出。

拍賣會上可能會使用電子貨幣換算機。該設備乃為採用若干貨幣的出價而提供與其相等幣值的一般指引,本公司不會就使用該等貨幣換算機的任何錯誤而負責。

在某些例如拍賣珠寶的拍賣會,我們或會在銀幕上投射拍賣品的影像,此服務乃為便於在拍賣會上觀看。銀幕上的影像只應視為顯示當時正進行拍賣的拍賣品,所有競投出價均與拍賣人實際宣佈的拍賣品編號商關,本公司不會就使用該等銀幕的任何錯誤而負責。

#### 5. 競投

參加競投的任何人士,必須於拍賣會前填妥並交回本公司的競投表格,競投人會記表格或缺席者及電司可 投表格),否則本公司不會接受其出侵。本公司可要 求閣下提供有關身份、住址、財務資料及介紹人的 證明,閣下必須應本公司要求提供該等證明,內別本公司不會接受閣下出價。請攜帶護照、香港身份證( 或时有照片的類似身份證明文件)及扣賬卡或信用卡 过席拍賣會。本公司可要求閣下交付保證金,方接受 競投。

即使已填妥競投表格,本公司仍有權拒絕任何人士進入拍賣會。

#### 親自出席競投

閣下須於拍賣會舉行當日(或,如可以,之前)前往 拍賣會的競投人登記櫃檯填寫競投人登記表格。所採 用的競投編號制度可稱為「舉牌競投」。閣下會獲發 一個註有號碼的大型牌子(「號牌」),以便閣下該 拍賣會競投。要成功投得拍賣品,閣下須確保拍賣人 可看到閣下號牌的號碼,該號碼會用作識別閣下為買 家。由於所有拍賣品均會財子吃應將號牌轉交任何其 他人士使用。發票一經發出後將不予更改。

若對於成交價或閣下是否成功投得某項拍賣品有任何 疑問,閣下必須於下一項拍賣品競投前向拍賣人提 出。拍賣人的決定得視為最終及不可推翻的決定。 拍賣會結束後,或閣下完成競投後,請把號牌交回競 投入登記櫃檯。

#### **電話競投**

若閣下擬用電話於拍賣會競投,請填妥缺席者及電話 競投表格,該表格可於本公司辦事處案取或附於圖錄 內。請於拍賣會舉行前最少24小時把該表格交回的競 責有關拍寶會的辦事處。閣下須負責查核本公司的競 投辦事處是否已收到閣下的出價。電話內容可能被 音。電話競投辦法為一項視情況酌情提供的服務,並 非所有拍賣品投時電話接駁受到干擾,本公司將來 閣下,或競投時電話接駁受到干擾,本公司 代表閣下競投。有關進一步詳情請與我們聯絡。

#### 以郵遞或傳真方式競投

缺席者及電話競投表格載於本圖錄後,閣下須填妥該 表格並送交負責有關拍賣會的辦事處。由於在有兩個 或以上競投人就拍賣品遞交相同出價時,會優先接受 最先收到的出價,因此,為閣下的利益起見,應盡早 交回表格。無論如何,所有出價最遲須於拍賣會開始 前24小時收到。請於交回閣下的缺席者及電話競投表 格前,仔细檢查該表格是否已填妥並已由關下簽署。 閣下須負責查核本公司的競投辦事處是否已收到閣下 的出價。此項額外服務屬免費及保密性質。閣下須承 擔作出該等出價的風險,本公司不會就未能收到及/ 或代為出價而承擔任何責任。所有代閣下作出的出價 會以盡可能最低的價格作出,惟須受拍賣品的底價及 其他出價的規限。在適當時,閣下的出價會下調至最 接近之金額,以符合拍賣人指定的出價增幅。新競投 人在遞交出價時須提供身份證明,否則可導致閣下的 出價不予受理。

#### 網上競投

參與在線競拍,首先您必須年滿18歲,並且必須通過 邦瀚斯官方應用程序或www.bonhams.com 註冊。 一 旦註冊,您應嚴格保密您的賬戶及個人信息,防止任 何第三方代表您或以其他任何方式登入您的賬戶。您 將通過您的賬戶進行的所有出價負全部責任。請注 意,一旦競拍成功,您必須從您個人或公司(取決於 賬戶類型)名下的銀行賬戶進行付款。

個人賬戶:輸入您的全名、電子郵件、居住地址、出 生日期和國籍,並提供您名下的有效信用卡。在您能 夠競投之前,將涌過Stripe進行驗證。 如果您的信用卡 沒有通過驗證,您將不被允許競拍。此時您可以聯繫 客戶服務部尋求幫助。 此外,我們可能會在允許競拍 之前要求您提供額外的財務證明和/或存款證明。 如果 您代表另一方競拍,您將需要:(i)向客戶服務部做出申 請:(ii)提供我們需要的信息,完成對該第三方的身份 和反洗錢調查: (ii)如果您競拍成功,您將需要與該方 共同或承擔拍品的全部款項。 如果您成功拍得拍品的 任何落槌價等於或超過5,000英鎊/10,000美元/50,000 港幣/10,000澳幣(取決於該場拍賣司法管轄區的貨 , 並且您之前沒有提供過上述文件, 您將需要上 傳或向客戶服務部提供您通過政府簽發的帶照片的身 份證,以及(如果身份證上沒有)您的住址證明。我 們只有在收到上述文件後,才能將拍品交給您。我們 保留要求任何競拍人或成功買家提供身份證明文件的 權利,並保留拒絕釋放任何購買的拍品,直到收到此 類文件的權利。

公司賬戶:在建立新賬戶時,您必須選擇建立一個公 司賬戶。然後提供您的全名、電子郵件、住址、出生 日期和公司的全名。 您必須提供一張以您的名義或公 司名義的信用卡進行賬戶驗證。但是在競拍成功後, 付款必須從公司名義的銀行賬戶中進行。如果信用卡 不能通過驗證,您將不被允許競拍。此時您可以聯繫 客戶服務部尋求幫助。 此外,在允許競拍之前,我們 可能會要求您提供銀行證明或存款證明。 在成功拍得 拍品後,您還需要提供公司的計冊證書或同類文件, 以確認公司的名稱和註冊地址。還有每一名公司25% 或以上受益人的文件證明,以及您的交易授權證明。 只有在收到全部文件後,我們才能將拍品交給您。 我們保留要求任何競拍人向我們提供可能需要的任何 進一步信息的權利,以進行任何身份驗證、反洗錢或 反恐怖主義融資檢查。 我們可以酌情推遲或取消競拍 人的註冊,禁止競拍和/或推遲或取消可能進行的任何 購買行。

#### 透過代理人競投

本公司會接受代表競投表格所示主事人作出的出價,惟本公司有權拒絕代表主事人的代理作出的出價,並可能要求主事人以書面形式確認代理獲授權出價。儘管如此,正如競投表格所述,任何作為他人代理的人工領就其獲接納的出價而根據因此而產生的合約與主事人共同及個別向賣家及邦瀚斯負責。

在上文規限下,倘若閣下是代表他人於拍賣會競投拍代賣品,請知會本公司。同樣,倘若閣下擬委託他人附有會競投的人,請知會會競投的人人工的人為競投可與實會的人。 表閣下於拍克電話競投表的會本公司代為競投可,但根據投內, 所填缺居本公司並無於拍賣會前以名於名於有會上 所有數据,則本今進行競投同數,該公會人工將為買家若必須事先已認許相及實人,則我們會向對人工的人工,則我們會向對人工,則我們會向對人工,則我們會向對人工,則我們會向對人,則我們會向對人,則我們會向對人,則我們會可以也址上。有關詳情,就當事人的身份醫婦公司客戶服務部。

#### 6. 買家與賣家及買家與邦瀚斯的合約

於買家投得拍賣品後,賣家與買家須按圖錄後附錄一 所載銷售合約的條款,訂立拍賣品的銷售合約,除非 該等條款已於拍賣會前及/或於拍賣會上以口頭公佈 形式被修訂。閣下須負責支付買價,即成交價加任何 稅項。

同時,本公司作為拍賣人亦會與買家訂立另一份合約,即買家協議,其條款難於圖錄後部的附錄二內。若閣下為成功競投人,請細閱本圖錄內銷售合約及買家協議的條款。本公司可於訂立該等協議前修訂上一份或同時兩份協議的條款,修訂方式可以是在圖錄載列不同的條款,及/或於個錄加入插頁,及/或於拍賣會場地以通告,及/或於拍賣會之前或之上以口頭形式公佈。閣下須注意此等可能修訂的情況,並於競投前查詢是否有任何修訂。

#### 7. 買家費用及買家須支付的其他收費

根據買家協議,買家須按照買家協議條款及下文所列 的費率向本公司支付費用(買家費用),該費用按成 交價計算,並為成交價以外的收費。買家亦須按照買 家協議的規定支付儲存收費的開支。

對於此次拍賣,買家之佣金為所購買的每件拍品之落 槌價的25%。

#### 8. 税項

買家支付的成交價及買家費用並不包括任何商品或服務税或其他稅項(不論香港或其他地方是否徵收該等稅項)。若根據香港法例或任何其他法例而須繳納該等稅項,買家須單獨負責沒有關法例規定的稅率及時間繳付該等稅項,或如該等稅項須由本公司繳付,則本公司可把該等稅項加於買家須支付的買價。

#### 9 付款

於出價競投拍賣品前,閣下必須確保擁有可動用資金,以向本公司全數支付買家及買家費用(加稅項及任何其他收費及開支)。若閣下為成功競投人,閣下須於拍賣後第二個工作日下午四時三十分前向本公司付款,以便所有款項於拍賣會後第七個工作日前已結清。閣下須以下列其中一種方法付個工作日前已結清。閣下須以下列其中一種方法付款

