



# **ANTIQUITIES**

Thursday 3 April 2014 at 10.30 New Bond Street, London

#### **VIEWING**

Sunday 30 March 11.00 to 15.00 Monday 31 March 9.00 to 16.30 Tuesday 1 April 9.00 to 16.30 Wednesday 2 April 9.00 to 16.30

### **SALE NUMBER**

21926

#### **CATALOGUE**

£30.00

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Front cover: Lot 50 Back cover: Lot 107 Inside front: Lot 19 Inside back: Lot 125

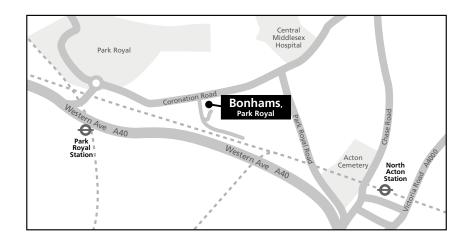
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#### Transfer & storage charges

will commence on Wednesday 23 April 2014 and will be applicable for each working day.

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Furniture, large paintings and large objects

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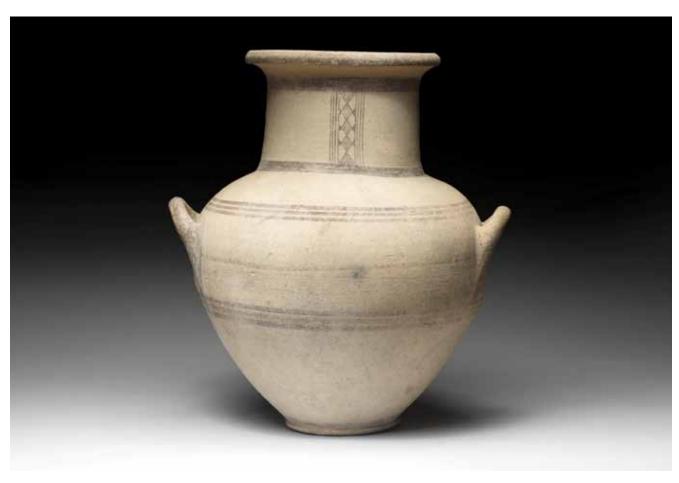
# Collection & Storage

Please see Sale Information page for further information.



# CLASSICAL Lots 1 - 74







#### 1 W

# A LARGE CYPRIOT POTTERY NECK AMPHORA CYPRO-GEOMETRIC, IRON AGE, CIRCA 1050-850 B.C.

Painted in umber with banded decoration of varying width around the neck, the shoulder and body, the neck with four vertical panels of linked cross-hatched diamond motifs, 20in (51cm) high

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

English private collection since 1977, thence by descent.

2

# A CYPRIOT LIMESTONE VOTIVE HEAD OF A YOUTH CIRCA 6TH-5TH CENTURY B.C.

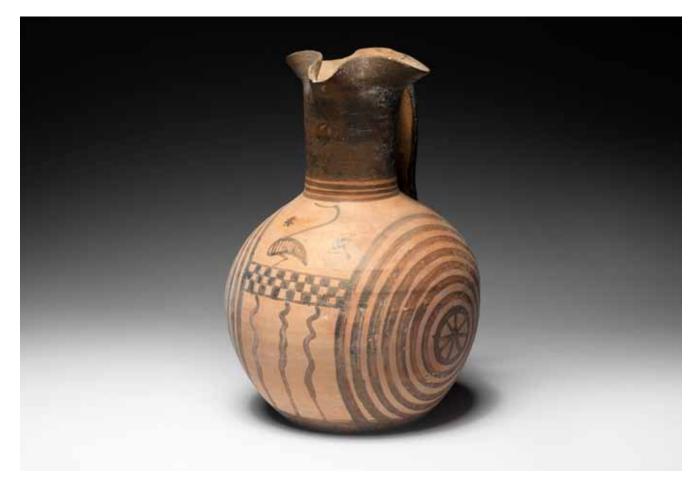
Shown wearing pendant earrings, the hair carved in bands of ringlets, the eyes of flattened oval form, the Archaic smile, with traces of original red paint on the lips, 3% in (9cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

# Provenance:

Jeff Shore Collection, Brussels. Christie's London, 18 October 2005, lot 183.

English deceased estate (d. 2005), having inherited the lot from her mother who presumably acquired it before 2000.



# AN ATTIC TERRACOTTA OINOCHOE CONCENTRIC CIRCLE GROUP, GEOMETRIC PERIOD, CIRCA 8TH CENTURY B.C.

The globular body decorated in umber on the shoulder with a stylised bird standing on a chequered band, flanked on both sides by concentric circles, centred by a cross motif on one side and a central star design on the other side, another bird beneath the handle, 8% in (22cm) high

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

# Provenance:

Nigel Park Collection (b. Glasgow 1938 - d. Brussels 2013), formed between the 1970s and early 2000s, in Brussels while working for

The oinochoe was listed in an insurance valuation in 1995, no. 9.

# A GREEK BRONZE HORSE GEOMETRIC PERIOD, CIRCA 8TH-7TH CENTURY B.C.

With stylised features, long cylindrical muzzle and large raised eyes, 2in (5cm) high, mounted

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

# Provenance:

German private collection, Cologne, acquired in 1978 from Dr. Phil. Horst-Ulbo Bauer Kleinkunst der Antike, thence by descent. Accompanied by a copy of the invoice dated 14 April 1978.



# A GREEK BRONZE ILLYRIAN HELMET CIRCA 6TH-5TH CENTURY B.C.

The domed helmet with a pair of raised double parallel ridges, each with smaller ridges at the outer edge, with a central frontal tang and loop at the back for attachment of a crest, with an everted rear flange and long pointed cheekpieces perforated at the forward tip, edged with a border of studs, 9in (23cm) high

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

# Provenance:

English private collection, acquired in the early 1990s on the UK art market.





# A GREEK BRONZE HYDRIA HANDLE **CIRCA LATE 6TH CENTURY B.C.**

The over-arching strap handle decorated with a beaded line along the centre terminating in an incised lotus flower, a horizontal line of spheres between the handle and the angular palmette attachments, at the top a kore's protome, with centrally-parted hair arranged in plaits, two disc terminals on the sides engraved with rosettes, 83/4in (22cm) high, mounted

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

### Provenance:

German private collection, formed in the 1970s.

#### Literature:

For a similar example of hydria handle with kore protome, cf. D.G. Mitten and S.F. Doeringer, Master Bronzes from the Classical World, Mainz on Rhine, 1968, p.78.

# A GREEK BRONZE KOUROS ARCHAIC PERIOD, CIRCA 6TH CENTURY B.C.

A young athlete, standing nude, depicted in the act of throwing the discus, the long hair arranged in braids falling over the shoulders, on integral round plaque, 31/4in (8.3cm) high, mounted

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

# Provenance:

German private collection, Cologne, acquired in 1977 from Dr. Phil. Horst-Ulbo Bauer Kleinkunst der Antike, thence by descent. Accompanied by a copy of a handwritten note from the collector, dated 17 May 1977.



7



# AN ATTIC BLACK-FIGURE BAND-CUP CIRCA 540-530 B.C.

Enlivened with red slip, the added white lost, the central band on each side depicting four pairs of dancers in a variety of poses, with palmettes and tendrils extending from each handle, 81/2in (21.8cm) diam

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

### Provenance:

Swiss private collection, Ticino, acquired in the 1980s.

pAn Amsterdam catalogue, De Kunst - en Antiekbeurs van de Lage Landen, no. 7, 14 December, 2003, illus.

#### Literature:

For similar works by the Centaur Painter, cf. P.Heesen, Athenian Little Master Cups, Amsterdam, 2011, pp. 217ff., pls. 130ff.

9 \* W

#### AN ATTIC BLACK-FIGURE NECK AMPHORA IN THE MANNER OF THE ANTIMENES PAINTER, CIRCA 520-510 B.C.

Side (a) depicting two armed hoplites in combat, wearing cuirasses, greaves and tall crested Corinthian helmets, both holding spears and shields, the shield on the right with a head of a ketos added in white slip, side (b) depicting Herakles holding the Erymanthian boar above his head, to throw onto the now lost Eurystheus, the remnants of a female attendant standing to the right, 153/4in (40cm) high

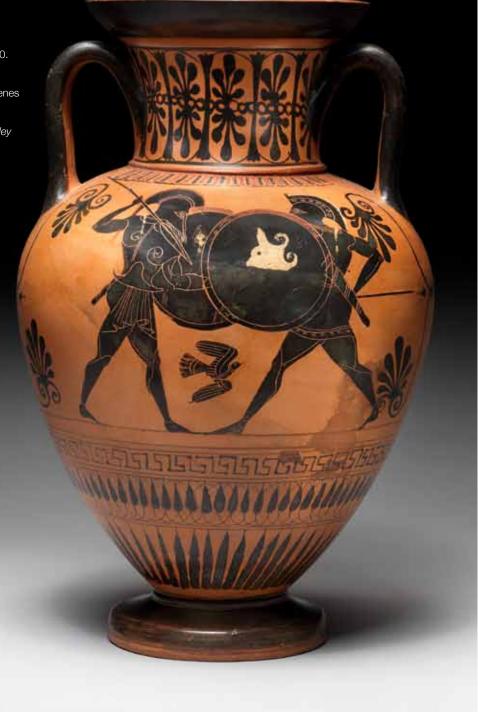
£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

# Provenance:

F.-L. Collection, Austria, acquired in about 1970.

#### Literature:

For similar and complete vessels by the Antimenes Painter depicting Herakles and the boar in the Musees Royaux, Brussels (R291) cf. T.H. Carpenter, T. Mannack, & M. Mendonca, Beazley Addenda, Oxford, 1989, p. 70.







#### 10

# AN ATTIC RED-FIGURE KYLIX CIRCA 5TH CENTURY B.C.

The tondo decorated with a draped male youth with a stele on his right side, within a meander border, 4in (10cm) high, 8in (20cm) diam excluding the handles

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

Nigel Park Collection (b. Glasgow 1938 - d. Brussels 2013), formed between the 1970s and early 2000s, in Brussels while working for NATO

The kylix was listed in an insurance valuation in 1995.

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# AN ATTIC RED-FIGURE OWL LEKYTHOS POSSIBLY BELONGING TO THE CL CLASS, CIRCA 475-425 B.C.

Depicting an owl with feathered wing and face, standing between olive sprigs, 6% in (17.1cm) high

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

### Provenance:

American private collection, California, acquired from André Emmerich Gallery, New York in the 1960s.

## A GREEK RED-FIGURE SKYPHOID PYXIS SICILY, ATTRIBUTED TO THE ETNA GROUP HEAD VASE, ORNATE STYLE, WHITE IVY GROUP, CIRCA 330-300 B.C.

The cover decorated with ivy leaves, the bowl showing on each side, the head of a female in profile between palmettes, 71/2in (19cm) high

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

Nigel Park Collection (b. Glasgow 1938 - d. Brussels 2013), formed from 1970s- early 2000s, in Brussels while working for NATO. Acquired from Charles Ede Ltd, London, catalogue 101, October 1975, Antiquities, no. 45.

#### Published:

A.D. Trendall, Red-figure vases of Lucania, Campania and Sicily, 2nd supplement, no. S/396a; and 3rd supplement IV, 413/a, and C. Ede, Collecting Antiquities, London, 1976, fig. 53.



12

### A GREEK RED-FIGURE BAIL AMPHORA CAMPANIA, ATTRIBUTED TO THE LIBATION GROUP, CIRCA 350-320 B.C.

Side (a) showing a satyr holding an egg in his right hand, side (b) depicting Eros, the two figures flanked by palmettes, 12in (30cm) high

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

Nigel Park Collection (b. Glasgow 1938 - d. Brussels 2013), formed between the 1970s and early 2000s, in Brussels while working for

Acquired from Charles Ede Ltd in 1975, London, catalogue 101, Antiquities, no. 9.

#### Published:

A. Trendall, Red-figure vases of Lucania, Campania and Sicily, Second Supplement, XIII, 413a, and C. Ede, Collecting Antiquities, London, 1976, p. 24, fig. 58 (b).





# 14 \*

## A GREEK RED-FIGURE SKYPHOS LUCANIA, ATTRIBUTED TO THE INTERMEDIATE GROUP, CIRCA 400 B.C.

Depicting on side (a) a draped female figure holding a bird in her right hand, side (b) with a nude male figure holding a staff, palmettes between the handles, 6% in (17.2cm) high; 12% in (32.4cm) wide including handles

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK private collection, South London, acquired on the art market, London in the 1980s.





#### 15 \*

# A GREEK RED-FIGURE BELL KRATER APULIA, ATTRIBUTED TO THE ROSIAZ PAINTER, CIRCA 390-380 B.C.

Side (a) depicting a woman throwing a ball to a winged Eros, his hands outstretched to catch it, side (b) depicting two draped youths, one holding a staff, the other enveloped in a mantle, a frieze of meander and saltire squares along the bottom, of the figural scene, 8½in (21.5cm) high

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

# Provenance:

German private collection. With the Münzhandlung Ball, Berlin, acquired in 1935.

Freiherr von Lipperheide Collection, Berlin, (22 July 1838 - 30 July 1906).

# Literature:

The Rosiaz Painter is a member of the Tarporley Group whose inspiration comes from the Painter of Lecce 686, see A.D. Trendall, *Red-Figured Vases of Apulia*, Volume I, Oxford, 1978, pp.58-59, pls.18.5-6 to 20; for a particularly comparable bell-krater in Bari see Perrone Coll.1, p.58, no.3/87, pl.19, 3-4.

# 16 \*

## AN ATTIC RED-FIGURE CALYX KRATER ATTRIBUTED TO THE L.C. GROUP, CIRCA 350-340 B.C.

Side (a) depicting a maenad standing in front of a seated beardless young man, probably Dinoysus, flanked by two altars, side (b) with three draped young men, a band of laurel beneath the rim and between the handles, 11% in (29.8cm) high

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

#### Provenance:

Bonhams, London, 16 May 2002, lot 231. UK private collection. Bonhams, London, 5 July 1994, lot 137.

#### Literature:

The style of the painting on the vase is similar to that of the Painter of Munich 2391 or the Painter of Athens 1375. For discussion of the L.C. Group cf. J. Beazley, Attic Red-Figure Vases, Volume 2, Oxford, 1963, p.1456-1461 and para. 493-494. For discussion of the Painter of Athens 1375 cf. p.1461 and para. 494. A comparable example in shape and style is Louvre CA 153, p.1458, 24; a comparable example in composition is side a of the calyx-krater Athens 1379: p.1457, 17.







#### 17 \*

#### A GREEK RED-FIGURE OINOCHOE APULIA, CIRCA 4TH CENTURY B.C.

Depicting a winged centaur, sitting back on his haunches, holding a wreath aloft in his right hand, with palmettes under the handle, 9in (22.8cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

General Robert C. Richardson (1882-1954) Collection, South Carolina, thence by descent.

Robert Charlwood Richardson, Jr. was born in Charleston on October 27, 1882 and his military career spanned the first half of the 20th Century. During World War I he was a liaison officer in the American Expeditionary Force and was afterwards a military attaché with the U.S. Embassy in Rome. During World War II he commanded the U.S. Army, Pacific (Hawaiian Department) from 1943 until his retirement in 1946. During that time he was also the military governor of Hawaii (which was at that time still a US Territory) and Commanding General of U.S. Army Forces in the Pacific Ocean Areas.

18

# A GREEK RED-FIGURE OINOCHOE APULIA, ATTRIBUTED TO THE BM CENTAUR GROUP, CIRCA 340-330 B.C.

Depicting a draped young woman holding a phiale, demi-palmettes in the field, with added white and yellow slip, 8in (20.2cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

Albeck Collection, Ticino, Switzerland, formed before 2000.

For discussion of the style of the BM Centaur Group and their comparable artists, the Darius and Underworld Painters, see A.D. Trendall & A. Cambitoglou, Red-Figured Vases of Apulia, Volume II, Oxford, 1982, p.621. Especially comparable is the chous Dresden H 4.25/79: p.625, no.21/192, pl.236,5.



19 \* W

#### A GREEK RED-FIGURE HYDRIA APULIA, ATTRIBUTED TO THE BALTIMORE PAINTER, CIRCA 320-310 B.C.

Decorated with added white, ochre and crimson slip, the upper frieze depicting a wedding scene, the bride seated on a chair beneath a parasol, unveiling herself to the groom standing in front, leaning on a basin, flanked by three attendants, the lower frieze with a naiskos flanked by female figures carrying caskets and situlae, 261/4in (66.7cm) high

£20,000 - 30,000 €24,000 - 36,000 US\$33,000 - 50,000

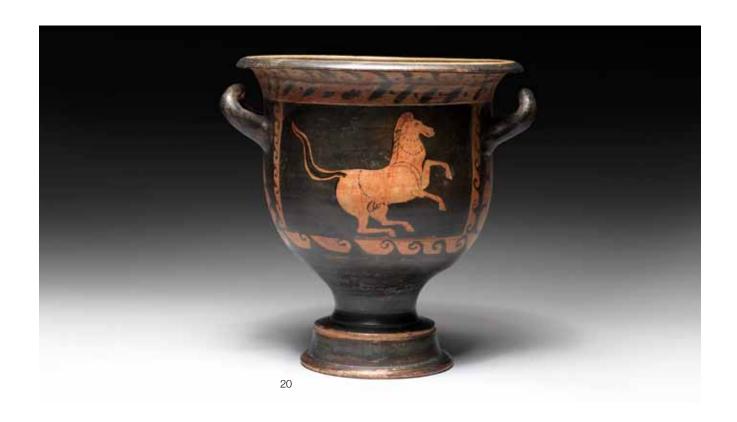
#### Provenance:

T.L. Collection, Berne, Switzerland.

V.L. Collection, Nyon, Switzerland, acquired in the 1990s.

## Literature:

For a similar bridal scene showing Paris and Helen on another Baltimore Painter hydria in Mattinata, Sansone Coll. 685, cf. A.D. Trendall & A. Cambitoglou, The Red-Figured Vases of Apulia, vol. II, Oxford, 1982, no.27/55, pls.33.3 and 333.1. For discussion of depictions of naiskoi and grave monuments on South Italian vases see H. Lohmann, Grabmäler auf unteritalischen Vasen, Berlin, 1979.





# A GREEK RED-FIGURE BELL KRATER CAMPANIA, ATTRIBUTED TO THE SIAMESE-MAJEWSKI PAINTER, CIRCA 330-300 B.C.

Depicting a prancing horse on one side, its tail falling downwards, the other side depicted with a rearing horse, its tail flowing upwards, scrolling borders to the sides and below, stylised laurel beneath the rim, palmettes under the handles, 101/4in (26cm) high

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

Dutch private collection, Mr J.D.W., Netherlands, 1961-80.

#### Exhibited:

Abbaye Sainte-Gerard de Brogne, Belgium, May 2004. Zeeland, Middleburg, Netherlands, 1 March - May 1991.

### Literature:

For discussion that the Siamese and Majewski Painters were in fact one painter, see A. D. Trendall, *The Red-figured Vases of Lucania, Campania and Sicily*, Second Supplement, London, 1973, p. 208.

21

#### A GREEK RED-FIGURE FISH PLATE CAMPANIA, ATTRIBUTED TO THE HELIGOLAND PAINTER, CIRCA 4TH CENTURY B.C.

With a torpedo fish and two striped breams around the central depression, a band of wave on the overhanging rim, 7in (17.7cm) diam

£2,500 - 3,500 €3,000 - 4,200 US\$4,200 - 5,800

#### Provenance:

German private collection, Cologne, acquired in 1983 from Dr. Phil. Horst-Ulbo Bauer Kleinkunst der Antike, thence by descent. Accompanied by a copy of the invoice dated 17 August 1983.



### 22 \*

### A CANOSAN POLYCHROME PAINTED LIDDED POTTERY PYXIS **CIRCA 3RD CENTURY B.C.**

The domed lid with a central mask modelled in relief surrounded with bands of painted decoration, the front of the cylindrical vessel painted in pink, red and pale blue with a band of swags, the tripod legs comprising two doves and a rectangular slab foot at the back, 9in (22.9cm) high

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

### Provenance:

American private collection, New York, acquired from Ariadne Galleries, New York City in the late 1980s.

#### 23 W

## A CANOSAN POLYCHROME PAINTED POTTERY **THYMIATERION** LATE 4TH CENTURY B.C.

The central section of the support modelled in the form of a draped female, standing on an integral reel-shaped hollow base, her hands clutching the folds of her himation, her hair dressed in a radiate stephane surmounted by a circular dish with central impression, traces of the original coloured slip remains, 15in (34.3cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

# Provenance:

Belgian private collection, formed in the 1970s-80s.







#### 24 \*

#### A GREEK TERRACOTTA SEATED WOMAN **CIRCA 5TH CENTURY B.C.**

Depicted nude, with articulated arms, the centrally-parted hair tied at the back into a chignon, wearing a diadem, with modern fixing of the arms, 6in (15cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

Edmund M. Kaufman Collection, California, purchased from Charles Ede Ltd, London, in 1983.

25

#### A GREEK TERRACOTTA BUST OF A GODDESS CIRCA 300 B.C.

Possibly Aphrodite, with long hair centrally-parted and falling at her shoulders, wearing a diadem and earrings, a vent hole behind and in the top of her head, 41/2in (11.4cm) high, mounted

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

R.L.G. Collection, Mühlheim, Germany, formed in the 1970s.

For a similar type cf. K.A. Neugebauer (ed.), Antiken in Deutschem Privatbesitz: Festschrift zum 25 jährigen Bestehen der Vereinigung, Berlin, 1938, p.14, no.23, pl.12.