(所有支票須以Bonhams (Hong Kong) Limited)。 邦瀚斯保留於任何時間更改付款條款的權利。除非 本公司事先同意,由登記買家以外的任何人士付款 概不接受。

#### 邦瀚斯首選的付款方式是通過銀行匯款:

閣下可把款項電匯至本公司的信託帳 戶。請註明閣下的號牌編號及發票號碼作為參考。 本公司信託帳戶的詳情如下:

銀行: HSBC 地址: Head Office

1 Queen's Road Central, Hong Kong

帳戶名稱:Bonhams (Hong Kong) Limited-Client A/C 帳號: 808 870 174001 Swift code: HSBCHKHHHKH

若以銀行匯款支付,在扣除任何銀行費用及或將付 款貨幣兑換為港元後的金額,本公司所收到的金額 不得少於發票所示的應付港元金額。

**由一家銀行的香港分行付款的私人港元支票**:須待支票結清後,閣下方可領取拍賣品。

銀行匯票/本票:如閣下可提供適當身份證明,而 這些資金源自您自己的帳戶,且本公司信納該匯票 屬真實,本公司可容許閣下即時領取拍賣品。

現金: 如所購得的拍賣品總值不超過HK\$80,000 · 閣下可以使用鈔票、錢幣為這次拍賣會上所購得的拍賣品付款。如所購得的拍賣品總值超過HK\$80,000 · HK\$80,000以外的金額·敬請閣下使用鈔票、錢幣以外的方式付款。

**由香港銀行發出的扣賬卡 (易辦事)**:以此等卡支付將不會收取附加費。

中國銀聯 (CUP) 借記卡: 以此方法付款,將不收取額外的費用。

我們建議,閣下在拍賣前可預先通知發卡銀行,以 免您於付款時,由於需要確認授權而造成延誤。

信用卡:美國運通卡·Visa, Mastercard卡及中國銀聯信用卡均可使用。請注意,以信用卡付款的話,本公司每次拍賣接受總數不超過HK\$200,000。如所購得的拍賣品總值超過HK\$200,000。閣下可使用匯款或以上提及的方式支付。

在符合我們的規定下,如要以通過電話的形式以信用卡支付,本公司每次拍賣接受的總數不超過 HK\$50,000,但此方式不適用於第一次成功競拍 的質索

#### 10. 領取及儲存

拍賣品的買家須待全數以已結清款項付款後,方可領取拍賣品(本公司與買家另有安排除外)。有關領取拍賣品、儲存拍賣品以及本公司的儲存承辦商詳情載於圖錄後的附錄二之買家協議。

#### 11. 運輸

有關這方面的問題,請向本公司負責拍賣會的客戶服 務部門查詢。

#### 12. 出口/ 貿易限制

閣下須單獨承擔符合與閣下購買拍賣品有關的香港所 有出口及從海外進口的規例以及取得有關出口及/或 進口許可證的責任。

各國對發出進出口許可證有不同的規定,閣下應了解 所有有關的當地規定及條文。

倘若閣下未能或延誤取得該等許可證,閣下不可撤銷 任何銷售,亦不容許閣下延遲全數支付拍賣品。

#### 13. 瀕危野生動植物種國際貿易公約(「CITES」)

建議買家在需要從香港出口任何貨物到進口地時,了解適用的香港出口及海外進口規例。買家亦須注意,除非取得香港漁農自然護理署發出的CITES出口證,香港禁止出口任何以象牙、鯨魚骨、龜甲、犀牛角、珊瑚及其他受限制物品所做成的物品或包含該等原素的物品。辦理該等出口證可能需時八個星期。

請注意在圖錄內拍賣品編號旁附有Y的拍賣品包含一個 或多個上述的限制物品。但沒有附有Y字母的,並不自 動地表示拍賣品不受CITES規例所限。本公司建議買 家在出價前從有關監管機構取得關於進出口管制的資 料、規定及費開。

#### 14. 賣家及/ 或邦瀚斯的責任

除根據銷售合約賣家須對買家承擔的責任外,本公司或賣家(不論是疏忽或其他)概不對拍賣品說明或趙 賣品的成交價估計的任何錯誤或錯誤說明或遺漏負 責,而不論其是載於圖錄內或其他,亦不論是於萬 會上或之前以口頭或書面形式作出。本公司或賣 會不就任何業務、利潤、收益或收入上的損失,或或聲 受損,或業務受干層或下數工粮費時間,或 便種類的間接損失或相應產生的損害而承擔任何責 上文所述不得解釋為排除或限制(不論直接或間接)本公司就(i)欺詐,或(ii)因本公司疏忽(或因本公司所控制的任何人士或本公司在法律上須代其負責任的任何人士的疏忽)引致人身傷亡,或(iii)根據香港法例第314章伯用人法律責任條例,本公司須負責的作為或不作為,或(iv)任何法律上不可排除或限制的其他責任或(v)本公司根據買家協議第9段的承諾,而須承擔的責任,或排除或限制任何人士就上述而享有的權利或補救方法。此段同樣適用於賣家,猶如本段凡提述本公司均以賣家取代。

#### 15. 損壞及修復

競投人須注意本圖錄並無就任何瑕疵、損壞或修復提供指引。邦瀚斯可在拍賣會前24小時提供一份詳細的狀況報告。本公司在提供狀況報告時,不能保證並無任何沒有提及的其他瑕疵。競投人應自行審視拍賣品,以了解其狀況。請參閱刊載在本圖錄的銷售

#### 合約。 16. **書籍**

如上文所述,拍賣品乃以其「現況」售予買家,附有以下拍賣品説明所列出的各種瑕疵、缺點及錯誤。然而,在買家協議第11段所列出之情況下,閣下有權拒絕領取書籍。請注意:購買包含印刷書籍、無框地圖及裝訂手稿的拍賣品,將無須繳付買家費用的增值稅。

所有拍賣品均以拍賣時的「現況」出售:對於鐘錶狀況並沒有提供任何指引,並不代表該拍賣品狀況良好、毫無缺陷,或未曾維修、修復。大所送的證餘在其正常使用期內都曾維修,並或裝雄非原裝的配件。此外,邦瀚斯並不表述或保證鐘錶都在正常運轉的狀態中。由於鐘錶通常包含精細而複雜的機械裝置,競投人應當知悉鐘錶或需接受保養、更換電池或進行維修,以上全是買家的責任。競投人應當知悉勞力士、法穆蘭又全農等品牌的腕錶進口至美國是有嚴格限制的,或不能經船運而只能由個人帶進。

#### 18. 珠寶

#### 寶石

#### 估計重量

如該寶石重量在本圖錄內文裡以大寫字母顯示,表明該寶石未經鑲嵌,並且是由邦瀚斯稱重量的。如果該寶石的重量以「大約」表示,以及並非以大寫字母顯示,表明該寶石由我們依據其鑲嵌形式評估,所列重量只是我們陳述的意見而已。此資料只作為指引使用,競投人應當自行判別該資料的準確度。

#### 者名 1. 鑽石胸針<sup>,</sup>由辜青斯基製造

當製造者的名字出現在名稱裡,邦瀚斯認為該物件由 該製造者製作。

#### 2. 鑽石胸針,由辜青斯基署名

邦瀚斯認為有署名的該是真品,但可能包含非原裝的 寶石,或該物件經過改動。

#### 3. 鑽石胸針,由辜青斯基裝嵌

邦瀚斯認為物件由該珠寶商或寶石匠創作,但所用寶 石或設計是由客戶提供的。

#### 19. 圖畫

### 拍賣品圖錄詞彙解釋

以下詞彙在本圖錄裡有下列意義,但以銷售合約內跟 拍賣品説明相關的一般條文為準:

「巴薩諾」: 我們認為這是該藝術家的作品。倘若該 藝術家的名字不詳,其姓氏後附有一串星號,不論前 面有沒有列出名字的首字母,表示依我們的意見這乃 是該藝術家的作品:

「出自巴薩諾」:我們認為這很可能是該藝術家的作

品,但其確定程度不如上一個類別那麼肯定; 「**巴薩諾畫室**/工作室」:我們認為這是該藝術家畫 室裡不知名人士的作品,是否由該藝術家指導下創作 則不能確定

「**巴薩諾圈子**」:我們認為這是由與該藝術家關係密 切的人士所創作,但不一定是其弟子:

「**巴薩諾追隨者**」:我們認為這是以該藝術家風格創 作的畫家的作品,屬當代或接近當代的,但不一定是 **並**弟子

「巴薩諾風格」:我們認為這是該藝術家風格的、並 且屬較後期的作品;

「仿巴薩諾」: 我們認為這是該藝術家某知名畫作的 複製作品:

「由……署名及/或註上日期及/或題詞」:我們認 為署名及/或日期及/或題詞出自該藝術家的手筆: 「載有……的署名及/或日期及/或題詞」:我們認 為簽署及/或日期及/或題詞是由他人加上的。

#### 20. 瓷器及玻璃

#### 損毁及修復

在本圖錄裡,作為閣下的指引,在切實可行的範圍內, 我們會詳細記述所有明顯的瑕疵、裂痕及修復狀況。 此等實際的損毀説明不可能作為確定依據,而且提供 狀況報告後,我們不保證該物件不存在其他沒有提及 的瑕疵。競投人應當透過親自檢查而自行判別每件拍 賣品的狀況。請參閱刊載於本圖錄裡的銷售合約。由 於難以鑑別玻璃物件是否經過磨光,本圖錄內的參考 資料只列出清晰可看的缺口與裂痕。不論程度嚴重與 否,磨光狀況均不會提及。