# 26 \* W

# A GREEK TERRACOTTA LOUTHERION SOUTH ITALY, CIRCA 400-350 B.C.

The vessel base in the form of a hollow fluted column, flaring towards the base, surmounted with a reel-shaped basin, and a separately-made bowl with pouring spout, 25½in (63.5cm) high (2)

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

#### Provenance:

Bonhams, London, 26 April 2007, Lot 344. Property of an English lady, acquired between 1968-1978.

#### Literature:

For a similar example cf. H.P. Isler & M. Sgaitamatti (eds.), *Di Sammlung Collisani*, 1990, p. 150, no. 216, pl. 35; B. Kirigin, *Pharos: An Archaeological Guide*, 2003, no. 42, pp. 33 & 53.



# 27 W

# A LARGE ITALIC IMPASTO WARE VESSEL NEOLITHIC PERIOD, CIRCA 3RD MILLENNIUM B.C.

With squat piriform body, tapering neck and everted rim, the pronged handle moulded with horizontal ribs resembling a ram's horn, the polished dark grey surface decorated with impression, a swastika motif over the neck, several concentric bands of stamped dot-and-circles and dots over the shoulder and body and over the handle, the body with two abstract motifs alternating with two scrolls and stamped circles arranged in the form of rosettes, 153/4in (40cm) high

£12,000 - 15,000 €15,000 - 18,000 US\$20,000 - 25,000

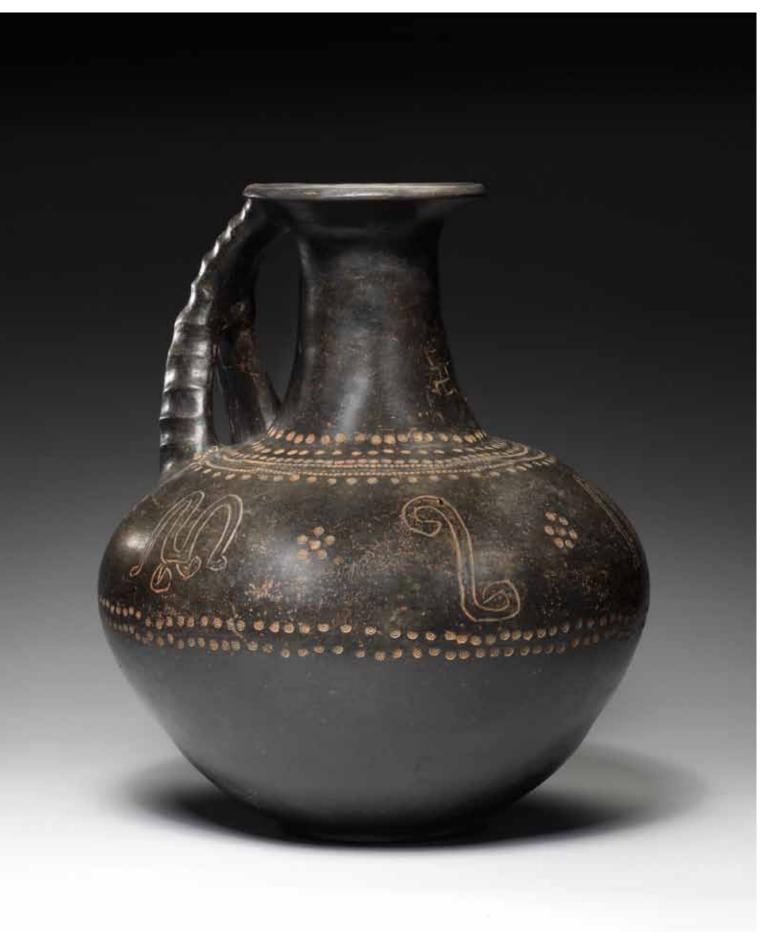
#### Provenance:

Swedish private collection, acquired from Galerie Rhea, Zurich, in

Swiss private collection, acquired before 1970.

Accompanied by a thermoluminescence report from Laboratory Ralf

28 **NO LOT** 







#### AN ETRUSCAN BRONZE FIGURE OF A YOUTH WITH PAN **PIPES**

#### CIRCA 490-470 B.C.

Standing with his left foot slightly advanced, holding his Pan pipes to his chest, his short hair in a cap-style, his facial features incised, 4in (10.1cm) high, mounted

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

## Provenance:

Jean David Cahn AG, Auktion 4, Basel, Switzerland, 19 October 2002,

Meierhans Collection, Zurich, Switzerland, acquired in 1982 from Wölfl und Hiermann, 1982.

30 \*

#### AN ETRUSCAN BRONZE KORE CIRCA LATE 6TH - EARLY 5TH CENTURY B.C.

Wearing a diadem with her long hair cascading down her back, wearing earrings and a bracelet, with a pleated epiblema (shawl) over her chiton, holding the folds with her left hand, 3% in (9.6cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

## Provenance:

Bonhams, London, 14th May 2003, lot 255. London Art Market, 1985

# Literature:

For a similar example cf. B. Borg, H.V. Hesberg & A. Linfert, Die Antiken Sculpturen in Castle Howard, Monumentis Artis Romanae 31, 2005, p.165, no.112, pl.90; A. de Ridder, Les Bronzes Antiques du Louvre, Vol. I, Les Figurines, Paris, 1913, p.42, no. 239, pl.23.





# AN ETRUSCAN BRONZE FINIAL **CIRCA 5TH CENTURY B.C.**

Cast in one piece, in the shape of a nude warrior armed with sword and shield, wearing a crested helmet, depicted in the act of brandishing his weapon, acrobatically balancing on a hippocampus, adorned with a long feather on its head, 6in (15cm) high, mounted

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

### Provenance:

Swiss corporate collection, acquired in 1990.

# AN ITALIC BRONZE FIGURE OF A WARRIOR **CIRCA 6TH-5TH CENTURY B.C.**

With stylised features, depicted striding forward, wearing a cuirass without shoulder straps over a short chiton and a domed helmet with knopped finial at the top and neck-guard, 41/2in (11.5cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

English private collection, acquired in the 1950s.

### Literature:

The helmet worn by this warrior is similar to the Etruscan Montefortino type, possibly an early prototype without cheek-pieces. Also the cuirass without shoulder straps worn over the chiton was commonly used in Central Italy, with examples from Etruria and Umbria, cf. S. Cassani, The Art of the Italic Peoples, Rome, 1993, p.246, pl.143. For similar treatment of the broad shoulders and stylised anatomy, cf. op. cit., p.167, pl.72.

33 NO LOT





#### 34

# AN ETRUSCAN TERRACOTTA FEMALE VOTIVE HEAD CIRCA 4TH-2ND CENTURY B.C.

Of hollow form, the youthful features with full lips, the hair centrally parted beneath a himation and falling in tresses to the shoulders, 10½in (27cm) high

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

#### Provenance:

de Lisse Collection, Belgium, acquired in the 1990s at De Munter, Brussels.

35

# AN ETRUSCAN BRONZE SPHINX ATTACHMENT CIRCA 5TH CENTURY B.C.

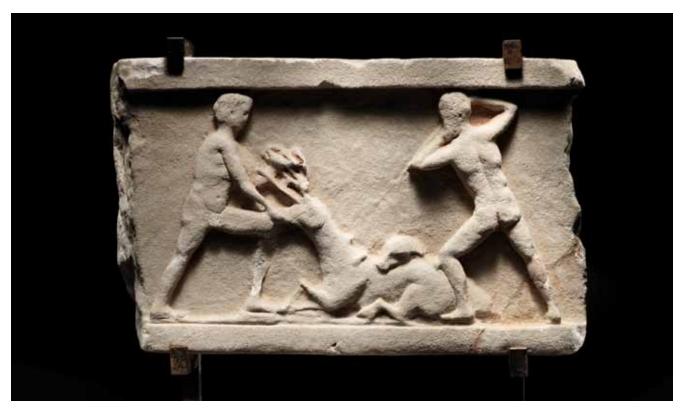
The mythical creature depicted couchant, the forepaws extending forward, the head turned to the right, with long tail and curved wings, 3% (9cm) long, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

# Provenance:

German private collection, Cologne, acquired in 1978 from Dr. Phil. Horst-Ulbo Bauer Kleinkunst der Antike, thence by descent. Accompanied by a copy of the invoice dated 14 April 1978.







37 \*

# A GREEK LIMESTONE RELIEF FRAGMENT SOUTH ITALY, CIRCA 2ND CENTURY B.C.

From a frieze, depicting a hunting scene composed of a nude male figure on the right spearing a kneeling deer, a hound sinking its teeth into the deer's back, another nude male figure to the left holding the deer's antlers, 13in (33cm) long, mounted

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

American private collection, Los Angeles, California, acquired in 2011. Deceased estate, Southern California, acquired in the 1920s, thence by descent.

38 \* W

# A ROMAN MARBLE PORTRAIT HEAD OF A MAN AUGUSTAN - JULIO-CLAUDIAN PERIOD, CIRCA LATE 1ST CENTURY B.C. - EARLY 1ST CENTURY A.D.

With youthful features, the thick hair falling over the brow, with full lips and large eyes, the top of the head missing, with heavy sea encrustations, 10½in (26.6cm) high, mounted

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

# Provenance:

American private collection, California, acquired in the mid-1990s. UK private collection early 1990s.

# Literature:

For a marble portrait head of the Emperor Augustus with a very similar water-damaged surface, now in the Musée de l'Arles Antique, cf. Exhibition Catalogue, *Égypte Romaine. L'autre Égypte*, Musée de Marseille, 1997, pp. 34-5. no. 17.

# A GREEK MARBLE VEILED FEMALE HEAD **CIRCA 4TH CENTURY B.C.**

The oval face with wavy centrally-parted hair, the veil over her head falling in folds behind her neck, 13½ in (34.5cm) high, mounted

£15,000 - 20,000 €18,000 - 24,000 US\$25,000 - 33,000

# Provenance:

French private collection of Mr T., Paris, acquired in the 1990s. French private collection, Paris, acquired in the 1980s.



### A HELLENISTIC PARCEL GILT SILVER BOWL **CIRCA 2ND CENTURY B.C.**

Raised from a single sheet, conical in form, with a rounded base, gently flaring towards the rim, the interior decorated with incision and gilding, including a gilt tondo at the base, with a central incised band decorated with a stylised laurel and berry wreath, interspersed with panels of crossed-hatching and saltire squares with palmette ornament, the rim with a band of ovolo in relief, the exterior incised with a dotted inscription in Greek characters, possibly the maker's monogram and an indication of weight, 6¾in (17cm) diam; 404g weight

£30,000 - 50,000 €36,000 - 61,000 US\$50,000 - 83,000

#### Provenance:

UK private collection, acquired in the 1970s.

#### Literature:

This bowl is closely related to a group in the J. Paul Getty Museum (81.AM.84.23), thought to be of Eastern origin. Cf. M. Pfrommer, Metalwork from the Hellenized East, Catalogue of the Collections, J. Paul Getty Museum, Malibu, 1993, p. 151, no.24. Another silver conical bowl in the same collection (81.AM.84.21), also demonstrates what appears to be the same maker's mark: pp. 148-9, no.22.







# 41 W

# A ROMAN MARBLE HEAD OF APHRODITE **CIRCA 1ST-2ND CENTURY AD**

Depicted with a serene expression on her face, her head tilted and turned to the right, the wavy hair centrally parted and swept back into a chignon at the nape of the neck, the top of her head drilled for a now missing attribute, the deep-set eyes beneath the pronounced browline, the lips slightly parted, 10in (25cm) high, mounted

£15,000 - 20,000 €18,000 - 24,000 US\$25,000 - 33,000

#### Provenance:

Belgian private collection, acquired from Helios Ancient Art, London, in 1993 and accompanied by a copy of the receipt.

# A ROMAN MARBLE TORSO OF APHRODITE CIRCA 2ND CENTURY A.D.

The goddess depicted nude, standing with the right leg slightly advanced, with softly curvaceous body and pronounced waistline, the navel recessed, 11in (28cm) high, mounted

£15,000 - 20,000 €18,000 - 24,000 US\$25,000 - 33,000

#### Provenance:

French private collection, acquired before 1945, thence by descent.





# 43 W

# A ROMAN MARBLE MALE PORTRAIT HEAD CIRCA 1ST CENTURY A.D.

With soft and youthful features, with almond-shaped eyes and full lips, the short cropped hair left unworked over the top and back, with two recesses over the forehead and two in place of the ears, possibly to insert a now-missing headdress, 121/2in (32cm) high, mounted

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

# Provenance:

UK private collection, acquired on the London art market in 1963.





44 \*

# A ROMAN MARBLE HEAD OF EROS **CIRCA 2ND CENTURY A.D.**

The youthful god depicted turning to his right, with almond-shaped eyes and his long curly hair plaited into a braid along the crown of his head, 41/2in (11.4cm) high, mounted

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

## Provenance:

Sotheby's, New York, 06 December 2006, lot 128 (part). American private collection, acquired circa 1960.

# Literature:

For a similar depiction, cf. Arachne no. 56587: Rome, private collection, Stettiner.

45 \*

# A ROMAN MARBLE BEARDED MALE HEAD **CIRCA 2ND CENTURY A.D.**

Probably depicting a god, his curling hair centrally-parted and clustering around his forehead, wearing a fillet, the pupils drilled, with the back roughly carved, possibly from a sarcophagus, 51/4in (13.3cm) high, mounted

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

# Provenance:

American private collection, Boston. Addis Finney Collection, Basel, Switzerland, formed between 1935-



46 \*

# A ROMAN MARBLE HEAD OF EROS CIRCA 1ST-2ND CENTURY A.D.

The youthful god shown with curling hair clustering over his ears, a small fringe at his brow and a central plait along the crown decorated with a flower, his lips curving into a smile, the eyes with articulated pupils gazing slightly upwards, 83/4in (22.2cm) high, mounted

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

## Provenance:

American private collection, Arizona, acquired in 1953 from a Madison Avenue dealer, New York City.

# A ROMAN MARBLE SARCOPHAGUS **FRAGMENT CIRCA 2ND-3RD CENTURY A.D.**

Depicting Eros, with plump features and short wings, the youthful face framed by centrally-parted wavy hair tied at the top in a knot, standing nude except for a long mantle around the shoulders, both arms raised to reach large round fruits hanging from a tree above, 18in (46in) high, mounted

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

American private collection, New York, acquired in the late 1980s.



47



# A ROMAN MARBLE RELIEF FRAGMENT OF **MEDUSA CIRCA 2ND CENTURY A.D.**

Carved in high relief, the gorgon depicted frontally with snakes in her flowing hair, feathered wings emerging from the top of her head, the back flat, 71/2in (19cm) high, mounted, inked on the back with 'Found at Frascati near Tusculum...'

£2,500 - 3,500 €3,000 - 4,200 US\$4,200 - 5,800

## Provenance:

American private collection, New York, acquired prior to 1980.



# A ROMAN MARBLE FIGURE OF ASCLEPIUS **CIRCA 1ST-2ND CENTURY A.D.**

The god depicted standing with his weight on his right leg, his left relaxed and bent at the knee, his right hand on his hip, wearing a himation around his waist and hanging over his left shoulder, the folds falling along his left side, his left arm once resting on staff, now missing, the back roughly-hewn, 52in (132.1cm) high, mounted on a marble base

£40,000 - 60,000 €49,000 - 73,000 US\$67,000 - 100,000

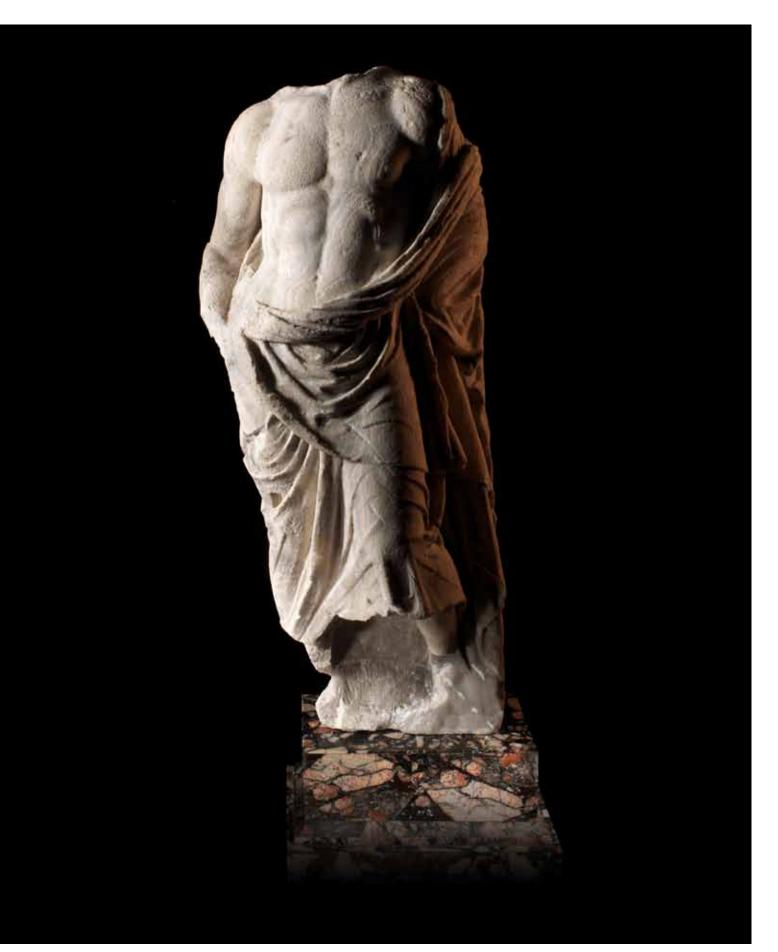
## Provenance:

American private collection, acquired from Salander-O'Reilly Galleries, New York in 2002.

Richard James Collection, New Jersey, acquired from Hesperia Art, Philadephia, in 1968 and accompanied by a copy of the receipt.

# Literature:

Despite the absence of the head and attributes, from the stance and drapery it is likely that this depicts Asclepius the Greek god of healing and medicine. For the type, cf. B. Holtzmann, 'Asklepios', Lexicon Iconographicum Mythologicae Classicae, II, 1984.





# A ROMAN ROSSO ANTICO MARBLE HEAD OF A SATYR FLAVIAN PERIOD, LATE 1ST CENTURY A.D.

After a Hellenistic prototype, lifesized, depicted a youthful satyr turning to his left, his round face with dimpled full cheeks and a smiling slightly open mouth, his nose snub, tiny horns emerging from his forehead above a heavy browline, his long pointed ears amongst thick wavy hair secured with a band, set on a later after the antique rosso antico bust with a nebris tied at the left shoulder, and a coloured marble socle, 12in (30.5cm) height of head; 241/2in (62.2cm) overall height including

£200,000 - 300,000 €240,000 - 360,000 US\$330,000 - 500,000

#### Provenance:

American private collection, Los Angeles, California, acquired in the

Collection of Peter Paanakker (d. 1999), Los Angeles, California, acquired in England in the mid-1970s.

Althorp House, Northamptonshire. Thought to have been acquired in Italy in the late 18th to early 19th Century by one of the Spencer Earls during a Grand Tour, thence by descent.

#### Published:

C. Klages in A. Scholl, Die antiken Skulpturen in Farnborough Hall sowie in Althorp House, Blenheim Palace, Lyme Park und Penrice Castle, Monumenta Artis Romanae XXIII, 1995, 12 f. Nr. A2. Illustrated with a photograph taken at Althorp in 1973. Arachne, no. 290.

#### Literature:

The bust was one of several satyr statues in Althorp collected along with many other classical sculptures by the Spencer family on their frequent visits to Italy in the eighteenth amd nineteenth Centuries. George John, 2nd Earl Spencer (1758-1834), had a particular penchant for antiquities, which is well-documented in his notebooks relating to his travels around Italy: British Library, Althorp Papers, vols. mccexxxvii-mccexli, Add MS 76634-76636.

Such depictions of satyrs were derived from Hellenistic prototypes, and a number of Roman copies and variants have survived demonstrating the characteristically round face and pointed ears, deeply carved long hair and laughing or smiling expressions. For examples of the type, cf. M. Bieber, The Sculpture of the Hellenistic Age, New York, 1955, pp. 139-40, figs. 568-9, 573 (also rosso antico), and 574-5.

Rosso antico marble was a popular choice of medium in depicting Bacchic subjects such as Dionysus and satyrs, presumably due to its red wine colour. It would appear that this also made them a suitable choice for decorating a Roman villa, symbolising the conviviality which was an important part of the entertainment function of such establishments, whilst at the same time conveying the education and artistic taste of the owner. For a similar depiction of a satyr (restored as full-length) in rosso antico from the Emperor Hadrian's villa at Tivoli, now in the Capitoline Museum, Rome, cf. T. Opper, Hadrian. Empire and Conflict, London, 2008, p. 165, fig. 149.

For a similar depiction of a herm bust of a satyr in giallo antico, cf. R.M. Schneider et al, Villa Albani. Katalog der Antiken Bildwerke, vol. 1, Berlin, 1989, kat. no. 110, pp. 342-346, fig. 193-194. For an example of another rosso antico satyr head, cf. Minneapolis Institute of Arts, accession number 2006.110.





# A ROMAN MARBLE FIGURE OF A YOUNG MAN **CIRCA 1ST CENTURY A.D.**

The youthful figure standing in contrapposto with the weight on his right leg supported by a tree stump, the remains of a strut on the thigh, the left arm bent, the shoulder draped by a himation with deep zigzag folds, the athletic musculature modelled with a pronounced iliac crest, 25in (61.5cm) high

£60,000 - 80,000 €73,000 - 97,000 US\$100,000 - 130,000

#### Provenance:

Swiss private collection, acquired in 1965. G. Réal Collection, Ascona, Switzerland, formed in the 1940s.