#### 21. 葡萄酒

凡在本公司總部拍賣場的以及需繳納增值稅的拍賣品, 或不能立刻領取。

#### 檢驗葡萄酒

對於較大批量(定義見下文)的拍賣品,偶爾可進行 拍賣前試酒。通常,這只限於較新的及日常飲用的葡 菊酒。

我們一般不會開箱檢驗未開箱的葡萄酒。酒齡超過 20 年的酒通常已經開箱,缺量水平及外觀如有需要會在 本圖錄內説明,

#### 洒塞與缺量

缺量指瓶塞底與液面之間的空間。波爾多酒瓶的缺量 水平一般在瓶頸下才會注意得到; 而對於勃艮第、阿 爾薩斯、德國及干邑的酒瓶,則要大於4厘米(公分)。 可接受的缺量水平會隨著酒齡增加,一般的可接受水

15年以下-瓶頸內或少於4厘米

15-30 年 - 瓶肩頂部 (ts) 或最多 5 厘米

30 年或以上-瓶肩高處 (hs) 或最多 6 厘米

請注意:缺量水平在本圖錄發行至拍賣會舉行期間或 有所改變,而且瓶塞或會在運輸過程中出現問題。本 圖錄發行時,我們只對狀況説明出現差異承擔責任, 而對瓶塞問題所招致的損失,不論是在圖錄發行之前 或之後,我們概不負責。

#### 批量購買的選擇

批量拍賣品乃指一定數目批次的、包含同款葡萄酒、 相同瓶數、相同瓶款及相同説明的拍賣品。批量拍賣 品內任何某批次的買家,可選擇以同樣價錢購買該批 量拍賣品其餘部份或全部的拍賣品,雖然該選擇權最 終由拍賣官全權酌情決定。因此,競投批量拍賣品時, 缺席的競投人最好能從第一批開始競投。

#### 酒瓶細節及酒箱詞彙

本圖錄內下列詞彙有以下的意義:

CB 一 酒莊瓶裝

DB — 葡萄園瓶裝 EstB 一 莊園瓶裝

BB 一 波爾多瓶裝

- 比利時瓶裝 BF

一 法國瓶裝 FR GB - 德國瓶裝

ОВ - 奥波爾圖瓶裝

UK - 英國瓶裝

owc - 原裝木箱 iwc — 獨立木箱

一 原裝紙板箱

#### 符號

#### 以下符號表明下列情況:

- 此拍品含有一種或多種受管制的植物或動物物種, 並受 CITES 規定的約束。買方有責查詢此類規定 並取得任何必要的進出口證書。買方若無法獲得此 類證書不能成為延遲付款或取消購買的正當理由。 請參閱第 13 條。
- 賣家獲邦瀚斯或第三方保證能取得拍賣品的最低 價格。第三方或會因此提供一個不可撤銷的出價:

- 如銷售成功,該第三方將可獲利,否則將有損失。 邦瀚斯全部或部份擁有該拍賣品,或以其他形式 與其經濟利益相關
- 此拍品含有象牙,因此受 CITES 規定的約束。 含有非洲象牙的物件不能進口到美國。歐盟和英 國對處理含有象牙的物件實施了廣泛的限制,包 括對進口或出口的限制。買方有責任獲得任何出 口或進口許可證、執照和任何其他所需文件。 邦 瀚斯無法協助買家將任何包含象牙的拍品運往美 國、英國或歐盟。賈方若無法出口或淮口這些拍 品並不能成為延遲付款或取消購買的正當理由。

#### 22. 語言

本競投人通告以中英文刊載。如就詮譯本競投人通告 有任何爭議,以英文條款為本。

#### 保障資料 - 閣下資料的用途

本公司以提供服務為目的,本公司取得有關閣下的個 人資料(就本段而言,此詞僅包括閣下的僱員及高 級職員,如有)。閣下同意本公司以該等資料作下述

本公司可利用閣下的資料向閣下發出有關本公司服務 變動的通知,以及向閣下提供有關產品或服務的資 料,而該等資料乃閣下要求本公司提供或本公司認為 閣下可能對該等產品及服務感興趣。有關閣下的資料 可能用作分析,以了解閣下在這方面的潛在喜好。本 公司可能向本集團任何成員公司(指本公司的附屬公 司、本公司最終控股公司及其附屬公司,定義見二零 零六年英國公司法第1159條及附表6,包括任何海外附 屬公司)披露閣下的資料。除此之外,本公司不會向 任何第三方披露閣下的資料,惟本公司可能不時向閣 下提供我們相信閣下可能感興趣的第三方貨品及服務 的有關資料。本集團任何成員公司亦可以閣下的資料 作類似用涂。

本公司將保留閣下的資料為期五年,由閣下最後與我 們聯繫的日期起計,以便簡化任何日後再辦理登記時 的手續。該等資料可轉移及儲存於香港以外地方,而 閣下同意此轉移。閣下有權要求不以閣下的資料作 此等用途,有關要求請聯絡Bonhams (Hong Kong) Ltd (就香港法例第486章個人資料(私隱)條例而 言,為資料的使用者) (地址: Montpelier Galleries, Montpelier Street, London, SW7 1HH, United Kingdom) 或以電郵聯絡client.services@bonhams. com °

#### 附綠—

#### 銷售合約

重要事項:此等條款可能會於向閣下出售拍賣品前予 以條訂,修訂的方式可以是在圖錄載列不同的條款, 及/或於圖錄加入插頁,及/或於拍賣會場地上以通告,及/或於拍賣會之前或之上以口頭形式公佈。閣 下須注意此等可能修訂的情況,並於競投前查詢是否 有任何修訂。

根據本合約,賣家對拍賣品的質量、任何用途的適用 性及其與説明是否一致而須承擔有限的責任。本公司 強烈建議閣下於購買拍賣品前親自查看拍賣品,及/ 或尋求對拍賣品進行獨立的查驗。

#### 合約

- 此等條款乃規管賣家向買家出售拍賣品的銷售 1.1 合約。
- 圖錄內附錄三所載的釋義及詞彙已納入本銷售 1.2 合約,邦瀚斯亦可應要求提供獨立的版本。釋 義內所收錄的詞語及用詞在本合約內以斜體刊
- 賣家作為銷售合約的主事人出售拍賣品,該 1.3 合約為賣家及閣下透過邦瀚斯而訂立,而邦瀚 斯僅作為賣家的代理行事,而並非額外的主事 人。然而,倘若圖錄説明邦瀚斯以主事人身份 出售拍賣品,或拍賣人作出公佈如此説明,或 於拍賣會的通告或圖錄的插頁説明,則就本協 議而言,邦瀚斯為賣家。
- 拍賣人就閣下的出價落槌即表示成交時,本合 1.4 約即告成立。

#### 賣家的承諾

- 21 賣家向閣下承諾:
- 2.1.1 賣家為拍賣品的擁有人或由擁有人正式授權出 售拍賣品;
- 除在圖錄內所載有關拍賣品的資料有披露以 外,賣家出售的拍賣品將附有全面所有權的保 證,或如果賣家為遺囑執行人、受託人、清盤 人、接管人或管理人,則他擁有因該身份而附 於拍賣品的任何權利,業權或權益。
- 除非賣家為遺囑執行人、受託人、清盤人 接管人或管理人,賣家在法律上有權出售拍賣 品,及能授予閣下安寧地享有對拍賣品的管 有。
- 2.1.4 賣家已遵從任何與拍賣品進出口有關的所有規 定(不論是法律上或其他),拍賣品的所有關 進出口的税及税項均已繳付(除非圖錄內説明

其未付或拍賣人公佈其未付)。就賣家所悉, 所有第三方亦已在過往遵從該等規定:

除任何於拍賣會場地以公佈或通告,或以競投人 通告,或以圖錄插頁形式指明的任何修改外,拍 賣品與拍賣品的合約説明相應,即在圖錄內有關 拍賣品的資料內以粗體刊載的部份(顏色除外) , 連同圖錄內拍賣品的照片, 以及已向買家提供 的任何狀況報告的內容。

#### 拍賣品的説明

3

3.1

- 第2.1.5段載述何謂拍賣品的合約説明,尤其是 拍賣品並非按圖錄內資料當串沒有以粗體刊載 的內容出售,該等內容僅載述(代表賣方)邦 瀚斯對拍賣品的意見,而並不構成拍賣品售出 時所按的合約説明的一部份。任何並非第2.1.5 段所述該部份資料的任何陳述或申述,包括任 何説明或成交價做計,不論是以口頭或書面, 包括載於圖錄內或於邦瀚斯的網站上或以行為 作出或其他,不論由或代表賣家或邦瀚斯及是 否於拍賣會之前或之上作出,一概不構成拍賣 品售出時所按的合約説明的一部份。
- 除第2.1.5段的規定外,對於可能由賣家或代表 賣家(包括由邦瀚斯)作出有關拍賣品的任可 説明或其任何成交價估計,賣家並無作出或發 出亦無同意作出或發出任何合約允諾、承諾、 責任、擔保、保證或事實陳述或承諾任何謹慎 責任。該等説明或戊交價估計一概不納入本銷 售合約。
- 對用途的合適程度及令人滿意的品質
- 賣家並無亦無同意對拍賣品的令人滿意品質或 4.1 其就任何用途的合適程度作出任何合約允諾、 承諾、責任、擔保、保證或事實陳述。
- 對於拍賣品的令人滿意品質或其就任何用途的 合適程度,不論是香港法例第26章貨品售賣條 例所隱含的承諾或其他,賣家毋就違反任何承 諾而承擔任何責任。