#### Literature:

This depiction of heroic nudity has its origins in Greek art of the 5th Century B.C. and the work of such sculptors as Polykleitos and Lysippos who sculpted athletes, gods and heroes with idealised musculature. In the Hellenistic and Roman periods, the type was employed to depict individuals, combining more realistic portraiture with idealised athletic nudity.

The type, with a mantle covering one shoulder, was particularly adopted into the Roman Imperial canon and deployed on commemorative and funerary monuments. For example the statues of Augustus' heirs, his grandsons Gaius and Lucius Caesar, from the Julian Basilica in Corinth, now in the Archaeological Museum, Corinth: D. Kleiner, Roman Sculpture, New Haven, London, 1992, p. 72-74, figs. 48, 50. For further discussion, see P. Zanker, Klassizistische Statuen, Mainz am Rhein, 1974.





# A ROMAN PAVONAZZETTO MARBLE FIGURE OF A DACIAN CAPTIVE CIRCA 1ST-2ND CENTURY A.D.

Standing with the weight on his left leg, his right leg bent and crossed over the left at knee height, both arms held in front, with his hands probably once bound, dressed long trousers underneath a kneelength tunic belted at the waist, with a cloak draped around his neck and falling behind, wearing closedtoe shoes, standing on an integral base and leaning against a back-pillar, 30¾in (78cm) high

£15,000 - 25,000 €18,000 - 30,000 US\$25,000 - 42,000

#### Provenance:

American private collection, acquired in the late 1980s in New York.

# Literature:

These types of statues were often carved in coloured marbles, with the head, necks and hands usually carved separately in another coloured stone such as white marble.

The image of the captive barbarian became particularly prevalent from the late 1st Century onwards, as a reflection of increased Roman military triumph and imperial expansion.

For a similar statue in the Museum of Fine Arts, Boston, cf. no. 2000.1053. Also cf. M. De Nuccio and L. Ungaro, I Marmi Colorati della Roma Imperiale, Rome, 2003, pp. 333-340, nos 31-4; and R.M. Schneider, *Bunte Barbaren*, Worms, 1986, pls. 28-32.

A ROMAN MARBLE DRAPED FEMALE FIGURE CIRCA 1ST-2ND CENTURY A.D.

Standing with her right leg slightly advanced, wearing a long chiton belted under the bust, swathed in a himation with the folds draped across box chest and over hor left choulder. 21in (78 7cm) her chest and over her left shoulder, 31in (78.7cm) high, mounted

£25,000 - 30,000 €30,000 - 36,000 US\$42,000 - 50,000

# Provenance:

French private collection, acquired by Lady L.V. before 1980.





# THIS LOT IS BEING SOLD TO BENEFIT THE GLOBAL HERITAGE FUND

54 \*

# A ROMAN BRONZE BALSAMARIUM IN THE FORM OF A BUST OF HERMES

CIRCA 2ND CENTURY A.D.

The god depicted facing frontally, wearing a diadem in his curling hair, small feathered wings emerging from his head, a suspension loop behind each wing, his facial features modelled with a strong brow-line, the pupils articulated, the vessel mouth at the top of the head, the base flat, 61/2in (16.5cm) high, mounted

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

# Provenance:

American private collection, New York City, acquired from Mathias Komor in the 1960s-70s.



# A ROMAN MARBLE BUST OF APHRODITE **CIRCA 1ST-2ND CENTURY A.D.**

Her head slightly turned to the right, depicted wearing a short-sleeved chiton pinned at the shoulders, the long wavy hair centrally parted, arranged in a knot at the top and a chignon at the back, with two tresses falling over the shoulders, traces of red pigment on the hair, set on an integral base, 10in (25cm) high

£5,000 - 8,000 €6,100 - 9,700 US\$8,300 - 13,000

# Provenance:

American private collection, New York. Christies, New York, 11 December 2003, lot 221. Gorny & Mosch, Munich, 2002. European private collection, formed in the 1980s.



# A MONUMENTAL ROMAN MARBLE PORTRAIT HEAD OF THE **EMPEROR TRAJAN** CIRCA A.D. 98-117

Of mature age, depicted facing forward, the thick hair characteristically combed forward over his forehead, the strands over his forehead curling left, his large almond-shaped eyes beneath a heavy browline, creased into a frown, with a heavy jawline and thick neck, 161/2in (42cm) high, mounted

£50,000 - 70,000 €61,000 - 85,000 US\$83,000 - 120,000

# Provenance:

German private collection of G.L., acquired in the 1960s.

# Literature:

The Emperor Trajan (Marcus Ulpius Trajanus) acceded to the Imperial throne when he was forty-five years, and ruled from A.D. 98 to 117. Born in Hispania Baetica (modern Spain) he was the first emperor to be born in a Roman province. He was a great military leader and under his rule, the Empire saw its greatest military expansion, conquering Nabataea, Dacia, Armenia and Mesopotamia.

According to Kleiner the portraits made during his lifetime may be divided into several types and 'the distinctions among the types are very subtle and have to do for the most part with the arrangement of the hair over the forehead and on the nape of the neck.' (D. Kleiner, Roman Sculpture, Yale, 1994, p.208). This bust may be seen as one of Kleiner's 'postdecennial' types which includes those on Trajan's Column in Rome and other posthumous portraits produced after Trajan's death in A.D.117.

For a marble portrait bust in the Ny Carlsberg Glyptotek, Copenhagen (inv. 1723) with similar treatment of the hair, cf. V. Poulsen, Les Portraits Romains, II, Copenhagen, 1974, p.66, cat. no. 37. There is a portrait head with similar heavy facial features, originally in the Borghese Collection, now in the Musée du Louvre, Paris, Ma3512 / MR 667.





## 57 W

# A ROMAN MARBLE STATUE OF HYMENAIOS CIRCA 2ND-3RD CENTURY A.D.

The youthful god depicted standing nude, asleep, leaning on a fluted column covered with drapery, with folds below the round belly and behind the chubby legs, the curly head leaning on the left, resting his cheek over the right hand, the left arm falling abandoned along the column, holding a wreath in his left hand, the wings with details of the feathers, 33in (84cm) high, mounted

£30,000 - 50,000 €36,000 - 61,000 US\$50,000 - 83,000

#### Provenance:

South German private collection, acquired on the Vienna art market, Austria, in 1979.

# Literature:

Hymenaios was an Erote, the god of weddings, and was traditionally invoked in the bridal song. He is depicted on Classical Greek vases as a winged child, often holding a torch and nuptial crown. He often appears on Roman sarcophagai in wedding processions with other Erotes. for a similarly-posed sleeping Hymenaeus in the Ashmolean Museum, Oxford, cf. Clarac, Musée de Sculpture antique et moderne, vol. IV, Paris, 1850, pl. 650 B, no.1504 A.



# A ROMAN MARBLE FRAGMENT OF A SLEEPING EROS **CIRCA 1ST-2ND CENTURY A.D.**

The child god depicted resting over a lion skin spread over a rock, slightly turned over his left side to accommodate the wings and the quiver, the right arm raised behind the head, holding a fruit with the right hand, with chubby cheeks and curly hair with typical central braid, 12¾in (32.5cm) long

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

#### Provenance:

American private collection, New York, acquired in the late 1980s.

For a similar depiction of a sleeping Eros, cf. C. Vermeule, Greek and Roman Sculpture in America, Berkeley, 1981, p.186 fig. 153.

59 \*

# A ROMAN MARBLE FRAGMENT OF A BACCHIC FIGURE CIRCA 1ST-2ND CENTURY A.D.

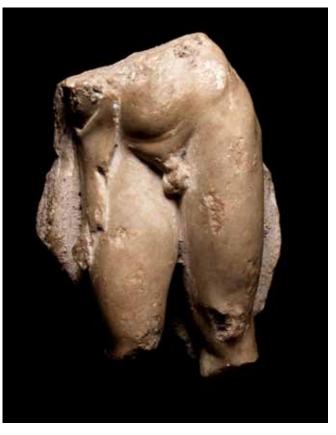
Either a satyr or Dionysus, composed of the lower torso and legs, with a nebris falling at the right side, carved in high relief with the back flat, 8in (20cm) high, mounted

£2,500 - 3,500 €3,000 - 4,200 US\$4,200 - 5,800

#### Provenance:

American private collection, Los Angeles, California, acquired from A.N. Abell auctions in 1979-80.

Californian private estate, 1970s.



## A ROMAN MARBLE PORTRAIT HEAD OF THE EMPEROR **HADRIAN**

## LATE HADRIANIC, CIRCA A.D. 130-138

Over lifesize, depicted with a short curly beard covering his cheeks and chin, a short moustache above his full lips, his eyes with drilled pupils and incised irises, gazing upwards to his right, his thick short curly hair framing his forehead, 151/2in (39.4cm) high, mounted

£40,000 - 60,000 €49,000 - 73,000 US\$67,000 - 100,000

#### Provenance:

Bonhams, London, The Geddes Collection, 15 October 2008, lot 68. Graham Geddes Collection, Victoria, Australia, formed from the 1960s-

Cesare Della Seta Collection, 1976.

#### Exhibited:

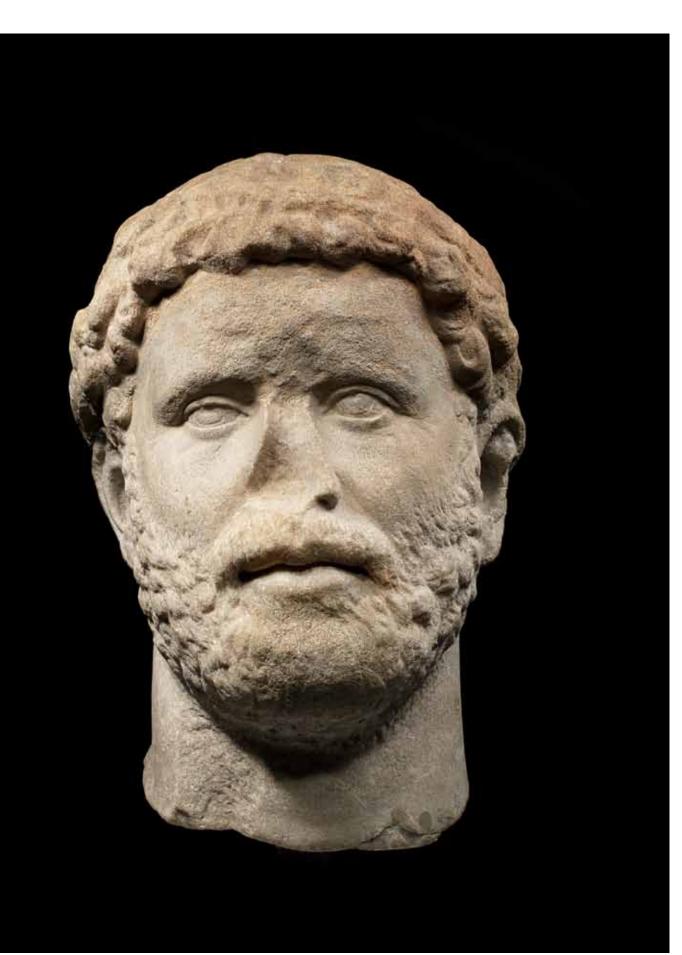
Museum of Mediterranean Antiquities, Monash University, Melbourne, Victoria, November 2005 - April 2008. University of Melbourne, Victoria, Australia, March 1988.

This head is likely to be a copy of the Armoured Bust Type Imperatori 32. Portraits on coins suggest that the type can be dated to the final third of Hadrian's reign.

Other examples of an armoured bust of Hadrian can be found in the Stanza degli Imperatori, Museo Capitolino, Rome, inv.no.443; also the Museo Torlonia, Rome, inv.no.545: K. Fittschen and P. Zanker, Katalog der Römische Porträts in den Capitolinischen Museen und den Anderen Kommunalen Sammlungen der Stadt Rom: Kaiser unde Prinzenbildnisse Bd. III, Mainz, 1985, p. 54ff., pls. 58-59, annex 35, a-d.

For a similar bust in the William Rockhill Nelson Gallery of Art, Kansas City, see Arachne, no.8171 and for more information on the type see M. Wegner, Das Römische Herrscherbild: Hadrian, Plotina, Marciana, Matidia, Sabina, Berlin, 1956, p. 20ff.

The style of the pupils and irises suggests that the eyes were reworked in the Severan Period.







# A ROMAN BRONZE FURNITURE LEG CIRCA 2ND CENTURY A.D.

In the form of a lion's leg resting on a tortoise, at the top a nude youth emerges from a lion's head with gaping mouth and abstract floral motifs, with both arms raised to support a now-missing surface, the top of the head pierced, 14in (35.5cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

# Provenance:

English private collection, acquired in the early 1990s.

62

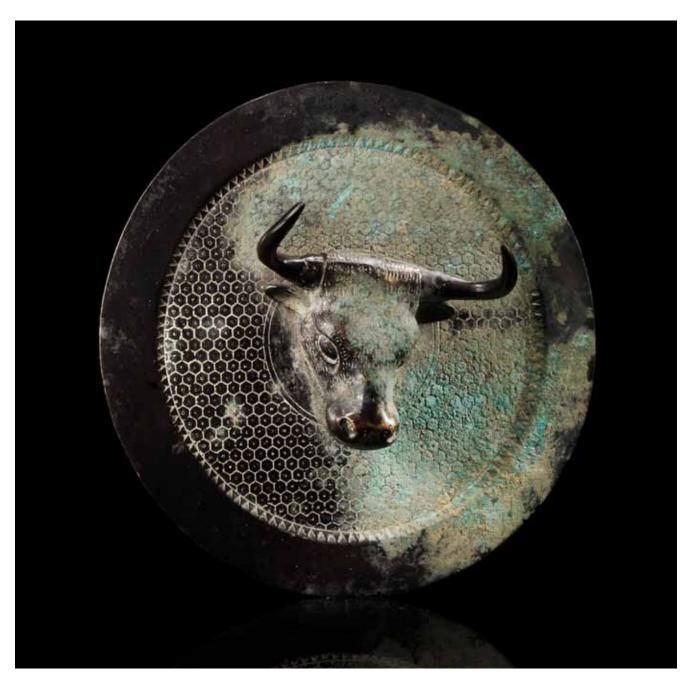
# A ROMAN BRONZE FOOTED PYXIS CIRCA 3RD CENTURY A.D.

Of square cross-section, set on four feet in the shape of lion's paws, each surmounted by a lion's head with protruding tongue, the four panels decorated with different bucolic scenes, a dancing satyr, a farmer emptying a basket of fruit in a large container, a shepherdess carrying a basket over her head and dragging a goat by the horns, and a peasant holding a pig trying to escape from a large jar, with four hinges at the corners of the rim styled as fish with upturned tail fins, 4in (10cm) high

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

# Provenance:

Swiss corporate collection, acquired in 1990.



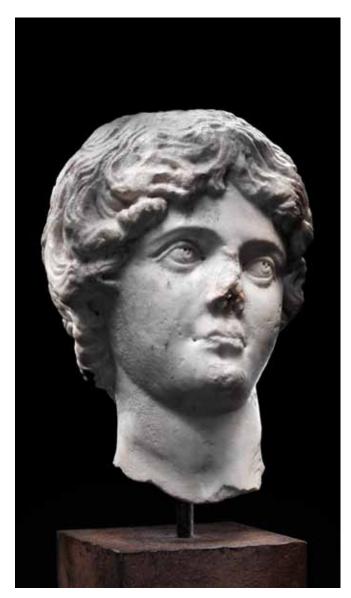
# A ROMAN BRONZE ROUNDEL WITH BULL'S HEAD **CIRCA 1ST-2ND CENTURY A.D.**

The hollow-cast bull's head emerging from the centre of the roundel, with large almond-shaped eyes and long curved horns, with incised details of the fur, the roundel with slightly raised flat rim decorated with a narrow band of triangles, the interior incised with hexagons and dots, a hook at the back for attachment, 51/4 in (13cm) diam

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

# Provenance:

UK private collection, acquired in the 1970s.





64 \* W

# A ROMAN MARBLE PORTRAIT HEAD OF A YOUTH **CIRCA LATE 2ND CENTURY A.D.**

Lifesized, the head set on a long slender neck, depicted with the chin raised, the eyes gazing upwards to the left, the irises articulated and the pupils drilled, the wavy hair falling in curls around the face and at the back of the neck, the back of the head flat with drill holes and the nose with the remains of an iron pin, presumably for insertion of later restoration, 113/4in (30cm) high, mounted

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

#### Provenance:

American private collection, Los Angeles, California, acquired in 2011. Tom Morgan Collection, California.

Robert Adler Collection, California, acquired between 1956-60.

65

# A ROMAN MARBLE PORTRAIT HEAD OF A WOMAN SEVERAN, CIRCA A.D. 200

Probably Fulvia Plautilla, the wife of the Emperor Caracalla, depicted gazing upwards to the right, her pupils articulated, her hair dressed in the 'melon' style and caught in a flat braided bun on the back of her head, 11in (28cm) high, mounted

£3.000 - 4.000 €3,600 - 4,900 US\$5,000 - 6,700

#### Provenance:

English private collection, Wiltshire, since the 1960s.

#### Literature:

For similar portrait heads of a young girl with the same hairstyle, both identified as Plautilla, cf. G.A. Mansuelli, Galleria degli Uffizi. Le Sculpture, parte II, Rome, 1961, figs. 141 a, b.

# A ROMAN MARBLE PORTRAIT BUST OF A YOUTH

# **ANTONINE PERIOD, CIRCA A.D. 140-150**

Over life-sized, depicted with his head turned to the right, wearing a tunic with a cloak around his shoulders, his thick and curling hair falling over his forehead, temples and nape of the neck, covering his ears, with large eyes and slim lips, 271/2in (70cm) high; 351/2in (90.1cm) high including the socle

£20,000 - 30,000 €24,000 - 36,000 US\$33,000 - 50,000

#### Provenance:

Sotheby's, New York, 07 June 2012, lot 48. English private collection, early 20th Century.

# Literature:

The styling and drill-work of the hair suggest an Antonine date. For a similar Antonine bust of a youth (British Museum inv. 1805,0703.109 / sculpture 1940), see K. Fittschen, *Prinzenbildnisse* Antonischer Zeit, 1999, p.82, no.21, pl.139a-d. For a comparable heroic bust of a man, from Greece, in the White-Levy collection, New York, see M.L. Anderson in D. von Bothmer (ed.), Glories of the Past: Ancient Art from the Shelby White and Leon Levy Collection, New York, 1990, p.214-215, no.155.



# A ROMAN MARBLE PORTRAIT HEAD OF THE EMPEROR SEPTIMIUS SEVERUS **CIRCA A.D. 194**

Slightly over-lifesized, depicted with his head turned to his right, his thick curling hair and beard with drilled detail, the beard characteristically full and long with ringlets at the chin and a thick moustache at the upper lip, his eyebrows incised above large eyes with articulated pupils gazing upward, the strong neck designed to be set into a composite statue, 161/4in (41.3cm) high, mounted

£120,000 - 150,000 €150,000 - 180,000 US\$200,000 - 250,000

#### Provenance:

American private collection, California. Christie's New York, 11 December 2003, lot 232. European private collection, acquired in the 1980s.

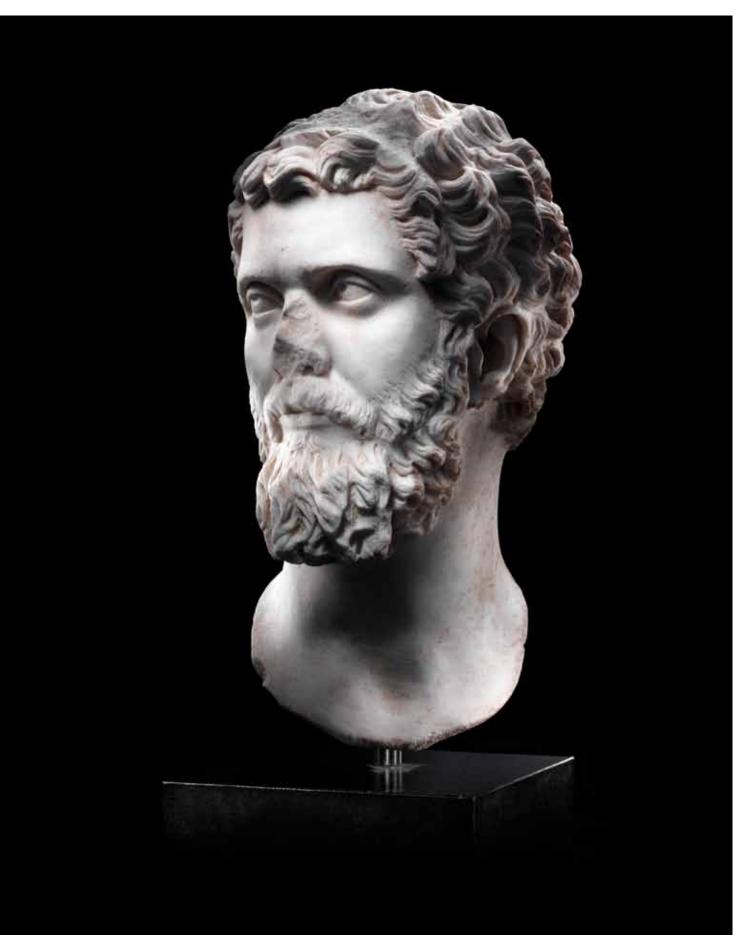
## Literature:

Lucius Septimius Severus (reigned A.D. 193-211) was born in Leptis Magna, North Africa (present-day Libya), and rose to prominence under the emperors Marcus Aurelius and Commodus. After the assassination of the emperor Commodus in A.D. 192, there was a power struggle for the Imperial purple. Severus was proclaimed emperor by his legions in A.D. 193 and eventually secured full control of the Empire.

Severus and his second wife Julia Domna (who was herself born outside Rome, in the Roman province of Syria) were proponents of eastern cults, and had an interest in philosophy, astrology and mysticism. He showed great loyalty to the land of his birth and he not only carried-out large scale renovations to his home-town of Leptis Magna but also founded new Roman colonies in North Africa.

According to Diana Kleiner, in order to strengthen his dynastic ambitions, Severus modelled his portraiture style on that of the Antonines. In A.D. 196 he had himself retroactively adopted into the Antonine family and also divinized Commodus: D. Kleiner, Roman Sculpture, New Haven & London, 1992, p. 318.

Septimius Severus' portrait types have been divided by scholars into four main groups. This lot can be identified as an example of the earliest and rarest type, the 'Accession type' (Fittschen's Type I/McCann's Type II), produced between A.D. 193 and 196 during his struggle for the empire. For discussion of the type and the emphasising of Antonine features in such portraits see K. Fittschen, Katalog der römischen Porträts in den Capitolischen Museen und den anderen kommunalen Sammlungen der Stadt Rom, Mainz, 1985, vol. I, pp.94-95, no.82 and chapter 4 in A.M. McCann, 'The Portraits of Septimius (A.D. 193-211)', Memoirs of the American Academy in Rome, 1968.







## A ROMAN MARBLE VOTIVE PLAQUE CIRCA 2ND-3RD CENTURY A.D.

Of rectangular form, inscribed in Greek to, 'the august Syrian goddess, Aphrodite also of Synodokuchina, Pamphilus, and Pamphilus and Agathonymus his sons, by the agency of Philaenis, dedicated this dining-room from their own resources', 14in (36cm) long

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

# Provenance:

French private collection, formed during the 19th century, thence by descent.

# Literature:

The 'Syrian Goddess' referred to in the plaque was the Roman name for Atargatis, the Syrian mother-goddess also known as Aphrodite Derceto, whose cult spread widely throughout the ancient world. The 'dining-room' room also referred to here means in its most basic sense a room with three couches, but would have been used for ritualistic purposes.

# A MARBLE HEAD OF A LION **POSSIBLY ROMAN**

The feline head shown emerging from acanthus leaves, the narrow face carved with furrowed brow, the mouth agape and pierced, possibly for a fountain spout, the face framed by a thick wavy mane, 131/2in (34.5cm) high

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

English private collection, acquired before 1970 in an antiques shop in Otford, Kent.



# A ROMAN MARBLE LIDDED CINERARY URN **CIRCA 1ST CENTURY A.D.**

Square in form with leafy gabled lid and lotus flower acroteria, the front rectangular panel inscribed with 'MEMNO' framed by four birds carrying a bountiful garland of fruit, the frontal pediment depicting two birds feeding, the sides carved with a symmetrical design of flowers and leaves, 13in (33cm) high

£20,000 - 30,000 €24,000 - 36,000 US\$33,000 - 50,000

# Provenance:

Fearon Collection, Ockenden House, Cuckfield, Sussex, acquired during the mid-1800s when Mr J. Fearon took occupation of the house, thence by descent.

Burrell Collection, Ockenden House, Cuckfield, Sussex, acquired during the early 1700s, thence by descent.

The urn was purportedly found on 05 April 1703 at Highbridge Hill in Cuckfield, Sussex, surrounded by Samian ware pottery, which is noted in Timothy Burrell's journal, see Sussex Archaeological Collections, vol. 3, p. 174. For information on the Fearon family's occupation of Ockenden House, see Sussex Archaeological Collections, vol. 3, p. 120. This lot is accompanied by a copy of Burrell's journal entry along with notes made during the latter half of the 20th Century by J.R. Fearon.

This urn was found and maintained with cremated remains inside. These remains are due to be excavated, thoroughly recorded and deposited with Barbican House Museum of Sussex Archaeology in Lewes (part of the Sussex Archaeological Society). For further information please contact the Antiquities Department.

# Literature:

For similarly decorated urns dated to the Claudian period, cf. F. Sinn, Stadtrömische Marmorurnen, Mainz, 1987, pp. 26-27 and 105, plate 19, nos. 54 and 55.

# A ROMAN MARBLE MOSAIC PANEL **CIRCA 4TH-5TH CENTURY A.D.**

On a cream background, depicting a harvest scene, with two wicker baskets filled with fruit amongst a motif of scrolling grape vine, with leaves and clusters hanging from the plant, 673/4in x 28in (172cm x 71cm), mounted

£20,000 - 30,000 €24,000 - 36,000 U\$\$33,000 - 50,000

## Provenance:

Christie's, New York, 9 December 2008, lot 182. French private collection, Paris, acquired in 1985.

## Literature:

For a Graeco-Roman mosaic panel with a similar decorative motif with scrolling grape vines in the Church of Nativity in Bethlehem, cf. M.G. Picard and M.H. Stern, La Mosaïque Gréco-Romaine, Paris, 1965, p.359, pl.10.







# A LATE ROMAN MARBLE MOSAIC PANEL **CIRCA 4TH-5TH CENTURY A.D.**

Depicting a zebra moving to the right, its left foreleg raised, the body cream in colour with brown striped details, floral motifs in the field and clumps of grass in the background, 60in x 621/2in (152.5cm x 159cm), mounted

£5,000 - 7,000 €6,100 - 8,500 US\$8,300 - 12,000

# Provenance:

French private collection, acquired prior to 1975.

#### 73 W

# A ROMAN MARBLE FLORAL MOSAIC PANEL **CIRCA 4TH-5TH CENTURY A.D.**

Composed of white, pink, grey and cream tesserae, arranged in a repeat pattern of five vertical lines of flowers, interspersed with lozenges, 48in (122cm) x 29in (73.5cm), mounted in a frame

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

Leon Simard Collection, Montreal, formed between 1950s-1980s.



73

# 74 W

# A ROMAN MARBLE GEOMETRIC MOSAIC PANEL **CIRCA 3RD-5TH CENTURY A.D.**

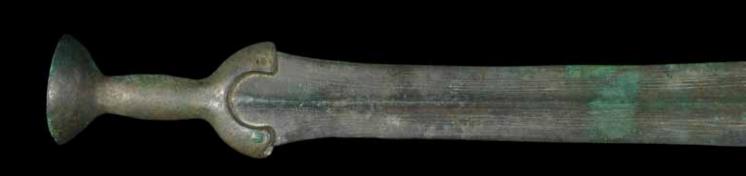
Composed of pink, cream, yellow, brown and grey tesserae arranged in a lattice of square and lozenge motifs, 371/4in (94.5cm) x 33in (84cm), mounted in a frame

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

# Provenance:

Leon Simard Collection, Montreal, formed between 1950s-1980s.





# BYZANTINE, EUROPEAN AND JEWELLERY

Lots 75 - 84







#### 75 \*

# TWO BYZANTINE BRONZE LAMPS CIRCA 6TH-7TH CENTURY A.D.

A double-nozzle lamp, the loop handle with leaf-shaped thumbrest, the sides inscribed with lines of dotted Greek lettering, 4½in (11.5cm); and a lamp with cross-shaped thumb-piece, 4¾in (12cm) long (2)

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

# Provenance:

American private collection, acquired in the late 1980s.

76

# A BYZANTINE MARBLE CAPITAL CIRCA 7TH-10TH CENTURY A.D.

The front carved in relief with a cross within a roundel with foliate border, the remaining three sides ornamented with pairs of opposed scrolling acanthus leaves, cut-out and re-used as a font, 7in (17.8cm) high; 10¾in (27.3cm) wide

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

## Provenance:

American private collection, acquired in the late 1980s.



# A BYZANTINE MARBLE DEDICATORY MOSAIC PANEL SYRIA, CIRCA 5TH-6TH CENTURY A.D.

In the form of a tabula ansata with red, brown, grey, white and cream marble tesserae, inscribed with five lines of Greek text:

KĒMNHCΘHTIIKUIBOCAΛΛΕΟ ΥΚΙΚωΒΟΥΙΤΟΟΥΚωΑΝΔΑΡ ωNACKAZΕΙΟΥCΓΙΑΟΥΚωΑ ΥΟCΚΒΑCCΟΥΕΥΓΕΝΙΟΥΚω ΑΚΑΒΕUΝ ωΑΝΝΟΥΑΦΑΛΕΟΥ

translated as: 'Lord be mindful of Jakob, son of Aleos/Aleus, and Jakobos, son of Itoos/Itheos(?) from the village of And(a)rôna, and Azizos, son of Syrianos(?), from the village of Auos, and Bassos, son of Eugenios, from the village of Akabeôn (and) Johannes, son of Afales', the text composed of dark coloured tesserae on a pale ground, 631/2in (161cm) long, mounted

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

## Provenance:

American private collection, California, acquired in 1986, thence by descent.

### Literature:

These types of dedicatory mosaics were made throughout the Christian Middle East and have been found at sites in Israel, Syria, Lebanon and Jordan. There is a mosaic from Gerasa with a similar composition, now in the Yale University Art Gallery, inv. 1929.419.

Three place names can be identified on this mosaic, of which Androna, modern el-Andarin, is the best known. It is located close to several other ancient cities including Epiphaneia (modern Hama), Apamea and Chalcis. Androna is also mentioned on another mosaic: H. Salame-Sarkis, 'Syria grammata kai agalmata', Syria, volume 61, 1989, pp. 322-25.



# A EUROPEAN BRONZE SWORD LATE BRONZE AGE - EARLY IRON AGE, CIRCA 8TH CENTURY B.C.

The long leaf-shaped blade with raised mid-rib, decorated with a band of four incised lines following the outline of the blade, attached with two rivets to the hilt with Y-shaped hand guard and convex pommel shaped like a round saucer, 271/4in (69.5cm) long

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

## Provenance:

Professor G.R. Coope Collection, England, acquired in 2000.

## Literature:

This sword belongs to the Moringen type, a relatively common shape in Continental Europe, associated with burial sites of the Urnfield culture. It is common in this class of weapons to have blade and hilt cast separately in a different alloy. For an in-depth analysis of the site of Moringen, cf. F. Keller, The Lake Dwellings of Switzerland and Other Parts of Europe, 2012, p.276, pl. XL.

#### A EUROPEAN GOLD BRACELET PROBABLY BRONZE AGE, CIRCA 1200-1000 B.C.

Of penannular form and oval cross-section, tapering towards the cutoff ends, 23/4in (7cm) diam, 85g weight

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

### Provenance:

UK private collection, found by the present owner's great-greatgrandfather in Portugal in about 1850, thence by descent.



79



#### A PAIR OF ACHAEMENID SILVER BRACELETS IRAN, CIRCA 5TH CENTURY B.C.

Of kidney-shaped penannular form, with ram head terminals, ears drawn back, wearing incised decorative collars, 3in (7.7cm) diam; 96g weight (2)

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

### Provenance:

UK private collection, London, acquired in the 1970s-80s.





### A GROUP OF GREEK GOLD JEWELLERY CIRCA 5TH-4TH CENTURY B.C.

Including a gold sheet rectangular plaque, plain with repoussé bosses along the edges, 83/4in x 23/4in (22cm x 7cm); two pendants in the shape of rosettes, the penannular centre ring decorated with granulation, each with thirteen tear-shaped petals with bitumen core, with two small suspension loops on one petal, 1% in (4.5cm) diam; and a large round pendant, with repoussé decoration, with a raised omphalos in the centre surrounded by a band of bosses and circles in relief, with lines of punched dots, 4in (10cm) diam, in a presentation box (4)

£5,000 - 7,000 €6,100 - 8,500 US\$8,300 - 12,000

### Provenance:

UK private collection formed in the 1940s and 1950s.



## A COLLECTION OF ANCIENT GOLD JEWELLERY CIRCA LATE 2ND MILLENNIUM B.C. - 3RD CENTURY A.D.

Including a large Western Asiatic leech-shaped earring with tapered ends, a pair of Western Asiatic crescent-shaped earrings with incised triangles, circa late 2nd Millennium B.C.; four East Greek rosettes, one with four petals decorated with round pendants and a central garnet, a pair of plain eight-petalled rosettes, and an eight-petalled rosettes with gold wire along the edges, circa 6th-5th Century B.C.; a Parthian pendant with hollow sphere with garnets and terminal with cluster of spheres and granulation, a pair of earrings with tear-shaped garnets, hinged hoop and granulation, two earrings with a cluster of hollow pomegranates, a pair of earrings with wire hoops and pendants composed of two intersecting discs, and a pair of earrings with wide hoop and pendant in the shape of a cylinder inserted in a octagon, circa 1st-3rd Century A.D.; a pair of Sasanian gold vessel-shaped earrings, with scrolled handles and pendant elements hanging from the body, circa 6th-7th Century A.D.; and three other pendant and earrings, Not Ancient, 19mm-60mm long, in a presentation box (21)

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

### Provenance:

UK private collection, formed in the 1940s and 1950s.



## A ROMAN SARDONYX CAMEO OF A GROTESQUE CIRCA 1ST CENTURY A.D.

Carved in two layer, a mottled orange and white on banded dark grey background, depicting a profile head of a bald man with grotesque features including a pointed nose and chin, a frowning brow and a distended skull, 1in (2.6cm) long

£15,000 - 25,000 €18,000 - 30,000 US\$25,000 - 42,000

#### Provenance:

UK collection, acquired in the 1980s.

### Literature:

For similar examples of grotesque cameos see M. Henig, The Content Cameos, p.86, nos.153, 154 and 155 with illustrations.



## A LARGE ROMAN ONYX CAMEO OF ATHENA CIRCA 1ST CENTURY A.D.

The gem carved in high relief in two layers, white on a bluish-grey background, the goddess depicted in profile to the left, wearing her high crested helmet, her hair falling at her neck, the details of her face, crest and hair finely-carved, 1½in (3.8cm) long

£20,000 - 30,000 €24,000 - 36,000 US\$33,000 - 50,000

#### Provenance:

UK collection, acquired in the 1980s.

#### Literature:

For another example of a cameo of Athena see M. Henig, *The Content* Cameos, p.72, no.130, with others also on pp.73-74.



# **ANCIENT NEAR** EASTERN Lots 85 - 107



#### A BACTRIAN COMPOSITE STONE SEATED FEMALE FIGURE CIRCA LATE 3RD - EARLY 2ND MILLENNIUM B.C.

The chlorite body wearing a cloak decorated with a fine pattern of ribbons with incised lines, the separately made white stone head set into the recess on its neck, with long nose and small incised mouth, the head and body possibly not belonging, 23/4in (7cm) high, mounted

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

UK private collection, acquired from Gallery Rosen, Tel-Aviv, Israel, in 1968.



86 \*

#### A MESOPOTAMIAN GYPSUM HEAD OF A MAN EARLY DYNASTIC, CIRCA 2500-2000 B.C.

Probably a worshipper, the oval face with a bald pate, prominent nose, and large ears, the almond-shaped eyes inlaid with black stone lids, the left eye retaining the white stone eye with recessed pupil, once for further inlay, the curving sunken brows joining in the middle, once similarly inlaid, 3¾in (9.5cm) high, mounted

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

#### Provenance:

Mrs H. Collection, Germany, acquired in the late 1960s. With Elie Borowski, Basel, Switzerland before 1965.

#### Literature:

For a similar head from a statuette of a man, cf. A. Moortgat, The Art of Ancient Mesopotamia, London, 1969, fig. 80.





87

### A SMALL ELAMITE BRONZE FIGURE OF A SACRIFICING MAN IRAN, CIRCA LATE 3RD MILLENNIUM B.C.

The stylised draped figure with rimmed eyes and wide smile, the ears and nose oversized with the hair incised at the back, the bent arms cradle a goat for sacrifice, 2in (5cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK private collection, London, acquired in the 1970s-80s.

88

88

#### AN ELAMITE BRONZE FIGURE IRAN, CIRCA 2ND MILLENNIUM B.C.

A stylised standing idol wearing a long tunic with a folded V-neck, the arms raised above the shoulders in supplication, the hands forming fists, 6in (15cm) high, mounted

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

UK private collection, acquired during the 1970s and 1980s.



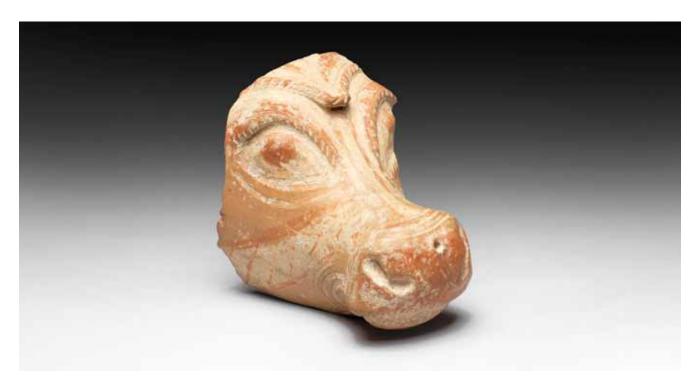
### A BACTRIAN BRONZE AXE HEAD CIRCA 2ND MILLENNIUM B.C.

With curved flaring cutting edge, cast with a jumping horse across the top of the blade, the head projecting from the shaft, with incised details of the mane and eyes, the shaft hole pierced on both sides, 5½in (14cm) long

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

Christie's, New York, 12 June 2002, lot 311. UK private collection, acquired in the mid-1970s.





#### 90 3

## A HITTITE POTTERY BULL'S HEAD RHYTON FRAGMENT LATE 2ND MILLENNIUM B.C.

The head modelled with pierced rounded muzzle with recessed nostrils, the teeth bared beneath, the slanted almond-shaped eyes with rims with incised notched detail, 5in (13cm) long

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

American private collection, Midwest, acquired in 1984.

#### Exhibited:

Loaned to the Cleveland Museum of Art in 1984: labelled no. TR16850/2.

91

## AN AMLASH TERRACOTTA STEATOPYGOUS FEMALE FIGURE IRAN, CIRCA EARLY 1ST MILLENNIUM B.C.

With broad hips and short tapering legs, the stubby arms incised with indicated fingers, the long neck with globular head and a high headdress, the breasts and navel in relief, 11in (27.9cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK private collection, acquired in April 1988. Accompanied by a thermoluminescence report from Oxford Authentication.



92 \*

#### AN ANCIENT NEAR EASTERN TERRACOTTA RHYTON CIRCA MID-1ST MILLENNIUM B.C.

Moulded in the form of an ox with long pointy ears and small round eyes, the horns now missing, with a small spout in place of the mouth, the bowl with wide rim and slightly ribbed globular body, 81/2 in (22cm) long

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

American private collection, California. Given as a gift to Mr Ted Y. Johnston while working in the Hazarajat area, Afghanistan, between 1951 and 1958, thence by descent.



93 \*

#### A CANAANITE BRONZE SEATED GOD LATE BRONZE AGE, CIRCA 14TH-10TH CENTURY B.C.

Solid cast, depicted with his left fist clenched above his knee, once to hold a sceptre, his right hand open with the palm up, possibly originally to hold a bowl, wearing a long robe with a v-neck collar and a ridged fold down the legs, wearing a tall tapering crown, the face with a prominent nose and large ears, the eyes recessed, 7% in (19.8cm) high, mounted

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

#### Provenance:

Elie Borowski Collection, Basel, Switzerland, acquired in about 1955.

#### Literature:

For discussion of this type of enthroned deity, cf. O. Negbi, Canaanite Gods in Metal, Tel Aviv, 1976, pp. 46-53.



#### A PHOENICIAN BRONZE CHALCOPHONE **CIRCA 8TH-7TH CENTURY B.C.**

The musical instrument composed of two vertical bars with spiralled terminals as resonators, with eleven bronze springs coiled around connecting pins, 61/4in (16cm) high, mounted

£12,000 - 15,000 €15,000 - 18,000 US\$20,000 - 25,000

#### Provenance:

English private collection, formed in the 1960s.

#### Literature:

These instruments appear in both Phoenician and South Italian contexts during the Iron Age and are largely associated with burials and funerary practices, particularly female grave sites, cf. C. Colelli and A. Fera, 'Bronze Calcophones in Southern Italy' in L. Bombardieri (ed.) Soma 2012: Identity and Connectivity, Oxford, vol. II, pp. 823-833.

A number of similar instruments were also found during excavations at Ur, which are thought to have been played like a multi-toned cymbal, cf. E. Wellesz (ed.), Ancient and Oriental Music, Oxford, 1999, pp. 239-242.





#### A PERSIAN BRONZE MOUSE KOHL VESSEL IRAN, CIRCA 1ST MILLENNIUM B.C.

The mouse of rounded form with large ears, the elongated vessel protruding from its back with funnel shaped rim, 7in (18cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK private collection, London, acquired in the 1970s-80s.

### A PERSIAN BRONZE LION KOHL VESSEL IRAN, CIRCA 1ST MILLENNIUM B.C.

The stylised lion in recumbent pose with incised features and curled tail, the head turning round to the front, the tapered vessel emerging from the back with funnel shaped rim, 3% in (9.5cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK private collection, London, acquired in the 1970s-80s.





## AN AMLASH BRONZE FEMALE PENDANT IRAN, EARLY 1ST MILLENNIUM B.C.

Standing female in frontal pose with loop attachment behind, her hair styled in two characteristic curls above the temples, her arms held out beside her body with open palms, her ankle-length drapery folds over to form deep V-neck and cuffs, 3½in (8.8cm) high mounted

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

UK private collection, acquired during the 1970s and 1980s.

#### Literature:

For a similar example, cf. P.R.S. Moorey, *Ancient Persian Bronzes*, 1974, p. 163, fig. 144.