#### 風險、產權及所有權

- 由拍賣人落槌表示閣下投得拍賣品起,拍賣品 5.1 的風險即轉由閣下承擔。不管閣下是否已向邦 瀚斯或儲存承辦商閣下作為買家與儲存承辦商 另有合約領取拍賣品,賣家隨即無須負責。由 拍賣人落槌起至閣下取得拍賣品期間,閣下須 就拍賣品的任何損傷、遺失及損壞而產生的所 有索償、程序、費用、開支及損失,向賣家作 出彌償並使賣家獲得仕數彌償。
- 直至買價及閣下就拍賣品應付予邦瀚斯的所 5.2 有其他款項已全數支付並由邦瀚斯全數收到為 止,拍賣品的所有權仍然由賣家保留。

#### 付款

6

- 在拍賣人落槌表示閣下投得拍賣品後,閣下即 6.1 有責任支付買價。
- 就支付買價及閣下應付予邦瀚斯的所有其他款 項而言,時限規定為要素。除非閣下與邦瀚斯 (代表賣家)以書面另有協定(在此情況下, 閣下須遵守該協議的條款),閣下必須最遲於 拍賣會後第二個工作目下午四時三十分,以拍 賣會採用的貨幣向邦瀚斯支付所有該等款項, 閣下並須確保款項在拍賣會後第七個工作日前 已結清。閣下須採用在競投人通告所述的其中 一種方法向邦瀚斯付款,閣下與邦瀚斯以書面 另有協定除外。倘若閣下未有根據本段支付任 何應付款項,則賣家將享有下文第8段所述的 を 利。

#### 領取拍賣品

- 除非閣下與邦瀚斯以書面另有協定,只可待邦 瀚斯收到金額等於全數買價及閣下應付予賣家 及邦瀚斯的所有其他款項的已結清款項後,閣 下或閣下指定的人士方可獲發放拍賣品。
- 7.2 賣家有權保持管有閣下同一或任何另外的拍賣 會向閣下出售的任何其他拍賣品,不論其目前 是否由邦瀚斯管有,直至以已結清款項全數支 付該拍賣品的買價及閣下應付予賣家及/ 或邦 瀚斯的所有其他款項為止。
- 閣下須自費按照邦瀚斯的指示或規定領取由邦 7.3 瀚斯保管及/ 或控制或由儲存承辦商保管的拍 賣品,並將其移走。
- 閣下須全面負責領取拍賣品時的包裝、處理及 7.4 運輸,以及全面負責遵從與拍賣品有關的所有 進出口規定
- 倘閣下未有按照本第7段提走拍賣品,閣下須 全面負責賣家涉及的搬運、儲存或其他收費或 開支。閣下並須就賣家因閣下未能提走拍賣品 而招致的所有收費、費用,包括任何法律訟費 及費用,開支及損失,包括根據任何儲存合約 的任何收費,向賣家作出彌償。所有此等應付 予賣家的款項均須於被要求時支付。

#### 未有支付拍賣品的款項 8

倘若閣下未有按照銷售合約向邦瀚斯支付拍賣 8 1

品的全數買價,則賣家有權在事先得到邦瀚斯 的書面同意下,但無須另行通知閣下,行使以 下一項或多項權利(不論是透過邦瀚斯或其 他):

- 8.1.1 因閣下違反合約而即時終止銷售合約;
- 8.1.2 在給予閣下七日書面通知,知會閣下擬重新出售拍賣品後,以拍賣、私人協約或任何其他方式重新出售拍賣品:
- 8.1.3 保留拍賣品的管有權;
- 8.1.4 遷移及儲存拍賣品,費用由閣下承擔;
- 8.1.5 就閣下於銷售合約所欠的任何款項及/或違約的 損害賠償,向閣下採取法律程序:
- 8.1.6 就任何應付款項(於頒布判決或命令之前及之後)收取由應支付款項日期起至實際付款日期止的利息,按查打銀行

(香港)有限公司不時的基本利率加5厘的年 利率每日計息;

- 8.1.7 取回並未成為閣下財產的拍賣品(或其任何部份)的管有權,就此而言(除非買家作為消費者向賣家購買拍賣品而賣家於業務過程中出售該拍賣品),閣下護此授予賣家不可撤銷特許,准許賣家或其受僱人或代理於正營業時間進入閣下所有或任何物業(不論是否連同汽車),以取得拍賣品或其任何部份的管有權:
- 8.1.8 保留賣家於該拍賣會或任何其他拍賣或以私人 協約向閣下出售的任何其他財產的管有權,直 至根據銷售合約應付的所有款項已以結清款項 全數支付為止;
- 8.1.9 保留由賣家及/或邦瀚斯(作為賣家的受託保管人)因任何目的(包括但不限於其他已售予閣下的貨品)而管有的閣下任何其他財產的管有權,並在給予三個月書面通知下,不設底價出售該財產,以及把因該對進,用於清價或部份清價閣下欠負賣家或邦瀚斯的任何款項,及負賣家或邦為斯的任何款項,
- 8.1.10 只要該等貨品仍然由賣家或邦瀚斯作為賣家的 受託保管人管有,撤銷賣家於該拍賣會或任何 其他拍賣或以私人協約向閣下出售任何其他貨 品的銷售合約,並把已收到閣下就該等貨品支 付的任何款項,部份或全部用於清償閣下欠負 賣家或邦瀚斯的任何款項。
- 8.2 就因邦瀚斯根據本第8段採取行動而招致賣家 負上的所有法律及其他強制執行費用、所有損 失及其他開支及費用(包括為獲發還拍賣品而 應付邦瀚斯的任何款項)(不論是否已採取法 律行動),閣下同意按全數彌償基準並連同其 利息

(於頒布判決或命令之前及之後)向賣家作出 彌償,利息按第8.1.6段的利率由賣家應支付款 項日期起計至閣下支付該款項的日期止。

8.3 於根據第8.1.2段重新出售拍賣品後、賣家須把 任何在支付欠負賣家或邦瀚斯的所有款項後所 餘下的款項,於其收到該等款項的二十八日內 交還閣下。

#### 9 賣家的責任

- 9.1 在拍賣人落槌表示拍賣品成交後,賣家無須 再就拍賣品所引致的任何損傷、損失或損害負 青。
- 9.2 在下文第9.3至9.5段的規限下,除違反第2.1.5段所規定的明確承諾外,不論是根據香港法例第26章貨品售賣條例而默示的條款或其他,賣家無須就違反拍賣品須與拍賣品的任何說明相應的條款而負責。
- 9.3 就賣家或其代表於本協議之前或之後或於拍賣會之前或進行期間,所作出(不論是以書面,包括在圖錄或網站,或口頭形式或以行為或其他)的任何拍賣品說明或資料或拍賣品的成效價估計,出現不符合或不準確、錯誤、錯誤說明或遺漏,賣家均無須承擔任何相關的責任(不論為疏忽、其他侵權法、違反合約或法定責任或復還或根據香港法例第284章失實陳述條例的責任,或任何其他責任)。
- 9.4 就買家或買家管理層或職工之任何業務、業務利潤或收益或收入上的損失,或聲譽受損,或業務受干擾或浪費時間,或任何種類的間接損失或相應產生的損害,賣家均無須承擔任何相關的責任,不論該指稱所蒙受損失或損害的性質、數量或來源,亦不論該等損失或損害賠償是否由於任何疏忽、其他侵權法、違反合約、法定責任、復還申索或其他而產生或就此而申索;
- 9.5 在任何情况下,倘若實家就拍賣品,或任何 其就拍賣品所作的作為、不作為、陳述、或申 述。或就本協議或其履行而須對閣下負責,則 不論其為損害賠償、彌償或責任分擔,或復還 補救,或以其他任何形式,賣家的責任將限於 支付金額最高不超過拍賣品買價的款項,不論

該損失或損害賠償或所申索應付款項的性質、 數量或來源,亦不論該等責任是否由於任何疏 忽、其他侵權法、違反合納、法定責任、受託 保管人責任、復還申索或其他而產生。

9.6 上文9.1至9.5段所述不得解釋為排除或限制 (不論直接或間接) 任何人士就()欺詐,或(ii)因賣家疏忽(或因賣家所控制的任何人士的疏忽)引致人身傷亡,或(iii)根據香港法例第314章佔用人法律責任條例,本公司須負責的作為或不作為,或(iii)任何法律上不可排除或限制的其他責任,而須承擔的責任,或排除或限制任何人士就上述而享有的權利或補救方法。

#### 一般事項

10

- 10.1 閣下不得轉讓銷售合約的利益或須承擔的責任。
- 10.2 倘若賣家未能或延遲強制執行或行使任何銷售 合約下的權力或權利,這不得作為或視其作為 賣家放棄其根據銷售合約所賦予的權利,任何 以書面形式給予閣下的明確放棄除外。任何該 等放棄並不影響賣家其後強制執行根據銷售合 同所產生任何權利的能力。
- 10.3 倘銷售合約任何一方,因在合理控制範圍以外的情況下而無法履行該訂約方根據銷售合約的責任,或倘在該等情況下履行其責任會導致其增加重大財務成本,則該訂約方只要在該情況仍然持續時,不會被要求履行該等責任。本段並不適用於第6段對閣下施加的責任。
- 10.4 銷售合約下的任何通知或其他通訊,必須以書面形式作出,並可由專人送交或以第一類郵件或空郵或以傳真方式發送,並就賣家而言,發送至圖錄所載邦瀚斯的地址或傳真號碼(註明交公司秘書收),由其轉交賣家;而就閣下而言,則發送至競投表格所示的買家地址或傳真號碼(除非已以書面形式通知更改地址)。通知或通訊發出人須有責任確保其清晰可讀並於任何適用期間內收到。
- 10.5 倘若銷售合約的任何條款或任何條款的任何部份被裁定為不可強制執行或無效,則該等不可強制執行或無效,則該等不可強制執行或無效並不影響該合同其餘條款或有關條款其餘部份的強制執行能力或有效性。
- 10.6 銷售合約內凡提述邦瀚斯均指,倘適用,包括 邦瀚斯的高級職員、僱員及代理。
- 10.7 銷售合約內所用標題僅為方便參考而設,概不 影響合約的詮釋。
- 10.8 銷售合約內「包括」一詞指「包括,但不限
- 10.9 單數詞語包括眾數詞語(反之亦然),任何一個性別的詞語包括其他性別。
- 10.10 凡提述第某段,即指銷售合約內該編號的段落。
- 10.11 除第10.12段有明確規定外,銷售合約概無賦予(或表示賦予)非銷售合約訂約方的任何人士,任何銷售合約條款所賦予的利益或強制執行該等條款的權利。
- 10.12 銷售合約凡賦予賣家豁免、及/或排除或限制 其責任時,邦瀚斯、邦瀚斯的控股公司及該控 股公司的附屬公司,邦瀚斯及該等公司的後續 公司及承讓公司,以及邦瀚斯及該等公司的任 何高級職員、僱員及代理的承繼人及受讓人亦 可享有同樣的法律上的有關利益。