98

98

## A LURISTAN BRONZE OPENWORK FEMALE IDOL IRAN, CIRCA EARLY 1ST MILLENNIUM B.C.

The abstract figure with long neck, prominent nose and large round eyes, wearing a short dress in intricate openwork and a studded belt, depicted holding a stylised child in her arms, with hoops at the ears holding large earrings and at the shoulders holding two small vessel pendants, another suspension loop behind the head, 31/2in (9cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

English private collection, acquired in the 1980s.

#### 99 \* W

#### A MONUMENTAL NEO-ASSYRIAN BLACK BASALT ROYAL STELE OF ADAD-NERARI III OF ASSYRIA CIRCA 805-797 B.C.

Comprising the lower two-thirds of the stele of rectangular crosssection, the front carved in high relief with a standing figure of the king in prayer, depicted in profile from the waist down, shown wearing a long fringed robe, with bare feet, holding a staff before him, the neat regular cuneiform text inscribed across the body of the king is preserved with the beginnings of lines 9-10 and lines 11-20 in their entirety, each line separated by horizontal rulings, with several lines continuing onto the raised border, the text translating:

'....in the city of Arwad in the midst of the sea. I ascended Mount Lebanon. I cut strong logs of cedar. At that time, I placed those cedars from Mount Lebanon in the gate of the temple of the god Salmanu, my lord. The old temple, which Salmanu-asared (Shalmaneser I), my ancestor, had built, had become dilapidated and I, in a stroke of inspiration, built his temple from its foundations to its parapets. I placed the cedar roof beams from Mount Lebanon on top. When this temple becomes old and dilapidated may a future prince renovate its dilapidated parts and return the inscription to its place.'

With a further 25 lines of cuneiform text using highly literary language inscribed on the side of the stele and dedicated to Nergal-eres, the governor of the Assyrian province of Rasappa; each line separated by irregularly applied rulings, less deeply and evenly arranged than the principal inscription, with at least one line missing from the beginning of the text, the dedication translating:

'......who resides in Dur-Katlimmu, the holy shrine, his beloved abode, the great lord, his lord. Negal-eres, governor of the country of Rasappa, the city of Nemed-Issar and the city of Apku, had a golden sword made and made and presented an image of Adad-nerari III, king of Assyria, his lord, to the god Salmanu, his lord, who protects the throne of his priesthood, to give into his hands the sceptre that shepherds the people, for the well-being of his seed, the well-being of the people of Assyria and the well-being of Assyria, to scatter his adversaries, to destroy his fierce foes, to subdue his enemy princes. Whoever discards this image from the presence of Salmanu puts it into another place, whether he throws it into water or covers it with earth or brings and places it into a taboo house where it is inaccessible, may the god Salmanu, the great lord, overthrow his sovereignty; may his name and his seed disappear in the land; may he live in a contingent together with the slave women of his land', 54in (137.5cm) high; 291/2in (75cm) wide; 101/2in (27cm) deep

£600.000 - 800.000 €730,000 - 970,000 US\$1,000,000 - 1,300,000

#### Provenance:

Private collection, Geneva, Switzerland, given as a gift from father to son in the 1960s.

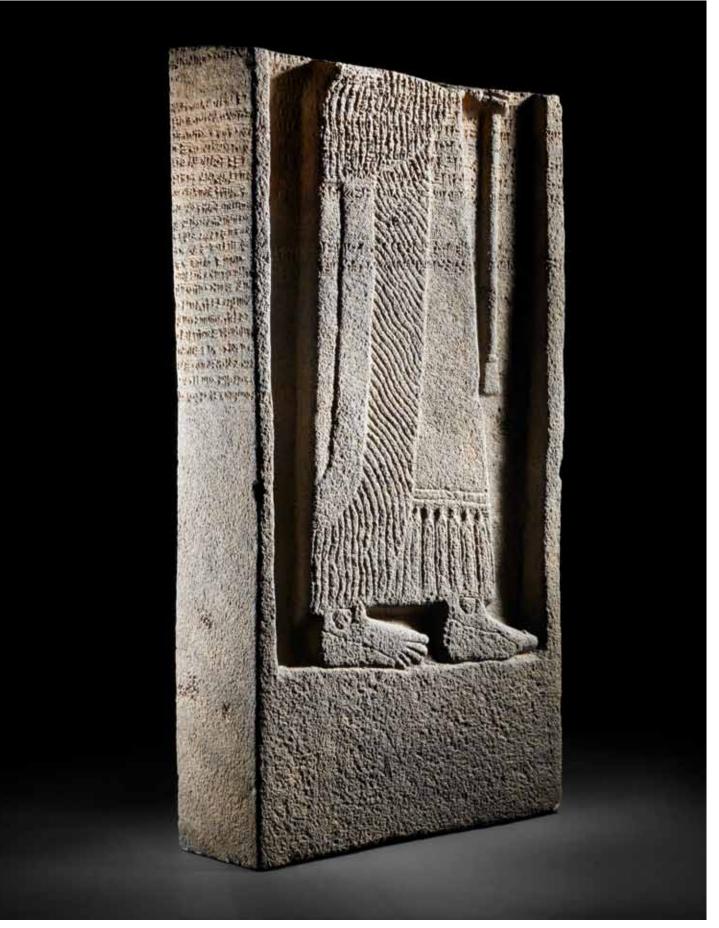
The top section of this stele fragment, now in the British Museum, was discovered in May 1879 by a close friend of Sir Austen Henry Layard, the renowned archaeologist Hormuzd Rassam (1826-1910), following reports of its existence from different Arab travellers. The round-topped section was found to have been hurled down the mound by Arabs as this effigy was considered idolatrous and the site itself was sacred to the spirit of Sheikh Hamad, to whom various cures of ailments and afflictions had been attributed. Rassam believed the remainder of the stele was buried at the top of the mound, see H. Rassam, Asshur and the Land of Nimrod, being an account of the discoveries made in the ancient ruins of Nineveh, Asshur, Sepharvaim, Calah, etc, Cininnati & New York, 1897, p.312. The top of the stele was removed with some difficulty to the coast and eventually arrived at the British Museum where it entered the Museums' collections in 1881 (Inv. No. BM 131124; 1881,0721.1).

Rassam had dug some test trenches at Tell Sheikh Hamad (ancient Dur-Katlimmu) but was unable to return to the site and continue his excavations after failing to receive the necessary permit. It was in 1978 that Hartmut Kühne directed the German excavations in Tell Sheikh Hamad but he found no evidence of the lower half the stele that Rassam had believed to be at the top of the mound. So it seems this lower stele section, forming the larger part of the monument must have been removed prior to this date and likely prior to 1975 when Kühne began surveying the site.

#### Literature:

This royal stele fragment is an exciting rediscovery of the missing lower half of the round-topped stele fragment found by Rassam. It was previously incorrectly identified as being inscribed for Shamshi-Adad V, the father of Adad-nerari III and has only recently been identified by Professor Karen Radner of University College London as the missing lower fragment of the stele in the British Museum. See K. Radner, 'The Stele of Adad-nerari III and Nergal-eres from Dur-Katlimmu (Tell Saih Hamad)' in Altorientalische Forschungen, Vol.39, Berlin, 2012, pp.265-277.

The re-identification of this stele fragment now known to form a single monument, sheds new light on Dur-Katlimmu (modern Tell Sheikh Hamad, Syria). Both of the inscriptions identify Dur-Katlimmu as the seat of the god Salmanu, confirming what has already been discovered in archival texts found at Tell Sheikh Hamad. Both texts are concerned with the reconstruction and renovation of his temple at Dur-Katlimmu. from cedar beams gathered from Mount Lebanon. Both texts carry exhortations for the future, the principal inscription on the front exhorts future rulers to care for the temple, while the text on the side explicitly curses anyone who should dare to remove the stele from the temple, punishing such an act by making 'his name and his seed disappear in the land', thus making his lineage extinct. Nergal-eres presented this specific stele to the temple along with a namsaru sword, which is no ordinary sword but a weapon fit for a god. He wished to bring Salmanu's blessings on his king and his realm, showing Nergal-eres as a loyal supporter of his ruler.







This stele section is in better condition than the top section of the stele and also preserves its original width and thickness, the latter having been cut-down to enable its more easy removal. By placing both fragments together it has been possible to assess that its original height was 83½in (212cm).

There is another stele dedicated to both Adad-nerari III and Nergal-eres, though the Dur-Katlimmu stele is the larger of the two and it is known as the Tell al-Rimah stele, cf. J. Börker-Klähn, Altvorderasiatische Bildstelen und vergleichbare Felsreliefs, BagM 4, Mainz, 1982, p.196, no.164. There is another stele of Adad-nerari III and Nergal-eres from Jebel Sinjar but it looks rather different from the aforementioned examples as it has been executed in a square shape and may have served as an architectural element rather than as a free-standing monument. See Börker-Klähn, op.cit, 1982, p.196, no.163 and F. Blocher, Assyrische Würdenträger und Gouverneure im 9. um 8. Jh Eine Neubewertung ihrer Rolle, AoF 28, pp.298-324 for photographs.

The first ten lines of the inscription which largely cover the front of the round-topped section of the stele translates:

'Adad-nerari (III), strong king, king of the universe, king of Assyria, son of Samsi-Adad (V), king of the universe, king of Assyria, son of Salmanu-asared (Shalmaneser III), king of the four quarters. I mustered my chariotry, troops and armed forces and gave the order to march to the land of Hatti. I crossed the Euphrates in flood. I went down to the city of Paqirahubuna. Atttar-sumki, son of Abi-rame, together with eight kings of Hatti, who had rebelled and trusted in their strength - the awesome radiance of the god Assur, my lord, overwhelmed them. In just one year, I subdued the land of Hatti to its full extent. Towards the sea of the west I marched. I erected my lordly image....

The whole monument was erected to commemorate a successful military campaign of 805 B.C against an alliance of western rulers under the leadership of Attar-sumki of Arpad, a campaign known about from other sources. What makes this stele most interesting is the mention of a visit to Mount Lebanon to collect cedar logs to renovate the temple of Salmanu, the god of Dur-Katlimmu. The inscription says it was a shrine built by an ancestor of Adad-nerari, Salmanu-asared (Shalmaneser I), the inscription confirming what has already been suggested by other sources.

The text on the side of the stele, has been written by a different hand and in a more poetic and literary style than that on the front of the monument and according to Radner, is reminiscent of the literary style found in the inscription of Samsi-ilu, the Field-Marshall of Adad-nerari III, see S. Dalley, Shamshi-ilu, Language and Power in the Western Assyrian Empire, in, G. Bunnens (ed.), Essays on Syria in the Iron Age, ANES, Suppl.7, Louvain, 2000, pp.79-88.

Some passages of the text appear to intentionally erase the name and titles of Nergal-eres but it is unclear as to when and why this happened. The same appears to have happened with the Tell al-Rimah stele and Radner argues in her paper K. Radner, op.cit, p.276, that there may have been specific reasons in the localities the defacements took place, as the erasure of his name and titles does not appear to have happened universally. She argues that perhaps it was not due to his personal downfall but was the result of divisions in the province of Rasappa after which it would not have been deemed appropriate 'to advertise that the city and its temple had formerly been controlled by a governor of Rasappa', Radner, ibid, p.276.







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## AN ACHAEMENID SILVER PHIALE IRAN, CIRCA 5TH-4TH CENTURY B.C.

The central omphalos surrounded by ten petals alternating with ten tear-shaped lobes of a lotus flower, 81/4 in (21cm) diam

£7,000 - 9,000 €8,500 - 11,000 US\$12,000 - 15,000

#### Provenance:

UK collection, acquired in 1989 on the London art market.

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## A PRE-ACHAEMENID BRONZE PHIALE MESOMPHALOS IRAN, CIRCA 8TH-6TH CENTURY B.C.

The thin-walled bowl with central omphalos, finely decorated in repoussé over two registers, the lower one with tear-shaped lobes and a tight meander, the upper one with alternating triangles and tear-shaped lobes, on the interior small fan-shaped palmettes incised between each curve of the lower band, 7½in (19cm) diam

£1,200 - 1,500 €1,500 - 1,800 US\$2,000 - 2,500

#### Provenance:

UK private collection, acquired between the 1970s-80s.

#### Literature:

For a similar phiale, cf. P. Wilson, *Art of Ancient Iran*, London, 1997, p.246.

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#### A SOUTH ARABIAN BRONZE VOTIVE PLAQUE **CIRCA 2ND-1ST CENTURY B.C.**

Of rectangular form, with a raised flat border on all four sides, a row of dentils above, inscribed in raised relief with eight lines of Qatabanian script, with a dedication to the deity Hawkam, with piercings and rivets for attachment, 241/2in (62cm) x 91/2in (24cm)

£2,500 - 3,500 €3,000 - 4,200 US\$4,200 - 5,800

#### Provenance:

Swiss private collection, acquired in the late 1960s.

103 \*

#### A SOUTH ARABIAN ALABASTER STATUE BASE FRAGMENT WITH IBEX HEADS **CIRCA 4TH-3RD CENTURY B.C.**

Decorated on two sides with a frieze composed of stylised ibex heads with elongated snouts and bulbous eyes, a hole in the top presumably for insertion of a statue, 101/4in (26cm) x 93/4in (25cm)

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

Christie's, South Kensington, 25 October 2007, lot 256. With Gimpel Fils Ltd, London, acquired by Peter Gimpel in the 1960s-1970s.

#### Literature:

The ibex was a symbol of fertility and can be found on the friezes of sacred buildings, often accompanying various Arabian deities. A similar example is on an altar in Vienna, Kunsthistorisches Museum, Ägyptisch-Orientalische Sammlung, inv. no. Sem 123: W. Seipel-Jemen, Kunst und Archäologie im Land der Königin von Saba, Vienna, 1998, p.319f., no.230.





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## A SMALL SOUTH ARABIAN LIMESTONE MALE HEAD CIRCA 1ST CENTURY B.C./A.D.

The stylised head with sharp bow ridge and nose, brows and pupils recessed for now-missing inlays, the elongated nose with three folds below the chin, 4in (10cm) high, mounted

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

#### Provenance:

UK private collection, acquired during the 1990s.

#### Literature

For a similar tall-necked head still set into its inscribed base, cf. S. Simpson, *Queen of Sheba*, London, 2002, p.196, pl.274.

105

## A SOUTH ARABIAN ALABASTER HEAD OF A MAN CIRCA 1ST CENTURY B.C./A.D.

The oval face, with thin brows and almond-shaped eyes recessed for inlay, with a straight nose, thin lips, and a small stippled moustache and beard, the top and back of the head roughly finished, 8in (20.4cm) high, mounted

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

Mrs A. Collection, Paris, acquired in the 1960s.

106 \* W

#### A SOUTH ARABIAN ALABASTER ANTHROPOMORPHIC **INSCRIBED STELE** CIRCA 1ST CENTURY B.C./A.D.

Of rectangular form, the face with stylised features including a long, prominent nose and small pursed mouth sculpted in raised relief, and large almond-shaped eyes recessed once for inlay, below a heavy horizontal ridged browline, the top, sides, and back of the head roughly finished, inscribed in Qatabanian for the deceased below the mouth, 151/4in (38.7cm) high, mounted

£7,000 - 9,000 €8,500 - 11,000 US\$12,000 - 15,000

#### Provenance:

Drouillet Collection, France, acquired in the 1980s.



#### A SASANIAN SILVER-GILT ROYAL HUNTING SCENE PLATE IRAN, CIRCA EARLY 4TH CENTURY A.D.

The interior decorated in relief, the figural scene with gilding and finely incised details, depicting a king, thought to be Hormizd II, riding a horse at flying gallop to right, the king wearing a crown in the form of a winged eagle surmounted with a globe, with three rippling streamers flying out behind, wearing a chest halter over a belted tunic, and trousers with pleated edging, wearing a quiver at his right hip, decorated with a wavy palmette tendril and a rosette above, a beribboned sword hilt on his left, seated astride the horse with a dotted cross-hatched saddle blanket, a pair of incised balloons fly out behind, a rippling ribbon attached to its bridle with a ribbed globe above, wearing a harness ornamented with large bosses, its tail elaborately tied, the king drawing a bow, taking aim at a fleeing ostrich or great bustard in front, two shot birds below, one collapsed with an arrow through its turned neck, the other shot through its breast, the plate on a ring foot, the base with a dotted Pahlavi inscription mentioning the weight, and two monograms, (23.3cm) diameter; 791.9g weight

£150,000 - 250,000 €180,000 - 300,000 US\$250,000 - 420,000

#### Provenance:

Private collection, Switzerland, acquired between 2002-2005. European private collection, UK and Switzerland, formed in the 1970s and 1980s.

#### Literature:

Royal hunting scenes were an important motif in Sasanian art, depicting kings and the royal family as gloriously-attired, and full of vigour and skill. For further discussion, cf. P.O. Harper, The Royal Hunter: Art of the Sasanian Empire, New York, 1978. Many of these gilded silver plates show similar scenes of kings hunting boar, rams, stags and lions. The representation of an ostrich or bustard however is extremely rare. There is a silver plate in Japan depicting another scene of ostrich hunting: K. Tanabe, 'Unique Sasanian Silver Plate with Bahram Gur's Ostrich-Hunting Scene and with Gold Inlay' Bulletin of the Ancient Orient Museum, 2, Tokyo, 1980, pp. 45-68.

The eagle crown is an important feature of this plate and according to Judith Lerner, 'only two kings include an animal protome' on their crowns: Shapur I (A.D. 241-272) and Hormizd II (A.D. 302-309). See J.A. Lerner, 'Animal Headdresses on the Sealings of the Bactrian Documents', in Iranica 17. Exegisti Monumenta: Festschrift in Honour of Nicholas Sims-Williams, Weisbaden, 2009, p.220.

The bird-headed crown with wings is most frequently associated with Hormizd II and this plate shows the king wearing a specific crown of a winged eagle with two pearls in its mouth. Hormizd II wears such a crown on many of his coins and is also shown wearing a similar crown on the equestrian relief at Nagsh-e Rustam: E.F. Schmidt, Persepolis volume III. The Royal Tombs and Other Monuments, University of Chicago Oriental Institute Publications, LXX, Chicago, 1970, pl. 91-2. There is also a silver gilt plate in the Cleveland Museum of Art (acc. No. 62.150) depicting Hormizd II (but produced about 150 years after



his reign) wearing the same crown: cf. P.O. Harper & P. Meyers, Silver Vessels of the Sasanian Period: Volume One. Royal Imagery, New York, 1981, pl. 14, pp. 61, 127-8.

It is possible that the plate is meant to depict Hormizd II as a young prince, as he is shown without his usual beard and with straight hair reminiscent of his father Narseh's style. Sasanian crown princes are often shown without beards, for example a coin for Bahram II (A.D. 276-293) shows the king with his wife and son, and the crown prince is clean-shaven. Cf. A. U. Pope, A Survey of Persian Art, volume IV, London and New York, 1938, p. 251, fig. J.

The inscription on the reverse of the plate is composed of a linear inscription in Pahlavi and two figure-like elements or monograms (one rendered as a person with Antelope's horn) which appear to be a combination of letters. The larger monogram may perhaps be read as: Ch,M,Sh,T [Ch(a)MSh(i)T], which can be transliterated as 'Jamshid'. The smaller monogram is the one seen on the crown of Kermanshahs and appears to be the same formula as GDH which is a Pahlavi monetary legend translated by Rika Gyselen as xwarrah 'royal glory appears' but it has also been translated as 'ever increasing greatness'. The linear inscription may be translated as giving the weight of the bowl, according with the weight given above. However it has also been suggested that the inscription can be read as Sh (i) RRUY----- T K(?) A B L(R) ---- Z(?) ----- A H(?) L(R) M Z K(?) Y(?) which may be transliterated as 'Shir rooy - Takavar - Ahurmazd kay' and translated as 'Lion face and warrior King Hormizd'.









#### A HELLENISTIC CORE-FORMED GLASS AMPHORISKOS EASTERN MEDITERRANEAN, CIRCA 2ND-1ST CENTURY B.C.

Decorated with opaque yellow trail from the rim round the cylindrical neck, the piriform body with opaque white trailing combed into festoons, with applied amber glass peg base and handles, 61/4in (16cm) high

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

#### Provenance:

American private collection, California, acquired in the 1950s-1960s.



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#### A GREEK BLUE CORE-FORMED ARYBALLOS EASTERN MEDITERRANEAN, CIRCA 6TH-5TH CENTURY B.C.

Possibly from Rhodes, the spherical body with yellow spiral trail above and below finely tooled turquoise and yellow zigzag decoration, with applied yellow trail at the edge of the rim, the bottom with a yellow and turquoise circle, with matching blue applied scrolling handles, 21/4in (6cm) high, mounted

£4,000 - 5,000 €4,900 - 6,100 US\$6,700 - 8,300

#### Provenance:

American private collection, Texas, acquired in Dallas in 1999. With Charles Ede Limited, Ancient Glass, vol. XIX, 1999, no. 1. Christie's London, Ancient Glass Formerly in the Kofler-Truniger Collection, 5-6 March 1985, lot 317. E. & M. Kofler-Truniger Collection, Lucerne.

#### Published:

M. Kunz and B. Rutti, et al., 3000 Jahre Glaskunst, von der Antike bis zum Jugendstil, Lucerne, 1981, no. 81. This example is very unusual in that the underside of the base is decorated with a mixed yellow and turquoise circle.





#### A ROMAN MOSAIC GLASS BOTTLE ITALY, CIRCA LATE 1ST CENTURY B.C. - EARLY 1ST CENTURY A.D.

Formed from slices of a cane with an opaque white circle in a translucent light amber-coloured matrix to form a squat unguentarium with a short cylindrical neck and pear-shaped body, 3in (7.6cm) high

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

Vincent Smith-Durham Collection, Hav-A-Mil House, Philadelphia, USA, acquired in the early 1990s from Antiquarium Ltd., New York.