#### 11 規管法律

#### 11.1 法律

本協議下的所有交易以及所有有關事宜,均受 香港法例規管並據其解釋。 11.2 語言

本銷售合約以中英文刊載。如就詮譯本銷售合 約有任何爭議,以英文條款為本。

## 附**錄二**

#### 買家協議

重要事項:此等條款可能會於向閣下出售拍賣 品前予以修訂,修訂的方式可以是在圖錄載列 不同的條款,及/或於圖錄加入插頁。及/或於拍賣會場地上以通告。及/或於拍賣會之前 或之上以口頭形式公佈。閣下須注意此等可能 修訂的情況,並於競投前查詢是否有任何修

#### 1 合約

- 1.1 此等條款規管乃邦瀚斯個人與買家的合約,買 家即拍賣人落槌表示其投得拍賣品的人士。
- 1.2 拍賣會圖錄內附錄三所載的釋義及詞彙已納入本協議,本公司可應要求提供獨立的版本。釋義內所收錄的詞語及用詞在本協議內以斜體刊載。本協議提述刊印於拍賣會圖錄開始部份的競投人通告的資料,而該等被提述的資料已納入本協議。
- 1.3 於拍賣人落槌表示閣下投得拍賣品時,閣下與

賣家就拍賣品的銷售合約即告訂立,而在那時 刻,閣下與邦瀚斯亦已按本買家協議條款訂立 另一份獨立的合約。

- 1.4 本公司乃作為賣家的代理行事,無須就賣家之 任何違約或其他失責而對閣下負責或承擔個人 責任,邦瀚斯作為主事人出售拍賣品除外。
- 1.5 本公司對閣下的個人責任受本協議規管,在下 文條款所規限下,本公司同意下列責任:
- 1.5.1 本公司會按照第5段儲存拍賣品,直至競投人 通告所指定的日期及時間或另行通知閣下為 It:
- 1.5.2 在賣家或本公司拒絕向閣下發放拍賣品的任何權力所規限下,本公司會於閣下以已結清款項向本公司及賣家所須支付之所有款項後,即按 照第4段向閣下發放拍賣品:
- 1.5.3 本公司會按照第9段所載條款提供擔保。
- 6 不論於此協議之前或之後或於拍賣會之前或之上,對由本公司或代表本公司或由賣賣家或代表 賣家所作出的任何拍賣品。包括載於圖錄內 或於邦瀚斯的網站上,或以行為作出或計的網站上,或以行為作出或計的網站上,或以行為作出或計的網 或於邦瀚斯的網站上,或以行為作出或計的確性或完備性,本公司一概不作出或發出任何合約允諾、明或可能 確性或完構性,本公司一概不作」或發責價估 擔保、保證或事實陳述。該等說明或成交價份 計一概不納入閣下與本公司訂出該等說明或成交價估計,均是代賣家而作出(邦瀚斯作為主事 人出售拍賣品除外)。

#### 履行銷售合約

閣下個人向本公司承諾,閣下將遵守及遵從閣 下根據拍賣品銷售合約對賣家的所有責任及承 諾。

#### 3 付款

- 3.1 除非閣下與本公司另有書面協定或競投人通告 另有規定外,閣下最遲須於拍賣會後第二個工 作日下午四時三十分向本公司支付:
- 3.1.1 拍賣品的買價:
- 3.1.2 每件所購買之拍品按照競投人通告規定費率的 買家費用:及
- 3.1.3 若拍賣品註明[AR],一項按照競投人通告規定 計算及支付的額外費用,連同該款項的增值税 (如適用),所有應付本公司款項須於拍賣會 後七個工作日或之前以已結清款項收悉。
- 3.2 根據本協議,閣下亦須應要求向本公司支付任 何開支。
- 3.3 除非本公司以書面方式另行同意,所有款項必須以拍賣會所用貨幣,按競投人通告所列其中一種方法支付。本公司發票只發給登記競投人,除許競投人乃作為指明主事人的代理,且本公司已認可該安排,在該情況下,本公司會將發票發給主事人。
- 3.4 除非本協議另有規定,所有應付本公司款項須 按適當稅率繳付稅項,閣下須就所有該等款項 支付稅款。
- 3.5 本公司可從閣下付給本公司的任何款項中,扣除並保留有關拍賣品的買家費用、賣家應付的佣金、任何開支及稅項以及任何賺得及/或產生的利息,利益歸本公司,直至將款項付予賣家時止。
- 3.6 就向本公司支付應付的任何款項而言,時限規 定為要素。倘若閣下未能按照本第3段向本公 司支付買價或任何其他應付本公司款項,本公 司將擁有下文第7段所載的權利。
- 3.7 若閣下投得多項拍賣品,本公司收到閣下的款項將首先用於按比例支付每項拍賣品的買價,然後按比例支付應付邦瀚斯的所有款項。

#### 4 領取拍賣品

- 4.1 在賣家或本公司可拒絕向閣下發放拍賣品的任何權力規限下,閣下一旦以已結清款項向賣家及本公司支付應付的款項後,本公司可即向閣下或按閣下的書面指示發放拍賣品。領取拍賣品時,必須出示從本公司的出納員的辦公室取得已加蓋印章的發票,方獲發行。
- 4.2 閣下須按競投人通告指定的日期及時間,自費 領取拍賣品,倘未有指定任何日期,則為拍賣 會後第七日下午四時三十分或之前。
- 4.3 於第4.2段所述的期間內,可按競投人通告指定的日期及時間到競投人通告所述地址領取拍賣品。其後拍賣品可能遷移至其他地點儲存,屆時閣下必須向本公司查詢可在何時何地領取拍賣品,儘管此資料通常會列於競投人通告。
- 4.4 若閣下未有於競投人通告指定的日期領取拍賣品,則閣下授權本公司作為閣下代理,代表閣下與儲存承辦商訂立合約(「儲存合約」)、條款及條件按邦瀚斯當時與儲存承辦商協定(

可應要求提供副本)的標準條款及條件儲存拍 賣品。倘拍賣品儲存於本公司物業,則須由第 4.2段所述期間屆滿起,按本公司目前的每日 收費(目前最低為每項拍賣品每日50港元另加 稅項)支付儲存費,該等儲存費為本公司開支 的一部份。

- 4.5 於直至閣下已全數支付買價及任何開支為止, 拍賣品將由本公司作為賣家的代理持有,或由 儲存承辦商作為賣家及本公司的代理按照儲存 合約的條款持有。
- 4.6 閣下承諾遵守任何儲存合約的條款,尤其是支付根據任何儲存合約應付的收費(及所有搬運拍賣品入倉的費用)。閣下確認並同意,於直至閣下已支付買價、任何開支及所有儲存合約下的收費為止、閣下不得從儲存承辦商的物業領取拍會品。
- 4.7 閣下須全面負責領取拍賣品時的包裝、處理及 運輸,以及全面負責遵從與拍賣品有關的所有 推出口規定。

#### 5 拍賣品儲存

#### 6 對拍賣品的責任

- 6.1 待閣下向本公司支付買價後,拍賣品的所有權方會移交閣下。然而,根據銷售合約,拍賣品的風險則由閣下投得拍賣品之時起由閣下承擔。
- 6.2 閣下應於拍賣會後盡快為拍賣品投買保險。

#### 7 未能付款或提取拍賣品及部份付款

- 7.1 倘若應付予本公司的所有款項未有於其到期支付時全數支付,及/或未有按照本協議提取拍 賣品,則本公司可行使以下一項或多項權利( 在不損害本公司可以代賣家行使的任何權利 下),而無須另行頻知閣下:
- 7.1.1 因閣下違反合約而即時終止本協議;
- 7.1.2 保留拍賣品的管有權:
- 7.1.3 遷移及/或儲存拍賣品,費用由閣下承擔;
- 7.1.4 就閣下所欠的任何款項(包括買價)及/或違 約的損害賠償,向閣下採取法律程序;
- 7.1.5 就任何應付款項(於頒布判決或命令之前及之後)收取由應支付款項日期起至實際付款日期止的利息,按查打銀行
  - (香港)有限公司不時的基本借貸利率加5厘的年利率每日計息;
- 7.1.6 取回並未成為閣下財產的拍賣品(或其任何部份)管有權,就此而言,閣下謹此授予本公司不可撤銷特許,准許本公司或其受僱人或代理於正常營業時間進入閣下所有或任何物業(不論是否連同汽車),以取得拍賣品(或其任何部份)的管有權:
- 7.1.7 在給予閣下三個月書面通知,知會閣下本公司 擬出售拍賣品後,以拍賣、私人協約或任何其 他方式按不設底價形式出售拍賣品:
- 7.1.8 保留由本公司因任何目的(包括,但不限於, 其他已售予閣下或交予本公司出售的貨品)而 管有的閣下任何其他財產的管有權,直至所有 應付本公司款項已全數支付為止;
- 7.1.9 以本公司因任何目的而收到的閣下款項,無論該等款項於閣下失責時或其後任何時間收到,用作支付或部份支付閣下於本協議下應付予本公司的任何款項:
- 7.1.10 在給予三個月書面通知下,把本公司因任何目的(包括其他已售予閣下或交予本公司出售的貨品)而管有的閣下任何其他財產不設底價出售,並把因該等出售所得而應付予閣下的任何款項,用於支付或部份支付閣下欠負本公司的

仟何款項:

- 7.1.11 於日後拍賣會拒絕為閣下登記,或於日後任何 拍賣會拒絕閣下出價,或於日後任何拍賣會 在接受任何出價前要求閣下先支付按金,在該 情況下,本公司有權以該按金支付或部份支付 (視情況而定)閣下為買家的任何拍賣品的買 價。
- 7.2 就因本公司根據本第7段採取行動而招致的所有法律及其他費用、所有損失及其他開支(不論是否已採取法律行動),閣下同意按全數獨償基準並連同其利息(於頒布判決或命令之前及之後)向本公司應支付款項日期起計至閣下支付該款項的用期止。
- 7.3 倘閣下僅支付部份應付予本公司的款項,則該 等付款將首先用於支付該拍賣品的買價(或若 閣下購買多於一項拍賣品,則按比例支付每項 拍賣品的買價),然後支付買家費用(或若閣 下購買多於一項拍賣品,則按比例支付每項拍 賣品的買家費用),再然後用以支付應付予本公司的任何其他款項。
- 7.4 本公司根據本第7段的權利出售任何拍賣品所 收到的款項,於支付應付予本公司及/或賣家 的所有款項後仍由本公司持有的餘款,將於本 公司收到該等款項的二十八日內交還閣下。

#### 8 其他人士就拍賣品的申索

- 8.1 倘本公司知悉除閣下及賣家外有人就拍賣品提出申索(或可合理地預期會提出申索),本公司有絕對酌情權決定以任何方式處理拍賣品,以確立本公司及其他涉及人士的合法權益及在法律上保障本公司的地位及合法權益。在不損害該酌情權的一般性原則下,並作為舉例,本公司可:
- 8.1.1 保留拍賣品以調查就拍賣品提出或本公司合理 地預期會提出的任何問題:及/或
- 8.1.2 向閣下以外的其他人士交付拍賣品;及/或 8.1.3 展開互爭權利訴訟或尋求任何法院、調解人、
- 8.1.3 展開互争權利訴訟或尋求任何法院、調解人件裁人或政府機關的任何其他命令:及/或
- 8.1.4 就採取閣下同意的行動,要求閣下提供彌償保 證及/或抵押品。
- 8.2 第8.1段所述的酌情權:
- 8.2.1 可於本公司對拍賣品擁有實際或推定管有權時 隨時行使,或倘若該管有權因法院、調解人、 仲裁人或政府機關的任何裁決、命或判決而 終止,於該管有權終止後隨時行使;及
- 8.2.2 除非本公司相信該申索真正有希望成為有良好 爭辯理據的個案,否則不會行使。

#### 膺品

9

- 9.1 本公司根據本第9段的條款就任何膺品承擔個 人責任。
- 9.2 第9段僅於以下情況適用:
- 9.2.1 閣下為本公司就拍賣品發出原有發票的抬頭 人,而該發票已被支付:及
- 9.2.2 閣下於知悉拍賣品為或可能為膺品後,在合理地切實可行範圍內盡快,並無論如何須於拍賣會後一年內,以書面通知本公司拍賣品為膺品;及
- 9.2.3 於發出該通知後一個月內,閣下把拍賣品退回本公司,而拍賣品的狀況須與拍賣會時的狀況 一樣,並連同證明拍賣品為膺品的書面證明, 以及有關拍賣會及拍賣品編號的資料以識別該 拍賣品。
- 9.3 於下述情況下,第9段不適用於膺品:
- 9.3.1 圖錄所載有關該拍賣品的資料已反映當時學者及專家的公認意見,或已公平地指出該等意見有衝突,或已反映公認為有關範疇主要專家在當時的意見;或
- 9.3.2 僅可採用於刊印圖錄日期前一般不會採用的方 法才能確定拍賣品為膺品,或採用的確定方法 在所有情況下本公司若採用則屬不合理。
- 9.4 閣下授權本公司在絕對酌情權下決定採取本公司認為要讓本公司信納拍賣品並非膺品而必需 進行的程序及測試。
- 9.5 倘本公司信納拍賣品為膺品,本公司會(作為主事人)向閣下購買該拍賣品,而閣下須按照香港法例第26章貨品售賣條例第14(1)(a)及14(1)(b)條規定,向本公司轉讓有關拍賣品的所有權,並附有全面所有權的保證,不得有任何留置權、質押、產權負擔及敵對申索,而本公司將向閣下支付相等於閣下就拍賣品已支付的買價。買家費用、稅項及開支總數的款項。
- 9.6 第9段的利益為僅屬於閣下個人的利益,閣下 不能將其轉讓。
- 9.7 倘若閣下出售或以其他方式出售閣下於拍賣品 的權益,則根據本段的所有權利及利益即告終 止。
- 9.8 第9段不適用於由或包括一幅或多幅中國畫、

一輛或多輛汽車、一個或多個郵票或一本或多 本書籍構成的拍賣品。

#### 10 本公司的責任

- 10.1 就本公司或代表本公司或賣家或代表賣家於本協議之前或之後或於拍賣會之前或之上,所作出(不論是以書面,包括在圖錄或邦瀚斯的網站上或口頭形式或以行為或其他)任何拍賣說明或資料或拍賣品的成交價估計,出現不合高或不準確、錯誤、錯誤說明或遺漏,本公司無須就此而承擔任何責任,不論是否為應忽、其他侵權法、違反合約或法定責任或復還或根據香港法朔第284章失實陳連條例的責任。
- 10.2 當拍賣品由閣下承擔風險時及/或當拍賣品已成為閣下的財產並由本公司保管及/或控制時,本公司對閣下之責任限於對閣下行使合理程度的謹慎,惟本公司無須就因下述原因對拍賣品或其他人士或物件造成的損害負責;
- 10.2.1 處理拍賣品,倘若於向閣下出售時拍賣品已受 到蟲蛀,而任何損壞乃由於拍賣品受蟲蛀所導 致: 动
- 10.2.2 大氣壓力改變;

本公司亦不就以下負責:

10.2.3 弦樂器的損壞;或

- 0.2.4 金箔畫架、石膏畫架或畫架玻璃的的損壞:而倘若拍賣品構成或變為有危險,本公司可以 其認為適合的方法予以棄置而無須事先通知閣下,而本公司無須就此對閣下負責。
- 10.3 就買家管理層或職工之任何業務、業務利潤或 收益或收入上的損失,或業務聲譽受損,或 業務受干擾或浪費時間,或倘若閣下於業務 程中購買拍賣品,就任何種類的間接損失失 程中購買拍賣品,本公司均無須向閣下承擔任何 相關的責任,不論指稱所蒙受損失或損害的性 質、數量或來源,亦不論該等損失或損害賠償 是由於任何疏忽、其他侵權法、違反合約 定責任、受託保管人責任、復還申索或其他而 產生或就此而申索。
- 10.4 在任何情况下,倘若本公司就拍賣品,或任何 就拍賣品的作為、不作為、陳述,或本協議 或其履行而須對閣下負責、復還補其為或不 價形式,本行負責、復還補持,或報最高 價、獨價或責任分擔、好會,全額 一個形式,本因的責任將限於支付金額可 超過拍賣品買價加買家費用(減於閣下稱 權向賣家收回的款項)的款項,付款項的所 變數量或來源,亦不論該等等的 一個一個一個一個 忽、其責任。 沒完人責任。 以供管權、後還申索閣下的損失。
- 10.5 上文所述不得解釋為排除或限制(不論直接或間接)任何人士就(i)欺詐,或(ii)因本公司疏忽(或因本公司於制的任何人士或本公司在法律上須代其負責任的任何人士的疏忽)引致人身傷亡,或(ii)根據香港法例第314章佔用人法律責任條例,本公司須負責的作為或不作為,或(iv)任何法律上不可排除或限制的其他責任,或(v)本公司根據此等線件第9段的承諾,而須承擔的責任,或排除或限制任何人士就上述而享有的權利或補救方法。

#### 11 一般事項

- 11.1 閣下不得轉讓本協議的利益或須承擔的責任。
  11.2 倘若本公司未能或延遲強制執行或行使任何本協議下的權力或權利,這不得作為或視其作為本公司放棄根據本協議所賦予的權利,任何以書面形式給予閣下的明確放棄除外。任何該等放棄並不影響本公司其後強制執行根據本協議所產生任何權利的能力。
- 11.3 倘本協議任何一方,因在其合理控制範圍以外的情況下而無法履行該訂約方根據本協議的責任,或倘在該等情況下履行其責任會導致其增加重大財務成本,則該訂約方只要在該情況仍然持續時,不會被要求履行該等責任。本段並不適用於第3段對閣下施加的責任。
- 11.4 本協議下的任何通知或其他通訊,必須以書面 形式作出,並可由專人送交或以掛號郵件或空 郵或以傳真方式(如發給邦瀚斯,註明交公司 秘書收),發送至合約表格所示有關訂約方的 地址或傳真號碼(除非已以書面形式通知更改 地址)。通知或通訊發出人須確保其清晰可讀 並於任何適用期間內收到。
- 11.5 倘若本協議的任何條款或任何條款的任何部份 被裁定為不可強制執行或無效,則該等不可強 制執行或無效並不影響本協議其餘條款或有關 條款其餘部份的強制執行能力或有效性。
- 11.6 本協議內凡提述邦瀚斯均指,倘適用,包括邦 瀚斯的高級職員、僱員及代理。
- 11.7 本協議內所用標題僅為方便參考而設,概不影