#### Literature:

This small bottle is an unusual mixture of ancient glass making techniques with sections from a cast mosaic cane that were fused together and then blown to create the final shape. More usually such vessels are formed from layers or opaque white and blue or purple glass or four to six larger sections as with gold-band vessels: D.F. Grose, Early Ancient Glass. The Toledo Museum of Art, Toledo, 1989, pp. 261-2.

#### A ROMAN PURPLE AND WHITE MARBLED GLASS FLASK **CIRCA 1ST CENTURY A.D.**

Decorated with opaque white trails in the glass, the small flaring mouth with infolded rim on a cylindrical neck and piriform body, 4%in (12cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

Acquired by the present owner in London and the Home Counties between the 1960s and 1980.



### A LARGE ROMAN GREEN GLASS OINOCHOE EASTERN MEDITERRANEAN, CIRCA 4TH CENTURY A.D.

The trefoil mouth with folded rim, decorated on the underside with applied trailing in a tight spiral, the neck with an applied coil, the piriform body set on an applied base with diagonal tooling marks, with a ribbed strap handle, 10in (25.5cm) high

£6,000 - 8,000 €7,300 - 9,700 US\$10,000 - 13,000

#### Provenance:

Bonhams, London, 15 October 2008, lot 362. Dr. Ben Essex Collection, London, 2006-8. Christie's London, 26th April 2006, lot 123. German private collection.



### A LARGE ROMAN AMBER GLASS AMPHORA EASTERN MEDITERRANEAN, CIRCA 4TH CENTURY A.D.

The funnel mouth with an infolded rim, with two applied handles, the truncated conical body decorated with abraded horizontal lines, with a slightly concave base, 7in (18cm) high

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

Christie's, New York, 9 December 2005, lot 144. Acquired on the London art market in 1992.

#### A BYZANTINE PALE BLUE GLASS BEAKER **CIRCA 5TH-7TH CENTURY A.D.**

The mould-blown body decorated with eight horizontal rows of grapes, with ring foot and inverted rim, 4in (10cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK private collection, acquired in the 1980s.



#### A SASANIAN CUT GLASS BOWL IRAN, CIRCA 4TH-6TH CENTURY A.D.

The hemispherical bowl decorated with two rows of wheel-cut rour facets with raised central points and one oval facet to fill the space separated by a horizontal groove, the foot cut in two steps, 31/4in (8.2cm) high; 41/4in (11cm) diam

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

### Provenance:

Acquired by the present owner in London and the Home Counties between the 1960s and 1980.





#### AN EGYPTIAN GLASS FACE INLAY OF A KING PTOLEMAIC - ROMAN PERIOD, CIRCA 1ST CENTURY B.C./A.D.

Composed in two parts, the red face with recessed eye and eyebrow, the ear with indicated piercing on the lobe, with notches on the neck, surmounted by a blue glass crown, 11/4in (35mm) high (2)

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

English private family collection since the late 1960s, by descent from the owner's grandfather, acquired during the 1940s.



#### A ROMANO-EGYPTIAN MOSAIC GLASS INLAY OF A LION EGYPT, CIRCA 1ST CENTURY B.C./A.D.

A section of a mosaic composite bar, showing the profile of a lion's head, in a semi-translucent dark blue matrix, the opaque red face with features in dark blue, translucent yellow mane and dark orange chest, 23mm x 25mm

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

German private collection, Berlin, acquired in the 1970s.



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### AN EGYPTIAN MOSAIC GLASS EYE INLAY BAR PTOLEMAIC - ROMAN PERIOD, CIRCA 3RD CENTURY B.C. -

With translucent dark blue eyebrow and cosmetic lines, opaque white sclera and black pupil, in an opaque red matrix, 10mm x 8mm x 13mm

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

### Provenance:

UK private collection, formed during the late 1950s and early 1960s.

#### Literature:

For a similar eye bar see Sidney M. Goldstein, Pre-Roman and Early Roman Glass in The Corning Museum of Glass, p.87-88, no.164 with illustration.



#### A COLLECTION OF ROMAN MOSAIC GLASS FRAGMENTS **CIRCA 1ST CENTURY B.C./A.D.**

From vessels, some ribbed, also plaques, one side polished, including various polychrome millefiori, ribbon, banded and reticelli glass fragments; and fragments with green matrix and yellow canes imitating verde antico marble, 15mm-50mm, mounted in the Grand Tour style, in gilded cardboard and contained in wooden trays (216)

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

Christie's, London, 22 October 1997, lot 4 (part). Presumably from a Grand Tour collection of the 19th Century. Probably acquired in Rome.

#### Literature:

For a similar collection of glass fragments collected by Evan Gorga in Rome at the end of the 19th to early 20th Century, cf. L. Sagui (ed.), Storie al Caleidoscopio. I vetri della collezione Gorga: un patrimonio ritrovato, Rome, 1998.





#### THE FOLLOWING TWENTY LOTS (120 - 139) ARE THE PROPERTY OF A GENTLEMAN

### A PHOENICIAN GLASS JANIFORM CORE-FORMED HEAD

#### EASTERN MEDITERRANEAN OR NORTH AFRICA, CIRCA 6TH-**5TH CENTURY B.C.**

Composed of a cylindrical dark blue bead decorated on each side with a circular face with applied eye beads, one face in opaque yellow with an opaque white mouth, the other in opaque white with a yellow mouth, decorated with small yellow and white beads left in relief, 11/4in (3.2cm) high, mounted

£15,000 - 25,000 €18,000 - 30,000 US\$25,000 - 42,000

#### Provenance:

UK collection, formed in the 1980s.

#### Literature:

It is unsure where this type of large face bead was produced as their known findspots include both Phoenician and Carthaginian sites. They are believed to have been made in the same workshops as other large head pendants with demonic masks, bearded male heads, or rams' heads. For a similar face bead, cf. N. Kunina, Ancient Glass in the Hermitage Museum, St Petersburg, 1997, p. 62, nos. 20-1. For further discussion of the type, cf. D.F. Grose, Early Ancient Glass, The Toledo Museum of Art, Toledo 1989, pp. 82-3, 90, no. 48, col. pl. p. 71.

#### A ROMAN OPAQUE RED GLASS BUST OF A GODDESS **CIRCA 1ST CENTURY A.D.**

The bust cast in very high relief with incised details, shown draped in a tunic with a cloak clasped at the right shoulder, wearing a tall radiate headdress, on an oval flat background, 21/4in (5.7cm) high, mounted

£15,000 - 25,000 €18.000 - 30.000 US\$25,000 - 42,000

#### Provenance:

American private collection, acquired in the 1980s. The partner bust of this lot was offered at Christie's, New York, 09 December 2005, lot 141.

#### Literature:

This piece was cast in a mould, probably using the lost wax method, with the finer details engraved afterwards and then polished. Given its size, it most likely came from the centre of a phalera made in either silver or bronze.

The best-known examples and of a similar 1st Century A.D. date are two silver dishes from the Boscoreale Treasure, Pompeii. The first, now in the Louvre has a bust of an old man in the central medallion (5.4cm high, 24cm diameter of bowl) and the pendant pair with the bust of an old woman (10cm high), possibly the man's wife, is in the British Museum: L. Pirzio Biroli Stefanelli, *L'argento dei romani. Vasellame* da tavola e d'apparato, Rome, 1991, pp. 67-8, 260, fig. 44, no. 38; and H.B. Walters, Catalogue of the Silver Plate, Greek, Etruscan and Roman in the British Museum, London, 1921, no. 26, fig. 6.

Four bronze examples reputedly from the Roman fort at Xanten (Castra Vetera), are now in the British Museum, with a silvered-bronze Julio-Claudian head (H.B. Walters, ibid, nos. 2870-3). One is inscribed on the reverse PLINIO PRAEFEC(to), which might refer to Pliny the Elder who served in Germany as Prefect in the years around A.D. 47. These examples possibly formed part of a cuirass or piece of body armour.

Similar small glass busts could have been employed as decorative attachments to the front of a helmet, as with a parade helmet in the Rheinisches Landesmuseum, Bonn: D. Whitehouse, Roman Glass in The Corning Museum of Glass, vol. 1, Corning, 1997, pp.28-9, no. 25.

#### AN EGYPTIAN DARK BLUE GLASS INLAY OF A COW LATE PERIOD, CIRCA 664-30 B.C.

In the shape of a walking bovine in profile, with large eye and pointed ear, 11/2in (4cm) long

£2.000 - 3.000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK collection, acquired before 1983.



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### A ROMAN BLUE AND OPAQUE WHITE MARBLED GLASS FLASK CIRCA MID-1ST CENTURY A.D.

Blown with a globular body and concave bottom, the slender neck with flaring mouth, decorated with swirling bands of opaque white, 4% in (12.1cm) high

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

#### Provenance:



#### A ROMAN PURPLE AND OPAQUE WHITE COLOUR-BAND **GLASS FLASK CIRCA MID-1ST CENTURY A.D.**

Formed of single canes of aubergine and white, cast and then blown, with a pear-shaped body, the base with a slight indentation, the cylindrical neck with a folded vertical rim, 41/4in (10.7cm) high

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

#### Provenance:

UK collection, acquired in the 1980s.

#### Literature:

This small bottle belongs to a small but very interesting group of vessels that were formed from preformed cast mosaic canes that were assembled together and blown to achieve their final shape. In this example alternate lengths of purple and opaque white glass were fused together to form a rectangular blank before being folded in half upwards and then inflated to form a bottle. Cf. D.F. Grose, *Early Ancient Glass. The Toledo Museum of Art*, Toledo, 1989, pp. 261-2.



## A ROMAN BLUE AND WHITE MOSAIC GLASS BOWL WESTERN EMPIRE, CIRCA 1ST HALF OF THE 1ST CENTURY

Cast in shallow form, slightly concave at the base, of segmental form, composed of slices of a large cane with an opaque white spiral in a transparent blue glass matrix, 51/2in (14.1cm) diam

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

#### Provenance:

UK collection, acquired in the 1980s.

#### Literature:

This mosaic pattern of larger white spirals in blue can also be found in the cast ribbed bowl found in Radnage, Buckinghamshire, and now in the British Museum: D.B. Harden et al., Glass of the Caesars, London, 1987, p. 51, no. 27. The looseness of the decoration is possibly indicative of latter mosaic glass production in the mid-1st Century A.D. rather than a 1st Century B.C. date.



### 126 A ROMAN MOSAIC GLASS DISH CIRCA 1ST CENTURY B.C./A.D.

Of shallow carinated profile, with a very thin applied base-ring, composed of two different canes, one with a red centre, white ring, and yellow rods in light green, the other with a red centre, yellow ring, with two rows of white rods, set in a blue matrix, 61/4in (16cm) diameter

£30,000 - 50,000 €36,000 - 61,000 US\$50,000 - 83,000

#### Provenance:





# A ROMAN BLUE AND OPAQUE WHITE MARBLED GLASS UNGUENTARIUM CIRCA MID-1ST CENTURY A.D.

The piriform vessel with a long neck flaring to a bulbous body and tapering to a pointed base, 9in (23cm) high

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

UK collection, acquired in the 1970s.

### 128

#### A ROMAN PALE PURPLE AND WHITE MARBLED GLASS **BOTTLE**

#### **CIRCA MID-1ST CENTURY A.D.**

The bulbous body with a flattened base, the neck tapering to the outturned mouth, 3¾in (9.4cm) high

£5,000 - 7,000 €6,100 - 8,500 US\$8,300 - 12,000

#### Provenance:

#### A ROMAN YELLOW GLASS AMPHORISKOS SYRO-PALESTINIAN COAST, CIRCA MID-1ST CENTURY A.D.

Decorated with applied and marvered splashes in yellow, opaque blue and opaque turquoise glass, blown into a bipartite mould with horizontal ribbing, 3in (7.7cm) high

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

UK collection, acquired in the 1980s.

#### Literature:

This combination of applied splashed and mould-blown decoration is rare, and when it does occur it is usually found on larger vessels like a series of jugs from Pantikapaion (ancient Kerch) on the Black Sea, cf. N. Kunina, Ancient Glass in the Hermitage Collection, St Petersburg, 1997, nos. 111-12, pls. 70-72.





#### A ROMAN SIDONIAN PURPLE AND BLUE GLASS BOTTLE SYRO-PALESTINIAN COAST, CIRCA MID-1ST CENTURY A.D.

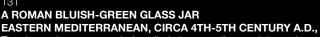
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The ovoid purple body mould-blown into a two-part mould decorated with a band of diamonds between gadrooning, two applied handles in contrasting blue glass, 3in (7.7cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3.300 - 5.000

#### Provenance:

UK collection, acquired in the 1980s.



The decoration composed of applied zig-zag trail up and down the body and another spiral trail around the body, a thick zig-zag applied from the rim to the shoulder, 31/8 in (7.9cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:



#### A ROMAN GILDED OPAQUE WHITE GLASS AMPHORISKOS PROBABLY ITALY, CIRCA 1ST CENTURY A.D.

Blown into a cylindrical body with the bottom pulled into a point, the long neck with an infolded rim, the decoration gilded, composed of two horizontal bands around the middle of the neck, the body with three registers of decoration, each divided by further horizontal bands, the upper register with continuous ivy leaves and a meander line, the middle register with stylised floral groups, each with four stems terminating in clusters of petals or berries, the lower register now worn, 5in (12.7cm) high, mounted

£40,000 - 60,000 €49,000 - 73,000 US\$67,000 - 100,000

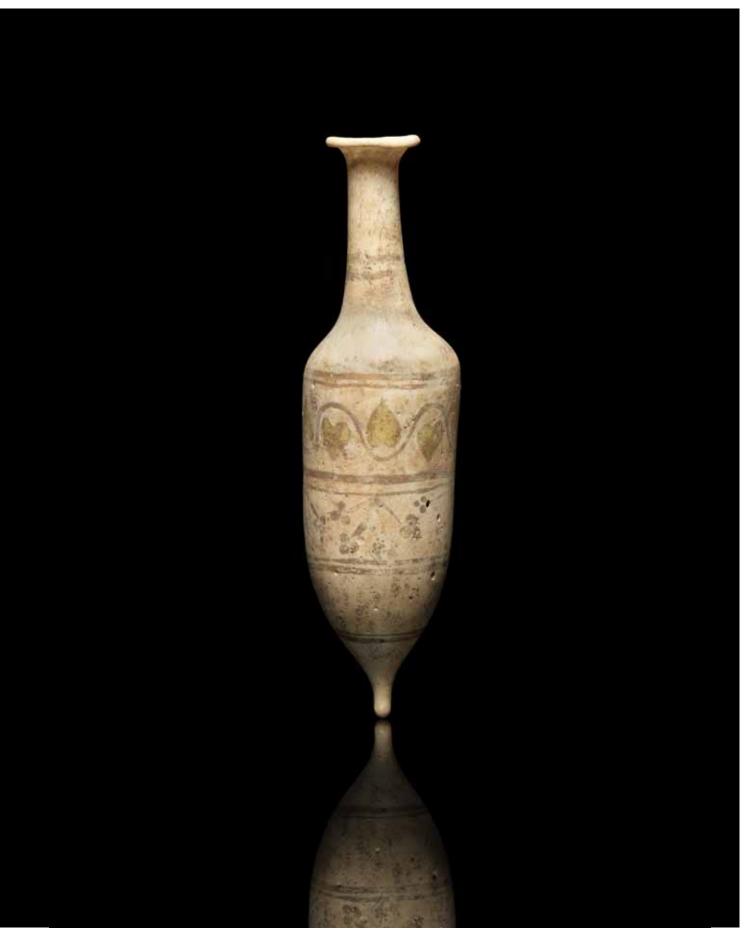
#### Provenance:

UK collection, acquired in the 1980s.

#### Literature:

The best known opaque white glass vessels with painted and gilded decoration date from the 3rd Century A.D. and include the Daphne Ewer found at Kerch in The Corning Museum of Glass and a pair of jugs from Khovle, Georgia: D.B. Whitehouse, Roman Glass in The Corning Museum of Glass, Vol. 2, Corning, 2001, pp. 256-70, no. 864 and VakhtangShatberashvili, 'Two painted glass jugs from the village of Khovle, Georgia', Annales Association Internationale pour L'Histoire du Verre, 17, Belgium, 2009, pp. 217-21 respectively.

The form of this delicate unguentarium would, however, suggest an earlier 1st century A.D. date, a rare survival decorated just in gold leaf as demonstrated by a blue glass ewer in The Corning Museum of Glass: D. Whitehouse Roman Glass in The Corning Museum of Glass, Vol. 2, Corning, 2001, pp. 273-4, no. 866.







## A HELLENISTIC PALE YELLOW CAST MAMMIFORM GLASS BOWL

## SYRO-PALESTINIAN COAST, CIRCA MID-2ND - EARLY 1ST CENTURY B.C.

With straight sides tapering to a curved base, with three wheel-cut grooves on the interior below the rim, the exterior with two further concentric grooves and a centring ring at the base, 6½in (16.5cm) diam; 3½in (9cm) high

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

### Provenance:

UK collection, acquired in the 1980s.

#### 134

## A ROMAN COLOURLESS GLASS BEAKER CIRCA LATE 1ST-2ND CENTURY A.D.

Free-blown, with a pale yellow/green tinge, the conical body with gently pushed in base and everted cut-off rim, decorated with two abraded horizontal lines around the upper body, 5% in (14.5cm) high

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:





#### A ROMAN PALE GREEN GLASS DISH CIRCA 2ND CENTURY A.D.

Wide mouthed with an out-turned folded rim and base ring, with two applied and tooled wavy slightly upturned handles on opposing sides, 5¾in (14.9cm) wide

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

UK collection, acquired in the 1980s.

### Literature:

A very similar two-handled dish was found in a grave dating to the last third of the 1st Century A.D. in the necropolis of Saint-Paul-Trois-Châteaux, Vallades, France: D. Foy & M-D. Nenna, Tout Feu Tout Sable. Mille ans de verre antique dans le Midi de la France, exhibition catalogue, Aix-en-Provence, 2001, p. 17, no. 16. Another example is preserved in the Louvre, Paris: V. Arveiller-Dulong & M-D. Nenna, Les Verres antiques du Musée du Louvre II, Paris, 2005, p.191, no. 526.

#### A ROMAN YELLOWISH-GREEN CONICAL GLASS LAMP **CIRCA 3RD CENTURY A.D.**

The upper body decorated with three large applied blue blobs alternating with three groups of four smaller blobs, with faint wheel-cut bands around the body, and a cut-off and ground rim, 91/2in (19cm)

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

Japanese private collection, formed in the 1960s-1970s.







#### A ROMAN GREEN GLASS FOOTED CUP EASTERN MEDITERRANEAN, CIRCA 5TH CENTURY A.D.

The wide flat horizontal mouth decorated with thin spiral purple/brown trailing underneath, with a short cylindrical neck, the bulbous body with faint mould-blown vertical ribbing set on an applied purple knop base, with fourteen purple handles applied vertically from the shoulder to the edge of the rim, 31/2in (8.8cm) high

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

UK collection, acquired in the 1980s.

#### A POST-ROMAN GREEN GLASS FLASK WITH PURPLE **TRAILING**

### EASTERN MEDITERRANEAN, CIRCA 6TH CENTURY A.D.

The mouth with spiral trailing, the neck cylindrical, the squat body with trailing pincered to form a chain decoration around the middle, the pushed-in base with a low domed kick, 4% in (12.1cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:







A LATE ROMAN AMBER GLASS HEAD FLASK EASTERN MEDITERRANEAN, CIRCA 4TH-5TH CENTURY A.D.

The face with large wide eyes and a pointed nose and chin, with curly hair, the neck rising from the top of the head, with a wish-bone handle, set on a foot with a flattened coil base, 5 1/8in (13cm) high

£12,000 - 15,000 €15,000 - 18,000 US\$20,000 - 25,000

#### Provenance:

UK collection, acquired in the 1980s.

#### Literature:

This head-flask belongs to a late Roman group of mould-blown vessels that are larger in size and feature applied coiled bases and either wish-bone handles (cf. D. Whitehouse, *Roman Glass in the* Corning Museum of Glass, vol. 2, Corning, 2001, pp. 74-6, no. 548) or with pincered wings like this example.



## **EGYPTIAN**

Lots 140 - 191





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### AN EGYPTIAN DIORITE JAR PREDYNASTIC PERIOD, CIRCA 3200 B.C.

The squat rounded body and broad disc rim, with two horizontal lug handles, 41/4 in (11cm) high; 101/2 in (27cm) diam including handles

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

UK private collection, acquired in the 1980s.

141 \*

## AN EGYPTIAN DIORITE COSMETIC PALETTE PREDYNASTIC PERIOD, CIRCA 3300-3100 B.C.

Of rectangular form with curved corners, both sides slightly convex,  $4\frac{1}{2}$  in (11.5 cm), mounted

£1,000 - 1,500 €1,200 - 1,800 US\$1,700 - 2,500

### Provenance:

Deaccessioned from The Heckscher Museum of Art, Long Island, New York, in 2012.

Sotheby, Wilkinson & Hodge Auctioneers, Catalogue of the Remaining Part of the Valuable Collection of Egyptian Antiquities formed by Robert de Rustafjaell, Esq. 20 January 1913, lot 55, pl. III.

Col. Robert de Rustafjaell Collection (1876-1943), acquired prior to 1909 while working in Egypt as a geologist and mining engineer. Found near Kift (Qift) in the Eastern Desert, 43km north of Luxor.