響本協議的詮釋。

- 11.8 本協議內「包括」一詞指「包括,但不限於」
- 11.9 單數詞語包括眾數詞語(反之亦然),任何一個性別的詞語包括其他性別。
- 11.10 凡提述第某段,即指本協議內該編號的段落。
- 11.11 除第11.12段有明確規定外,本協議概無賦予 (或表示賦予) 非本協議訂約方的任何人士, 任何本協議條款所賦予的利益或強制執行該等 條款的權利。
- 11.12 本協議凡賦予賣家豁免、及/或排除或限制邦 瀚斯責任時,邦瀚斯的控股公司及該控股公司 的附屬公司,邦瀚斯及該等公司的後續公司及 承讓公司,以及邦瀚斯及該等公司的任何高級 職員、僱員及代理的承繼人及受讓人亦可享有 同樣的法律上利益。

#### 12 規管法律

#### 12.1 法律

本協議下的所有交易以及所有有關事宜,均受 香港法例規管並根據其解釋。

12.2 語言

本買家協議以中英文刊載。如就詮譯本買家協 議有任何爭議,以英文條款為本。

#### 保障資料 一 閣下資料的用途

由於本公司提供的服務,本公司取得有關閣下的個人 資料(就本段而言,此詞僅包括閣下的僱員及職員( 如有))。閣下同意本公司以該等資料作下述用途。 本公司可利用閣下的資料向閣下發出有關本公司服務 變動的通知,以及向閣下提供有關產品或服務的資 料,而該等資料乃閣下要求本公司提供或本公司認為 閣下可能對該等產品及服務感興趣。有關閣下的資料 可能用作分析,以了解閣下在這方面的潛在喜好。本 公司可能向本集團任何成員公司(指本公司的附屬公 司、本公司最終控股公司及其附屬公司,定義見二零 零六年英國公司法第1159條及附表6,包括海外附屬 公司)披露閣下的資料。除此以外,本公司不會向任 何第三方披露閣下的資料,惟本公司可能不時向閣下 提供我們相信閣下可能感興趣的第三方貨品及服務的 有關資料。本集團任何成員公司亦可以閣下的資料作 類似用涂。

本公司將保留閣下的資料為期五年,由閣下最後與我 們聯繫的日期起計,以便簡化任何日後再辦理登記時 的手續。該等資料可轉移及儲存於香港以外地方,而 閣下同意此轉移。

閣下有權要求不以閣下的資料作此等用途,有關要求 請聯絡Bonhams 1793 Limited(地址: Montpelier Galleries, Montpelier Street, London, SW7 1HH, United Kingdom)(就香港法例第486章個人資料(私 隱)條例而言,為資料的使用者)或以電郵聯絡client. services@bonhams.com。

#### 附錄三

### 釋義及詞彙

倘納入此等釋義及詞彙,下列詞語及用詞具有(除文 義另有所指外)以下所賦予的涵義。詞彙乃為協助閣 下了解有特定法律涵義的詞語及用詞而設,閣下可能 對該等涵義並不熟悉。

#### 釋義

下額外費用」按照競投人通告計算的費用,以彌補邦 瀚斯須根據二零零六年藝術家轉售權規例支付版權費 的開支,買家須就任何註有[AR]且其成交價連同買家 費用(但不包括任何增值税)等於或超過1,000歐元( 按拍賣會當日的歐洲中央銀行參考匯率換算為拍賣會 所用貨幣)的拍賣品。

「拍賣人」主持拍賣會的邦瀚斯代表。

「競投人」已填妥競投表格的人士。

「競投表格」本公司的競投人登記表格、缺席者及電話競投表格。

「邦瀚斯」邦瀚斯拍賣有限公司(Bonhams (Hong Kong) Limited)或其後繼公司或承讓公司。於買家協議、業務規則及競投人通告內,邦瀚斯亦稱為我們。

「書籍」於專門書籍拍賣會提供以作銷售的印刷書籍。

「業務」包括任何行業、業務及專業。

「**買家**」拍賣人落槌表示由其投得拍賣品的人士。於 銷售合約及買家協議內,買家亦稱為「閣下」。

「**買家協議」**邦瀚斯與買家訂立的合約(見圖錄內附錄二)。

「**買家費用**」以成交價按競投人通告訂明的費率計算 的款項。

「**圖錄**」有關拍賣會的圖錄,包括任何於本公司網站 刊載的圖錄陳述。

「**佣金」**賣家應付予邦瀚斯的佣金,按照合約表格訂明的費率計算。

「狀況報告」由邦瀚斯代表賣家向競投人或潛在競投 人提供有關拍賣品狀況的報告。

「**寄售費」**賣家應付予邦瀚斯的費用,按照業務規則 訂明的費率計算。 「合約表格」由賣家或代表賣家簽署的合約表格或汽車資料表(按適用),載有供邦瀚斯提供以作銷售的拍賣品清單。

「銷售合約」賣家與買家訂立的銷售合約(見圖錄內 附錄一)。

「合約説明」唯一的拍賣品説明(即圖錄內有關拍賣品的資料內以粗體刊載的部份、任何照片(顏色除外)以及狀況報告的內容),賣家於銷售合約承諾拍 電品與該説明相符。

「說明」以任何形式對拍賣品所作的陳述或申述,包括有關其作者、屬性、狀況、出處、真實性、風格、時期、年代、適合性、品質、來源地、價值及估計售價(包括成交價)。

「資料」圖錄內識別拍賣品及其編號的書面陳述,可 能包括有關拍賣品的説明及圖示。

「成交價估計」本公司對成交價可能範圍的意見的 陳述。

「開支」 邦瀚斯就拍賣品已付或應付的收費及開支,包括法律開支、因電匯而產生的銀行收費及開支、保險收費及開支、圖錄及其他製作及説明、任何關稅、宣傳、包裝或運輸費用、轉載權費、稅項、徵費、協存、儲存、儲存收費、來自賣家作為賣家代理或來自失責買家的遷移收費、來自賣取用,加稅項。

「**膺品**」其製作者或其他人士意圖在其作者、屬性、來源地、真實性、風格、日期、年代、時期、出處、文化、來源或成份方面進行欺騙的偽造品,而該膺品於拍賣會日期的價值大幅低於其若非偽造的價值。且任何拍賣品說明一概無指明其為偽造。拍賣品不會因其損壞、及/或對其進行修復及/或修改(包括重畫或覆畫)而成為膺品,惟該損壞或修復或修改(視情況而定)並無實質影響拍賣品與拍賣品說明符合的特性。

「保証」在任何膺品上邦瀚斯對買家全力承擔的責任,以及在專門郵票拍賣會及/或專門書藉拍賣會當中,根據買家協議內定立,由郵票或書藉組成的拍賣品。

「成交價」拍賣人落槌表示拍賣品成交的價格,其貨幣為拍賣會所採用的貨幣。

「香港」中華人民共和國香港特別行政區。

「**遺失或損壞保證**」指業務規則第8.2.1段所述的保證。

「**遺失或損壞保證費用」**指業務規則第8.2.3段所述的費用。

「拍賣品」任何託付予邦瀚斯,供以拍賣或私人協約 形式出售的任何物品(而凡提述任何拍賣品,均包括 (除非文義另有所指)作為由兩項或以上物品組成的 一項拍賣品內的個別項目)。

「汽車圖錄費」作為邦瀚斯製作汽車的圖錄及就出售 汽車進行推廣而須承擔額外工作的代價,而應由賣家 付予邦瀚斯的費用。

「New Bond Street」指邦瀚斯位於 101 New Bond Street, London W1S 1SR的拍賣場。

「名義收費」倘拍賣品已按名義價格出售,則為應付 的佣金及稅項。

「名義費用」 賣家應付予邦瀚斯的寄售費所依據的金額,該費用按照業務規則訂明的公式計算。

「名義價格」本公司向閣下提供或載於圖錄的最近期 高、低估價的平均數,或若並無提供或載列該等估 價,則為拍賣品適用的底價。

「競投人通告」刊印於本公司圖錄前部的通告。

「買價」成交價與成交價的稅項相加的總數。

「**底價」**拍賣品可予出售的最低價格(不論以拍賣或 私人協約形式)。

「拍賣會」由邦瀚斯提供以作銷售拍賣品的拍賣會。 「出售所得款項」拍賣品售出後賣家所得的款項淨額,即成交價扣除佣金、其任何應繳稅項、開支及 任何其他應付予本公司的款項不論以何身份及如何 產生。

「賣家」合約表格所列明提供拍賣品以作銷售的人 土。若該列名人土在表格上指明另一人土作為其代 理・或若合約表格所列明人士作為主事人的代理行事 (不論該代理關係是否已向邦瀚斯披露),則 「賣家」包括該代理及主事人,而彼等須就此共同及 個別負責。業務規則內亦稱賣家為「閣下」。

「專家查驗」由專家對拍賣品進行目視查驗。 「郵票」指於專門郵票拍賣會提供以作銷售的郵票。 「標準查驗」由並非專家的邦瀚斯職員對拍賣品進行 目視查驗。

「儲存合約」指業務規則第8.3.3段或買家協議第4.4段 (按適用)所述的合約。

「儲存承辦商」於圖錄指明的公司。

「税項」指香港政府所實施不時適用的所有税項、收費、關税、費用、徵費或其他評税,以及所有其估計付款,包括,但不限於,收入、業務利潤、分行利潤、貨物税、財產、銷售、使用、增值(增值税)、

環保、特許、海關、進口、薪金、轉讓、總收入、預 扣、社會保障、失業税項及印花稅及其他收費,以及 就該等稅項、收費、費用、徵費或其他評稅的任何利 息及罰款。

「恐怖主義」指任何恐怖主義行為或該等行為的威脅,無論任何人單獨行動或代表或與任何組織及/或政府有關而行動,為政治、宗教或思想或類似目的, 包括,但不限於,企圖影響任何政府或使公眾或任何部份公眾陷入恐慌。

「信託帳戶」 邦瀚斯的銀行帳戶,就任何拍賣品所收 買價的所有有關項款均收入該帳戶,該帳戶為與邦瀚 斯正常銀行帳戶有所區別及獨立的帳戶。

「網站」網址為www.bonhams.com的邦瀚斯網站。 「撤銷通知」賣家向邦瀚斯發出的書面通知,以撤銷 由邦瀚斯出售拍賣品的指示。

「不設底價」指並無規定拍賣品可予出售的最低價格 (不論以拍賣或私人協約形式)

#### 詞彙

以下詞句有特定法律涵義,而閣下可能對該等涵義並 不熟悉。下列詞彙乃為協助閣下了解該等詞句,惟無 意就此而限制其法律上的涵義:

「藝術家轉售權」: 按二零零六年藝術家轉售權規例的規定,藝術品作者於原出售該作品後,就出售該作品而收取款項的權利。

「受託保管人」: 貨品所交託的人士。

「**彌償保證」**: 為保證使該彌償保證受益人回復其猶 如導致須予彌償的情況並無發生時所處狀況的責任, 「彌償」一詞亦按此解釋。

「**互爭權利訴訟」**: 由法院裁定拍賣品擁有權誰屬的 訴訟。

「投得」: 拍賣品售予一名競投人之時,於拍賣會上 以落槌表示。

「留置權」: 管有拍賣品的人士保留其管有權的權

「**風險」**:拍賣品遺失、損壞、損毀、被竊,或狀況或價值惡化的可能性。

「**所有權」**: 拍賣品擁有權的法律及衡平法上的權利。

「侵權法」: 對他人犯下法律上的過失,而犯過者對該人士負有謹慎責任。

香港法例第26章貨品售賣條例

#### 以下為香港法例第26章貨品售賣條例的摘錄:

「第14條有關所有權等的隱含責任承擔

- (1) 除第(2)款適用的售賣合約外,每份售賣合約均有
  - (a) 一項賣方須符合的隱含條件:如該合約是一宗 售賣,他有權售賣有關貨品,如該合約是一項售 賣協議,則他在貨品產權轉移時,將有權售賣該 等貨品:及
  - (b) 一項隱含的保證條款:該等貨品並無任何在訂立合約前未向買方披露或未為買方所知的押記或產權負擔,而在產權轉移前亦不會有這樣的押記或產權負擔;此外,買方將安寧地享有對該等貨品的管有,但對該項管有的干擾是由有權享有的一級露或已為實方所知的任何押記或產權負擔的利益的擁有人或其他有權享有該等利益的人作出的,則不在此限。
- (2) 如售賣合約所顯示或從合約的情況所推定的意向,是賣方只轉讓其本身的所有權或第三者的所有權,則合約中有一
  - (a) 一項隱含的保證條款: 賣方所知但不為買方所 知的所有押記或產權負擔,在合約訂立前已向買 方披露: 及
  - (b) 一項隱含的保證條款:下列人士不會干擾買方 安寧地管有貨品— (i) 賣方:及
  - (ii) 如合約雙方的意向是賣方只轉讓第三者的所有權,則該第三者;及
  - (iii) 任何透過或藉着賣方或第三者提出申索的人, 而該項申索並非根據在合約訂立前已向買方披露 或已為買方所知的押記或產權負擔而提出的。

## **Registration and Bidding Form**



Bonhams

(Attendee / Absentee / Online / Telephone Bidding) Please circle your bidding method above.

This sale will be conducted in accordance with Bonhams' Conditions of Sale and bidding and buving at the Sale will be regulated by these Conditions. You should read the Conditions in conjunction with the Sale Information relating to this Sale which sets out the charges payable by you on the purchases you make and other terms relating to bidding and buying at the Sale. You should ask any questions you have about the Conditions before signing this form. These Conditions also contain certain undertakings by bidders and buyers and limit Bonhams' liability to bidders and buyers.

#### Data protection - use of your information

Where we obtain any personal information about you, we shall only use it in accordance with the terms of our Privacy Policy (subject to any additional specific consent(s) you may have given at the time your information was disclosed). A copy of our Privacy Policy can be found on our website (www.bonhams.com) or requested by post from Customer Services Department, Suite 2001, One Pacific Place, 88 Queensway, Hong Kong or by e-mail from info@bonhams.com. We may disclose your personal information to any member of our group which means our subsidiaries, our ultimate holding company and its subsidiaries (whether registered in the UK or elsewhere). We will not disclose your data to anyone outside our group but we may from time to time provide you with information about goods and services which we feel maybe of interest to you including those provided by third parties.

Would you like to	receive information from
us by email?	or post

#### Notice to Bidders.

Clients are requested to provide photographic proof of ID - passport, driving licence, ID card, together with proof of address - utility bill, bank or credit card statement etc. Corporate clients should also provide a copy of their articles of association / company registration documents, together with a letter authorising the individual to bid on the company's behalf. Failure to provide this may result in your bids not being processed. For higher value lots you may also be asked to provide a bank reference.

1.6	successful
ш	successiui

Your signature:

I will collect the purchases myself

Please arrange shippers to contact me with a quote and I agree that you may pass them my contact details.

* Any person, bidders and purchasers must be at least 18
years of age to participate in the Sale of Lots comprising
wine, spirits and liquors.

Sale title: Fine & Rare Wines and Whisky	Sale date: 18 NOVEMBER 2022
Sale no. 27735	Sale venue: HONG KONG

If you are not attending the sale in person, please provide details of the Lots on which you wish to bid at least 24 hours prior to the sale. Bids will be rounded down to the nearest increment. Please refer to the Notice to Bidders in the catalogue for further information relating to Bonhams executing telephone, online or absentee bids on your behalf. Bonhams will endeavour to execute these bids on your behalf but will not be liable for any errors or failing to execute bids.

#### General Bid Increments HK\$:

\$10,000 - 20,000.....by 1,000s \$20,000 - 50,000.....by 2,000 / 5,000 / 8,000s \$50,000 - 100,000.....by 5,000s

\$200,000 - 500,000.....by 20,000 / 50,000 / 80,000s \$500,000 - 1,000,000......by 50,000s

\$1,000,000 - 2,000,000.....by 100,000s

\$100,000 - 200,000by 10,000s	above \$2,000,000at the auctioneer's discretion			
The auctioneer has discretion to split any bid at any time.				
Customer Number	Title			
First Name	Last Name			
Company name (to be invoiced if applicable)				
Address				
City	County / State			
Post / Zip code	Country			
Telephone mobile	Telephone daytime			
Telephone evening				
Preferred number(s) in order for Telephone Bidding (inc. country code)				
E-mail (in capitals)				
By providing your email address above, you authorise Bonhams to send to this address information relating to Sales, marketing material and news concerning Bonhams. Bonhams does not sell or trade email addresses.				
I am registering to bid as a private buyer	I am registering to bid as a trade buyer			
Please note that all telephone calls are recorded	Please tick if you have registered with us before			
Important				

When registering, the Bidder accepts personal liability for his/her purchase payment, unless it has been previously agreed in writing with Bonhams, that a Bidder is acting as an agent for a third party. Any person placing a bid as agent on behalf of another (whether or not he has disclosed this fact or the identity of his principal) will be jointly and severally liable with the principal to the Seller and to Bonhams under any contract resulting from the acceptance of a bid. By signing this form you agree to be bound by the Notice to Bidders printed in this Catalogue. You also authorise Bonhams to pursue a financial reference from your bank. Finally, Bonhams may request that you provide proof of identity and permanent address for verification and client administration purposes.

Telephone or Absentee (T / A)	Lot no.	Brief description	MAX bid in HK\$ (excluding premium)	Covering bid*

BY SIGNING THIS FORM YOU AGREE THAT YOU HAVE SEEN THE CATALOGUE AND HAVE READ AND UNDERSTOOD OUR CONDITIONS OF SALE AND WISH TO BE BOUND BY THEM, AND AGREE TO PAY THE BUYER'S PREMIUM, VAT AND ANY OTHER CHARGES MENTIONED IN THE NOTICE TO BIDDERS. THIS AFFECTS YOUR LEGAL RIGHTS.

\* Covering Bid: A maximum bid (exclusive of Buyers Premium) to be executed by Bonhams only if we are unable to contact you by telephone, or should the connection be lost during bidding.

NB. Payment will only be accepted from an account in the same name as shown on the invoice and Auction Registration form.

Please email or fax the completed Auction Registration form and requested information to:

Bonhams, Customer Services, Suite 2001, One Pacific Place, 88 Queensway, Hong Kong, Tel: +852 2918 4321, info.hk@bonhams.com Bonhams (Hong Kong) Limited. Suite 2001, One Pacific Place, 88 Queensway, Hong Kong. Company Number 1426522.