#### Published:

R. de Rustafjaell, F.R.G.S. The Stone Age in Egypt, A Record of Recently Discovered Implements and Products of Handicraft of the Archaic Nilotic Races Inhabiting the Thebaid, New York, 1914, p. 67, no.871.





## 142 AN EGYPTIAN ALABASTER JAR PREDYNASTIC PERIOD, CIRCA 3000 B.C.

With flat base and pierced lug handles, 4in (10cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

### Provenance:

J. Claessen Collection (1926-2006), Netherlands, acquired in the 1960s-1970s.
Sotheby's, London, 31 July 1972, lot 105.

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## AN EGYPTIAN BLACK-TOPPED POTTERY JAR PREDYNASTIC PERIOD, NAQADA II-III, CIRCA 3500-3000 B.C.

The red burnished ware body tapering to the flat base, the rim with black burnished irregular band, 8% in (22cm) high

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

English private family collection since late 1960s, by descent from the owner's grandfather, acquired during the 1940s.



#### 144 \* AN EGYPTIAN WOOD FIGURE OF AN OFFICIAL OLD KINGDOM, 6TH DYNASTY, CIRCA 2345-2181 B.C.

With characteristic short black hair, wearing a knee length kilt beneath his paunchy stomach, advancing on his left leg, the facial features carved and the eyes defined with paint, composed of a single piece of wood, with remnants of black and white paint, 61/2in (16.5cm) high, mounted

£7,000 - 9,000 €8,500 - 11,000 US\$12,000 - 15,000

#### Provenance:

Christie's, South Kensington, 14 April 2011, lot 14. Baron Jean Empain Collection, thence by descent. Edouard Louis Joseph, Baron Empain Collection, (1852-1929), France.

#### Literature:

For similar figures of officials cf. Exhibition Catalogue, *Egyptian Art* in the Age of the Pyramids, The Metropolitan Museum of Art, New York, pp. 465-6 fig. 191; C.A.R. Andrews et al., Objects for Eternity. Egyptian Antiquities from the W. Arnold Meijer Collection, Mainz, 2006, no.1.34; H.Satzinger et al., Ägyptisches Museum Berlin, Berlin, 1967,



145 \*

## AN EGYPTIAN LIMESTONE RELIEF FRAGMENT OLD KINGDOM, CIRCA 2686-2181 B.C.

Probably from a mastaba, carved in raised relief with an inscription over two registers, 'the horizon, which hides the spirit of this one who is in [...] body, (?)may you raise up(?)', 12in (30.5cm) x 9½in (24cm), mounted

£8,000 - 10,000 €9,700 - 12,000 US\$13,000 - 17,000

#### Provenance:

Dr. Charles Herbert Best Collection (1899–1978), Toronto, Canada. Dr. Best, Professor of Physiology at the University of Toronto, codiscovered insulin with Frederick G. Banting in the summer of 1921.



#### AN EGYPTIAN SANDSTONE FEMALE HEAD NEW KINGDOM, EARLY 18TH DYNASTY, CIRCA 1550-1352 B.C.

With arched eyebrows and eyes outlined by cosmetic lines, the mouth in a gentle smile, wearing a long braided wig, 11% in (30cm) high, mounted

£20,000 - 30,000 €24,000 - 36,000 US\$33,000 - 50,000

#### Provenance:

Dutch private collection. Acquired by the present owner's grandfather before 1974, thence by descent.

Accompanied by a copy of the original invoice.

#### Literature:

This particular hairstyle was popular amongst the women of Thebes at the beginning of the New Kingdom period. For further information and a stylistically similar example in the Metropolitan Museum of Art, New York, cf. C. Roehrig, 'Life along the Nile: Three Egyptians of Ancient Thebes' in *The Metropolitan Museum of Art Bulletin*, vol. 60, no. 1 (accession number 26.7.1404).



#### AN EGYPTIAN PAINTED WALL FRESCO FRAGMENT NEW KINGDOM, 18TH DYNASTY, TUTHMOSIS III, CIRCA 1497-1425 B.C.

Polychrome on plaster over a mud base, depicting a female figure kneeling with her right knee raised, supporting her elbow, holding a lotus flower in her hand, with terracotta coloured stem, added details in yellow and white with black outlines, she wears a long white close-fitting dress with a broad shoulder strap, the dress worn to reveal her right breast, traces of a broad collar remain, her black hair falls over her shoulders, her eyes outlined in black, 11in x 10¾in (28cm x 27.5cm)

£12,000 - 15,000 €15,000 - 18,000 US\$20,000 - 25,000

#### Provenance:

Dutch private collection. Acquired by the present owner's grandfather before 1974, thence by descent.

#### Literature:

For a closely-related banquet scene from the tomb of Wah at Thebes, cf. A. Eggebrecht, *L'Égypte Ancienne*, Paris, 1986, p.181.



AN EGYPTIAN GYPSUM TALATAT RELIEF NEW KINGDOM, 18TH DYNASTY, AMARNA PERIOD, REIGN OF AKHENATEN, CIRCA 1353-1336 B.C.

Carved in sunken relief with a bowing courtier wearing a short wig, his folds of drapery knotted beneath his rounded stomach, his hands resting on his knees, 10in (25.4cm) x 9in (23cm) x 21/4in (5.5cm), mounted, with a collection sticker reading 'BROOKLYN 9.63.959'

£5,000 - 7,000 €6,100 - 8,500 US\$8,300 - 12,000

#### Provenance:

Ronald Gower Collection, Atlanta, Georgia. By descent from his father-in-law, Hans Gram Bechmann, who collected whilst living in Egypt in the early 1900s.



#### AN EGYPTIAN SANDSTONE RELIEF FRAGMENT

NEW KINGDOM, 18TH DYNASTY, AMARNA PERIOD, REIGN OF AKHENATEN, CIRCA 1353-1336 B.C.

Carved in sunk relief with three or four figures standing shoulder to shoulder in profile facing right, depicted with elliptical eyes, prominent lips and noses, while three further figures shown in profile process towards the left, the central of the three figures with a clearly defined dome-shaped head, the face cast slightly downwards, traces of the original red pigment remain, 9½ in (24cm) x 6 5/8 in (17cm), mounted

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

#### Provenance:

Belgian private collection. Acquired from the antiques dealer Claude Schots, Brussels. Accompanied by a copy of a Certificate of Authenticity issued by Claude Schots and dated 9 May 1975.

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AN EGYPTIAN LIMESTONE DOUBLE-SIDED RELIEF FRAGMENT FOR NEFERTITI NEW KINGDOM, 18TH DYNASTY, AMARNA PERIOD, REIGN OF AKHENATEN, CIRCA 1353-1336 B.C.

In the form of a block of rectangular cross-section, from the 'River Temple' of Akhenaten, one side inscribed in sunken relief with two vertical columns of text, the left reading 'in his lifetime, given life', the right reading 'chief royal wife, his beloved mistress' the other side with the remains of a pair of cartouches for Queen Nefertiti, 8¾in (22.2cm) x 81/4in (21cm) x 73/4in (20cm), mounted

£4,000 - 5,000 €4,900 - 6,100 US\$6,700 - 8,300

#### Provenance:

Claude Harkins Collection, Kansas City, Missouri, USA, acquired in the early 1970s. Archaeological Institute of America in St Louis, received from the Egyptian Exploration Society in the 1920s.

The block was excavated during the 1922 season of the Egyptian Exploration Society under the direction of Sir Leonard Woolley along with numerous other fragments from the inner room of Akhenaten's River Temple. The block is mostly likely part of a wall, the inscriptions on either side.

#### Published:

T. Peet & C. Leonard Woolley, The City of Akhenaten Part: I Excavations of 1921 - 1922 at El'Amarneh, Oxford, 1923, pl. XLIII, figs 1 & 5. The recipient is listed as the Archaeological Institute of America in St Louis.

#### Literature:

The title of 'chief royal wife' as opposed to the more standard 'great royal wife', is unique to Nefertiti as queen.







### A LARGE EGYPTIAN SANDSTONE SHABTI FOR THE GENERAL KASA

NEW KINGDOM, LATE 18TH-19TH DYNASTY, CIRCA 1400-1186 B.C

The mummiform figure wearing a black-painted short curled duplex wig, carrying broad and narrow bladed hoes, a seedbag hanging behind the left shoulder, with four incised rows of text around the legs and a column down the back, 9½in (24cm) high, mounted

£10,000 - 15,000 €12,000 - 18,000 US\$17,000 - 25,000

#### Provenance:

American private collection, Detroit. With Charles Ede Limited, London, in 1972. 152 \*

### AN EGYPTIAN BRIGHT BLUE GLAZED COMPOSITION SHABTI FOR THE VIZIER KHAY

#### NEW KINGDOM, 19TH DYNASTY, CIRCA 1249-1235 B.C

The mummiform figure with details added in black, wearing a striped tripartite wig and a dotted fillet, with a broad collar and bracelets at his wrist, holding two narrow bladed hoes, a net bag across his back, inscribed with five rows of text and an additional column down the back of the legs, 7in (17.6cm) high, mounted

£20,000 - 30,000 €24,000 - 36,000 U\$\$33,000 - 50,000

#### Provenance:

Estate of Hope N. Efron, Washington DC, USA. Acquired at Galerie G. Maspero in Paris, on 5 December 1967 and accompanied by a copy of the receipt and certificate of authenticity.

Ramesses II had a Vizier of this name who served in year 30-44 of Ramesses II's reign (circa 1249-35 B.C. At least two other shabtis for a Vizier Khay are known, all different in form. This one is similar in appearance and quality with Sety I's shabtis.







153 \*

#### AN EGYPTIAN BRONZE RECUMBENT LION MIDDLE KINGDOM, CIRCA 2133-1797 B.C.

The solid cast lion with angular recessed eye sockets, the face framed by its mane, the pronounced hind legs with long tail curled upward over its right haunch, 31/4in (8.5cm) long

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

### Provenance:

American private collection, Midwest, acquired during circa 1980 from Leo Mildenberg.

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#### AN EGYPTIAN BRONZE OVERSEER SHABTI FOR KING **PSUSENNES I**

#### THIRD INTERMEDIATE PERIOD, 21ST DYNASTY, CIRCA 1039-991 B.C.

Wearing the tripartite wig and a long false beard, wearing the flaring kilt, inscribed for 'Pasebakhaenniut Meramun', 23/4in (7cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

American private collection, California, acquired in the 1950s-1960s.

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#### AN EGYPTIAN BRIGHT BLUE GLAZED COMPOSITION BOWL **FRAGMENT**

#### NEW KINGDOM, 18TH-19TH DYNASTY, CIRCA 1350-1250 B.C.

The curved interior decorated in black with the figure of a dancing girl, shown naked, except for a broad collar, with sprays of foliage around her feet, 55mm high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

American private collection, Midwest, acquired from Peter Scharrer. Sotheby's, New York, Important Antiquities from the Norbert Schimmel Collection, 16 December 1992, lot 110. Norbert Schimmel Collection, acquired before 1974.

Such bowls were associated with the goddess Hathor and were decorated with motifs such as lotus flowers, the Hathor cow, girls with musical instruments, fish and marsh scenes.

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#### AN EGYPTIAN GOLD AND JASPER SCARAB SWIVEL RING NEW KINGDOM, CIRCA 1550-1070 B.C.

The scarab naturalistically carved, with the inscription 'Amen-Re' on the underside, set in a gold mount and pierced by a gold wire wound around the top of each end of the shank, 16mm length of scarab, finger size 'X'

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

German private collection, acquired in 1995. Otto Wegener Collection, Munich, formed in the 1950s.



#### AN EGYPTIAN POLYCHROME PAINTED WOOD CANOPIC JAR **BOX FOR DJEHUTYIRDIS** THIRD INTERMEDIATE PERIOD, CIRCA 1069-702 B.C.

Decorated in black, blue, ochre, white and maroon, the lid showing Anubis recumbent on a plinth, two sides showing jackal-headed deities, each with titles of Anubis, 'Lord of the Sacred Land, who gives protection to Djehutyirdis'; 'Lord of the Two lands, the one of Heliopolis, who provides protection for the Osiris of Djehutyirdis' and 'Lord of the burial, who provides protection for the deceased', another side with a squatting Re-Horakhty with an ankh on his knees invoking protection for the deceased, and on the other side, the sisters Nephthys and Isis in adoration of a shrine surmounted by the head of Osiris wearing the plumed headdress with ram's horns, text on either side asking for the protection of the deceased, 93/4in (25cm) high

£10,000 - 12,000 €12,000 - 15,000 US\$17,000 - 20,000



Dutch private collection. Acquired by the present owner's grandfather before 1974, thence by descent.





### AN EGYPTIAN PAINTED WOOD AND CARTONNAGE **SARCOPHAGUS MASK**

#### THIRD INTERMEDIATE PERIOD, CIRCA 1069-702 B.C.

The face finely carved and gesso-painted, the eyes and brows in black and the lips outlines in red, a mortise beneath the chin for the insertion of a false beard, the headdress composed of cartonnage with painted relief details, the back flat with three mortise holes, and inked with a collection number '1129', 10in (25.5cm) high

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

American private collection, Los Angeles, California, acquired in 2011. American private collection of a Californian interior designer, acquired in Europe between the late 1970s and 1983.





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#### AN EGYPTIAN GREEN GLAZED COMPOSITION AMULET OF HORUS THE SAVIOUR THIRD INTERMEDIATE PERIOD - SAITE PERIOD, CIRCA 1069-525 B.C.

The nude Ptaikos-like figure depicted standing on two crocodiles, carrying an ankh and a was-sceptre, wearing the skull cap with a scarab on the top of the head and the sidelock of youth, a suspension loop behind the neck, with traces of gilding over the collar, 2¾in (7cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK private collection, acquired before 1983.

#### Literature:

For a discussion of protective amulets depicting Horus-the-Child and Horus-the-Saviour, cf. C. Andrews, *Amulets of Ancient Egypt*, London, 1994, pp.38-39.

160 \*

## AN EGYPTIAN BRIGHT BLUE GLAZED COMPOSITION AMULET OF PTAIKOS

THIRD INTERMEDIATE PERIOD - LATE PERIOD, CIRCA 1069-332 B.C.

The dwarf god standing naked with hands resting on his rounded belly, with details in black, 2in (5cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

American private collection, Midwest. With Michel Abemayor, New York, acquired before 1974.

#### Exhibited:

Exhibited at the Cleveland Museum of Art during the 1970s as part of the exhibition 'Art for Collectors'.





#### 161

## AN EGYPTIAN BLUE BICHROME GLAZED COMPOSITION SHABTI

### PTOLEMAIC PERIOD, CIRCA 332-30 B.C.

In pale blue with dark blue details, holding the adze and hoe, a seed bag over the left shoulder, a front panel with five columns of illegible text, 61/4 in (15.8cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

UK private collection, London, formed in the 1970s.

#### 162

## AN EGYPTIAN PALE GREEN GLAZED COMPOSITION SHABTI FOR ASTWERT

#### LATE PERIOD, PERSIAN PERIOD, CIRCA 343-332 B.C.

With finely-impressed details and an elongated body, depicted carrying the pick and adze, with twelve rows of text containing the Saite version of Chapter 6 of the Book of the Dead, for the woman Astwert, born to Ta-remet-en-sekhmet, 71/4 in (18.4cm) high, mounted

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

American private collection, New York, acquired prior to 1980.



#### AN EGYPTIAN STONE SHABTI FOR KING TAHARQA SUDAN, KUSHITE PERIOD, 25TH DYNASTY, CIRCA 690-664 B.C.

The mummiform figure holding two hoes, wearing a wig with uraeus and a false beard, the body incised with several lines of text including a cartouche, 91/4in (23.5cm) high

£25,000 - 35,000 €30,000 - 42,000 US\$42,000 - 58,000

#### Provenance:

Nikos Paschalis (1918-1948) Collection, Greece and Egypt, thence by descent through the family in Australia. Mr Paschalis was the grandson of George Paschalis, the founder of the Paschalian Archaeophylakeion Museum in Samos, Greece in 1912. He spent time in Egypt with the British Army during and after the Second World War.

King Taharqa was the most important king of the Kushite Dynasty (747-656 B.C.) and when his tomb at Nuri was discovered, over one thousand of these shabtis were found with him, lined up around the walls. They were primarily of granite, ankerite or calcite and were particularly large and stout with typically rugged features and an archaising style harking back to the Middle and New Kingdoms.

For other examples of Taharqa shabtis, cf. J. Taylor, Egypt and Nubia, Harvard, 1991, pp.43-44, no. 53 and D. A. Welsby and J. R. Anderson, Sudan: Ancient Treasures, London, 2004, p. 295, no. 293.

#### AN EGYPTIAN GREY STONE SHABTI FOR KING SENKAMANISKEN SUDAN, KUSHITE PERIOD, 26TH DYNASTY, CIRCA 643-623 B.C.

The mummiform figure holding a hoe and a mattock at the front and a seed sack and long whip at the back, wearing a false plaited beard and a wig with a double uraeus, the body incised with six rows of text including Chapter 6b of the Book of the Dead and cartouches with the king's names, 7in (18cm) high

£40,000 - 60,000 €49,000 - 73,000 US\$67,000 - 100,000

#### Provenance:

Spanish private collection, acquired in 1995. Spanish private collection, formed prior to 1983.

Senkamanisken was the grandson of Taharka. During excavation of his pyramid tomb at Nuri, over 1,200 of his shabtis were found. The double uraeus seen on this lot is unique to Senkamanisken's shabtis. Cf. P. Lacovara, et al., The Collector's Eye: Masterpieces of Egyptian Art from The Thalassic Collection, Ltd., 2001, p. 130.

Due to their similarity to 25th and 26th Dynasty shabtis for Theban officials, most of which were related to the Kushite royal family, it was presumed that all of these shabtis were made in Thebes and sent down to Kush: J. R. Anderson, Sudan: Ancient Treasures, London, 2004, p. 295, no. 294.

For further discussion and exempla, cf. J-F. Aubert, Statuettes égyptiennes, chaouabtis, ouchebtis, Paris, 1974, pp. 191-192; D. Dunham, The Royal Cemeteries of Kush, vol. II, Nuri, Boston, 1955, p. 282, no. 768; H.M. Stewart, Egyptian Shabtis, Princes Risborough, 1995, p. 28, no. 28.





#### AN EGYPTIAN BRONZE TRIAD LATE PERIOD, CIRCA 664-30 B.C.

Depicting Osiris, wearing the atef crown flanked by Horus the child, wearing the double crown with sidelock and on the other side, Isis, surmounted by the sun disc with horns, shown standing on a hollow plinth, the front cast in relief with the child god squatting, flanked by Isis and Thoth in adoration, a panel on either side with falcon headed soul of Pe and jackal headed soul of Nekhen, the reverse of the plinth has panels with a lotus on stand and a human male figure, probably the deceased in jubilation posture, next to the square opening, an inscription giving the donor's identity: 'May Isis give life to Wedja-hor son of Pa-di-hor', the short sides of the plinth with further souls of Pe and Nekhen, 5in (13cm) high, 4in, (10cm) wide, mounted

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

Deceased Estate, Cardiff, South Wales, acquired in the 1920s





AN EGYPTIAN BRONZE OF HARPOCRATES LATE PERIOD, CIRCA 664-332 B.C.

The seated god depicted seating nude, with his right forefinger held to his mouth, wearing a tall headdress with frontal uraeus, sun-disc and plumes and sidelock of youth, 6in (15cm) high, mounted

£2,500 - 3,000 €3,000 - 3,600 US\$4,200 - 5,000

#### Provenance:

French private collection, Paris, acquired before World War II, thence by descent.

AN EGYPTIAN BRONZE FIGURE OF ISIS AND HORUS LATE PERIOD, CIRCA 664-30 B.C.

The seated goddess depicted suckling the infant Horus, wearing the horned solar disc headdress, the tripartite wig incised with vulture wings and striations, 73/4in (20cm) high, mounted

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

Jean-Robert LeShufy Collection, New York, acquired in the 1960s.



AN EGYPTIAN BRONZE CAT LATE PERIOD, CIRCA 664-332 B.C.

Hollow cast, depicted seated upright with the forepaws together, the long tail curled around right side of the body, with details of the face incised, the both ears pierced, 61/4in (16cm) high, mounted

£15,000 - 20,000 €18,000 - 24,000 US\$25,000 - 33,000

#### Provenance:

American private collection, California, acquired in the 1950s-1960s.



#### AN EGYPTIAN BRONZE AND WOOD IBIS LATE PERIOD - PTOLEMAIC, CIRCA 664-30 B.C.

Depicted recumbent, the body carved from wood and covered in gesso, the separately-cast bronze legs and the head inserted into the body, with a bronze tail section, the legs folded under with tangs beneath and long talons, with a sinuous neck and a curving beak, the eyes inlaid, 161/2in (42cm) long

£20,000 - 30,000 €24,000 - 36,000 US\$33,000 - 50,000

#### Provenance:

American private collection, California, acquired in the 1960s.



#### 170 W

#### AN EGYPTIAN LIMESTONE STELE NEW KINGDOM, 19TH-20TH DYNASTY, CIRCA 1295-1070 B.C.

Carved in two registers, Osiris seated on the left with a goddess behind him, a central offering table. and Anubis on the right side, below on the right hand side the end of a funeral procession with a mummy in its coffin lying under a canopy in a boatshaped bier, and below two females kneeling facing each other in a gesture of mourning, imitating the mourning of Isis and Nephthys at funerals, another smaller mourning figure kneels in the right corner, to the left, two priests and a smaller standing figure behind, the first priest is making a libation over another heaped offering table, beyond the offering table sits the owner of the stele and his wife, the deceased is called Men with the title for wood worker, his wife's name begins, Re(me)tju.... a female figure stands behind the couple, 201/4in x 17in (52cm x 44cm), mounted

£5,000 - 7,000 €6,100 - 8,500 US\$8,300 - 12,000

#### Provenance:

French private collection, South of France, formed in the 1960s-1970s.

It has been suggested that the stele may have been made for a Deir el-Medina workman.





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#### AN EGYPTIAN LIMESTONE CANOPIC JAR HEAD OF IMSETY NEW KINGDOM, CIRCA 1550-1075 B.C.

With finely-carved facial features, the details of the eyes and brows added in black paint, 4¾in (12.1cm) high, an old collection label on the top of the head

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

UK private collection, South London, acquired from Juergens Inc., New York, in 1979, accompanied by a copy of the receipt.



#### 172 \*

# AN EGYPTIAN SANDSTONE RELIEF FRAGMENT PTOLEMAIC PERIOD, CIRCA 332-30 B.C.

Depicting in sunken relief, a pair of rearing and facing cobras, the cobra on the left wearing the White Crown of Upper Egypt, the cobra on the right wearing the Red Crown of Lower Egypt, 7½in (19cm) long, mounted

£8,000 - 10,000 €9,700 - 12,000 US\$13,000 - 17,000

#### Provenance:

With Rupert Wace Ancient Art, London. American private collection. French private collection, acquired before 1975.

#### Literature:

Cobras are often depicted with shen rings or sitting on baskets to identify them as nebtj (lords) of Upper and Lower Egypt. For similar examples, cf. P. Germond, *Das Tier im Alten Ägypten*, Munich, 2001, no. 250.

173 \*

# AN EGYPTIAN LIMESTONE RELIEF FRAGMENT LATE PERIOD, 26TH DYNASTY, CIRCA 664-525 B.C.

From a tomb, composed of hieroglyphs in sunken relief, a kneeling figure in a short wig for 'the tired one' above, probably 'the swallow' below, 5% in (14.6cm) high, mounted

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

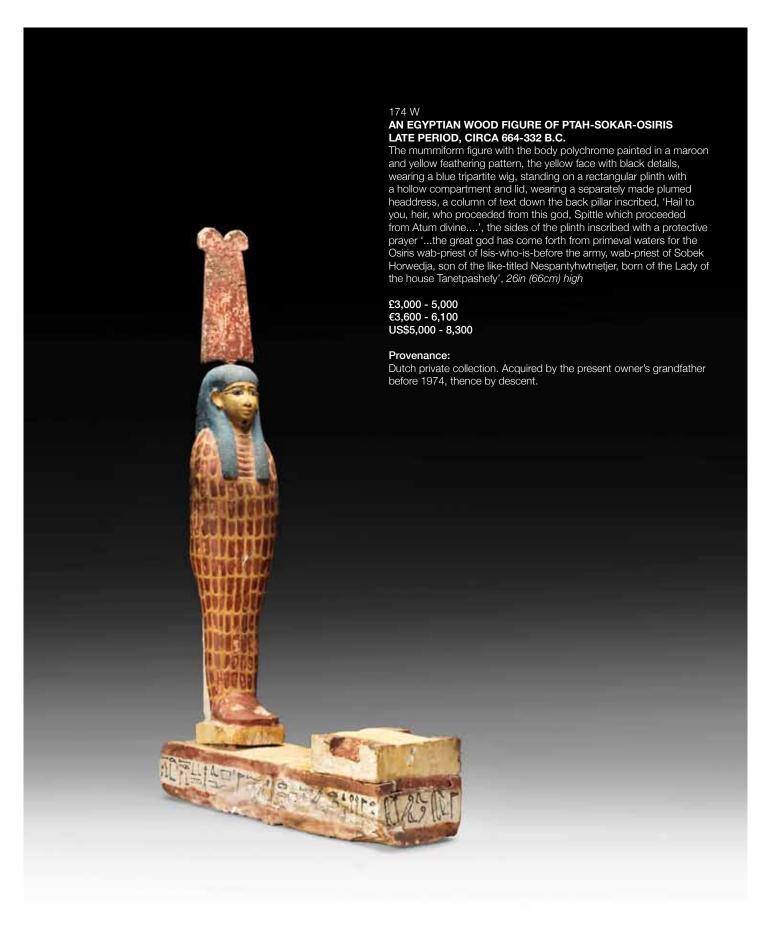
With Laura Bosc, Paris, in 2007. With Dominique Thirion, Brussels, Belgium. Belgian private collection, acquired before 1983.

#### Literature:

The hieroglyph of the human form is in an archaistic style which suggests that it comes from a Theban tomb of the Late Period. Cf. O. Perdu and N. Mahéo, *La Collection Egyptienne du Musée de Picardie*, Paris, 1994, no.3.



173



#### AN EGYPTIAN WOOD AND GESSO PAINTED SARCOPHAGUS MASK

#### LATE PERIOD, CIRCA 664-30 B.C.

The polychrome mask carved with large pensive eyes outlined in black, the face coloured pale yellow, the striped headdress with central scarab, 113/4in (30cm) high

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

Dutch private collection, Mr B., acquired on the Brussels art market. Belgian private collection formed before 1983.



175

#### 176 \* W

#### AN EGYPTIAN WOOD SARCOPHAGUS MASK LATE PERIOD, CIRCA 664-332 B.C.

The facial features carved, with the remains of some polychrome gesso decoration including white on the face, the eyes inlaid with bronze and white stone eyes, 21in (53.5cm) long, mounted

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

American private collection, Los Angeles, acquired before 1983.



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### AN EGYPTIAN BRONZE HORUS FALCON SARCOPHAGUS LATE PERIOD, CIRCA 664-30 B.C.

The falcon deity wearing the double crown, perched with closed wings crossing over the tail feathers, with finely incised details on the feathers and claws, standing with arched talons on a corniced hollow sarcophagus, 6% in (17cm) high, 7in (18cm) long

£12,000 - 15,000 €15,000 - 18,000 US\$20,000 - 25,000

#### Provenance:

French private collection, Normandy, acquired in the 1970s.



#### 178 AN EGYPTIAN BRONZE CAT LATE PERIOD, CIRCA 664-332 B.C.

Hollow cast, depicted seated on its haunches with the forepaws together and the tail wrapped around the right side of the body, with large round eyes and erect ears, 5in (12.5cm) high, mounted

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

French private collection, acquired in 1998. French private collection, formed between the 1930s-1950s.





AN EGYPTIAN BRONZE STATUE OF AN OFFERING BEARER THIRD INTERMEDIATE PERIOD - LATE PERIOD, CIRCA 1075-332 B.C.

The striding figure stepping forth, wearing a kilt, a tall jar resting on his left shoulder, 3% in (9.5cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

Sotheby's New York, 17 December 1998, lot 390. Brudy Collection, formed circa 1950s-1970s, mostly acquired at auction in London and New York.

#### Literature:

Cf. G. Roeder, Ägyptische Bronzefiguren, Berlin, 1956, pl. 27c, 27d.

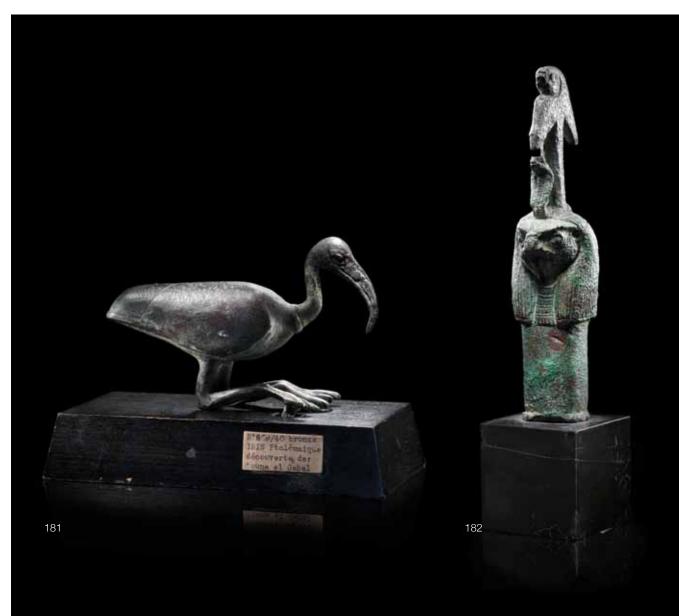
#### AN EGYPTIAN BRONZE SEATED FIGURE OF OSIRIS LATE PERIOD, CIRCA 664-30 B.C.

The hollow cast, enthroned deity holding the crook and flail and wearing the atef crown, the face with recessed eyes with traces of inlay, 7in (18cm) high, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

Bonhams, London, 1st May 2008, lot 105. UK private collection, formed before 1970.



#### AN EGYPTIAN BRONZE IBIS LATE PERIOD - PTOLEMAIC PERIOD, CIRCA 664-30 B.C.

Depicted reclining with the legs folded under, the details of the spurred tail and curving beak incised, 5in (13cm) long, mounted

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

American private collection, California, acquired in the 1950s-1960s.

#### AN EGYPTIAN BRONZE HORUS FINIAL LATE PERIOD, CIRCA 664-332 B.C.

The falcon-headed god wearing the tripartite wig with frontal uraeus, surmounted by a harpoon in the shape of a falcon, the semi-opened wings simulating the barbs, 41/2in (11.5cm) high, mounted

£3,000 - 4,000 €3,600 - 4,900 US\$5,000 - 6,700

### Provenance:

Edmund M. Kaufman Collection, California, purchased from Charles Ede Ltd, London, in 1981.

#### Literature:

This finial would have probably been used during religious rituals. In Egyptian mythology the harpoon was the weapon used by Re-Horus to defeat the snake god Apophis.





#### A PAIR OF EGYPTIAN LIMESTONE SCULPTORS' MODELS OF **CROCODILES**

#### PTOLEMAIC PERIOD, CIRCA 3RD-2ND CENTURY B.C.

Each depicted lying on a rectangular base with their tails curved and their heads slightly raised, the details incised, 4% in (12cm) long (2)

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

Pierre Bergé, Paris, 29 May 2008, lot 522. Ghertzos Collection, Zurich, Switzerland, formed in the 1960s-70s.

#### Literature:

Sculptors' models were used to teach Greek craftsmen to ensure that they retained the Egyptian aesthetic and proportions. For similar examples and further discussion, cf. C.C. Edgar, Sculptors' Studies and Unfinished Works, Cairo, 1906.

#### AN EGYPTIAN LIMESTONE SCULPTORS' MODEL OF BES PTOLEMAIC PERIOD, CIRCA 332-30 B.C.

Preserving the grotesque head from a statuette depicting the dwarf god Bes, carved in high relief with finely incised details including the mane and ears, with beard with multiple curling locks, the remnants of the base of a feather crown and traces of paint remaining, 6in (15.2cm) high, mounted

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

Piasa, Paris, 18 March, 2003, lot 703. Otto Wegener Collection, Munich, Germany, between 1950-1968.

The Egyptian dwarf-like deity Bes was an apotropaic god and the protector of the household, children, and childbirth. He is therefore often found in domestic locations. For discussion of sculptors' models, see J.F. Romano, The Bes-Image in Pharaonic Egypt, New York, 1989; G. Steindorff, Catalogue of the Egyptian Sculpture in the Walters Art Gallery, Baltimore, 1946, pl.XCIV, 618.



# AN EGYPTIAN INDURATED LIMESTONE BUST OF ISIS LATE PERIOD, CIRCA 664-30 B.C.

Shown wearing a finely striated tripartite wig, surmounted by a modius of uraei, pierced in the centre, a recessed hole in her forehead for a now missing uraeus, her finely carved features with recessed eyes for inlay, depicted wearing an incised broad collar, her right hand cupped around her breast in the act of suckling the Infant Horus, now missing, 3% (8.5cm) high

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

English private family collection since the late 1960s, by descent from the owner's grandfather, acquired during the 1940s.





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#### AN EGYPTIAN CARTONNAGE CHILD'S MUMMY FOOTCASE ROMAN PERIOD, CIRCA 3RD CENTURY A.D.

The front painted in ochre on red with feet wearing thonged sandals, depicted with rectangular toe nails, the base showing the soles of the sandals decorated in black with a scale pattern, the sides each showing a ba bird above three seated deities within panels, outlined in black against a turquoise ground, 61/2in (16.5cm) high, 5in (13cm) wide and 5in (13cm) deep

£4,000 - 6,000 €4,900 - 7,300 US\$6,700 - 10,000

#### Provenance:

American private collection, Midwest, acquired in 2010. With Archea Ancient Art, Amsterdam, Netherlands. UK private collection, acquired before 1980.

#### Literature:

For a similar design of thonged sandals painted on a linen shroud, dated A.D. 200-250, cf. S. Walker and M. Bierbrier, Ancient Faces, Mummy Portraits from Roman Egypt, British Museum, 1997, p.114 no 110.

187 \*

#### AN EGYPTIAN PAINTED WOOD RIGHT FOOT LATE PERIOD, CIRCA 664-332 B.C.

Carved anatomically and painted with a flesh colour, white used to delineate the toenails, the remains of a sandal thong added in relief, a hole for attachment of a wooden plug at the back, 9in (23cm) long, mounted

£1,500 - 2,000 €1,800 - 2,400 US\$2,500 - 3,300

#### Provenance:

Bonhams, London, 29 April 2009, lot 421. UK private collection, formed in 1920s-1970s.



#### AN EGYPTIAN CARTONNAGE MUMMY MASK LATE PERIOD, CIRCA 664-30 B.C.

Polychrome painted on gesso, wearing a blue tripartite wig secured with a fillet composed of a band of geometric motifs and a a row of text mentioning the funerary god Ptah-Sokar, the pale pink face with finely added details, shown wearing a bead necklace and a broad collar, 131/2in (34.5cm) long

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

Dutch private collection. Acquired by the present owner's grandfather before 1974, thence by descent.







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#### AN EGYPTIAN BRONZE FIGURE OF SEKHMET LATE PERIOD, CIRCA 664-332 B.C.

The lion-headed goddess wearing a close fitting robe, a striated tripartite wig and sun-disc with uraeus, standing on an integral rectangular base, 6 % in (16.8cm) high, mounted

£3,000 - 5,000 €3,600 - 6,100 US\$5,000 - 8,300

#### Provenance:

Jean-Robert LeShufy Collection, New York, acquired in the 1960s.

#### AN EGYPTIAN BRONZE SISTRUM HANDLE LATE PERIOD, CIRCA 664-30 B.C.

The cylindrical handle with a Hathor head on either side, the details of her wig and aegis finely incised, flanked by two uraei and surmounted by a cat, 6in (15cm) high

£2,000 - 3,000 €2,400 - 3,600 US\$3,300 - 5,000

#### Provenance:

I. Cogoni Collection, Holland, acquired between 1960 and 1978.



191 W A ROMANO-EGYPTIAN LIMESTONE TORSO OF HARPOCRATES CIRCA 1ST-2ND CENTURY A.D.

The youthful god depicted nude, standing with the weight on his right leg, his left leg relaxed, the plait of his side-lock of youth falling in front of his left shoulder, folds of drapery on his shoulder and hanging over his arm, 22½in (57.1cm) high, mounted

£8,000 - 12,000 €9,700 - 15,000 US\$13,000 - 20,000

#### Provenance:

UK private collection, London, acquired in the 1970s. French private collection, Aix-en-Provence, acquired in the 1950s.





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The Seller does not make or agree to make any representation of fact or contractual promise, Guarantee or warranty and undertakes no obligation or duty, whether in contract or in tort (other than to the eventual Buyer as set out above), in respect of the accuracy or completeness of any statement or representation made by him or on his behalf, which is in any way descriptive of any Lot or as to the anticipated or likely selling price of any Lot. Other than as set out above, no statement or representation in any way descriptive of a Lot or any Estimate is incorporated into any Contract for Sale between a Seller and a Buyer.

#### Bonhams' responsibility to you

You have the opportunity of examining the Lot if you want to and the Contract for Sale for a Lot is with the Seller and not with Bonhams; Bonhams acts as the Seller's agent only (unless Bonhams sells the Lot as principal).

Bonhams undertakes no obligation to you to examine, investigate or carry out any tests, either in sufficient depth or at all, on each Lot to establish the accuracy or otherwise of any Descriptions or opinions given by Bonhams, or by any person on Bonhams' behalf, whether in the Catalogue or elsewhere.

You should not suppose that such examinations, investigations or tests have occurred.

Bonhams does not make or agree to make any representation of fact, and undertakes no obligation or duty (whether in contract or tort) in respect of the accuracy or completeness of any statement or representation made by Bonhams or on

Bonhams' behalf which is in any way descriptive of any Lot or as to the anticipated or likely selling price of any Lot. No statement or representation by Bonhams or on its behalf in any way descriptive of any Lot or any Estimate is incorporated into our Buyer's Agreement.

#### Alterations

Descriptions and Estimates may be amended at Bonhams' discretion from time to time by notice given orally or in writing before or during a Sale.

THE LOT IS AVAILABLE FOR INSPECTION AND YOU MUST FORM YOUR OWN OPINION IN RELATION TO IT. YOU ARE STRONGLY ADVISED TO EXAMINE ANY LOT OR HAVE IT EXAMINED ON YOUR BEHALF BEFORE THE SALE.

#### 4. CONDUCT OF THE SALE

Our Sales are public auctions which persons may attend and you should take the opportunity to do so. We do reserve the right at our sole discretion to refuse admission to our premises or to any Sale without stating a reason. We have complete discretion as to whether the Sale proceeds, whether any Lot is included in the Sale, the manner in which the Sale is conducted and we may offer Lots for Sale in any order we choose notwithstanding the numbers given to Lots in the Catalogue. You should therefore check the date and starting time of the Sale, whether there have been any withdrawals or late entries. Remember that withdrawals and late entries may affect the time at which a Lot you are interested in is put up for Sale. We have complete discretion to refuse any bid, to nominate any bidding increment we consider appropriate, to divide any Lot, to combine two or more Lots, to withdraw any Lot from a Sale and, before the Sale has been closed, to put up any Lot for auction again. Auction speeds can exceed 100 Lots to the hour and bidding increments are generally about 10%. However these do vary from Sale to Sale and from Auctioneer to Auctioneer. Please check with the department organising the Sale for advice on this. Where a Reserve has been applied to a Lot, the Auctioneer may, in his absolute discretion, place bids (up to an amount not equalling or exceeding such Reserve) on behalf of the Seller. We are not responsible to you in respect of the presence or absence of any Reserve in respect of any Lot. If there is a Reserve it will normally be no higher than the lower figure for any Estimate in the Catalogue, assuming that the currency of the Reserve has not fluctuated adversely against the currency of the Estimate. The Buver will be the Bidder who makes the highest bid acceptable to the Auctioneer for any Lot (subject to any applicable Reserve) to whom the Lot is knocked down by the Auctioneer at the fall of the Auctioneer's hammer. Any dispute as to the highest acceptable bid will be settled by the Auctioneer in his absolute discretion. All bids tendered will relate to the actual Lot number announced by the Auctioneer. An electronic currency converter may be used at the Sale. This equipment is provided as a general guide as to the equivalent amount in certain currencies of a given bid. We do not accept any responsibility for any errors which may occur in the use of the currency converter. We may use video cameras to record the Sale and may record telephone calls for reasons of security and to assist in solving any disputes which may arise in relation to bids made at the Sale. At some Sales, for example, jewellery Sales, we may use screens on which images of the Lots will be projected. This service is provided to assist viewing at the Sale. The image on the screen should be treated as an indication only of the current Lot. It should be noted that all bids tendered will relate to the actual Lot number announced by the Auctioneer. We do not accept any responsibility for any errors which may occur in the use of the screen.

#### 5. BIDDING

We do not accept bids from any person who has not completed and delivered to us one of our *Bidding Forms*, either our *Bidder* Registration Form, Absentee *Bidding Form* or Telephone *Bidding Form*. You will be asked for proof of identity, residence and references, which, when asked for, you must supply if your bids are to be accepted by us. Please bring your passport, driving licence (or similar photographic proof of identity) and proof of address. We may request a deposit from you before allowing you to bid. We may refuse entry to a *Sale* to any person even if that person has completed a *Bidding Form*.

#### **Bidding in person**

You should come to our Bidder registration desk at the Sale venue and fill out a Bidder Registration Form on (or, if possible, before) the day of the Sale. The bidding number system is sometimes referred to as "paddle bidding". You will be issued with a large card (a "paddle") with a printed number on it. This will be attributed to you for the purposes of the Sale. Should you be a successful Bidder you will need to ensure that your number can be clearly seen by the Auctioneer and that it is your number which is identified as the Buver's. You should not let anyone else use your paddle as all Lots will be invoiced to the name and address given on your Bidder Registration Form. Once an invoice is issued it will not be changed. If there is any doubt as to the Hammer Price of, or whether you are the successful Bidder of, a particular Lot, you must draw this to the attention of the Auctioneer before the next Lot is offered for Sale. At the end of the Sale, or when you have finished bidding please return your paddle to the Bidder registration desk.

# Bidding by telephone (only available on lots with a low estimate greater than £400)

If you wish to bid at the Sale by telephone, please complete a Telephone Bidding Form, which is available from our offices or in the Catalogue. Please then return it to the office responsible for the Sale at least 24 hours in advance of the Sale. It is your responsibility to check with our Bids Office that your bid has been received. Telephone calls will be recorded. The telephone bidding facility is a discretionary service and may not be available in relation to all Lots. We will not be responsible for bidding on your behalf if you are unavailable at the time of the Sale or if the telephone connection is interrupted during bidding. Please contact us for further details.

#### Bidding by post or fax

Absentee Bidding Forms can be found in the back of this Catalogue and should be completed and sent to the office responsible for the Sale. It is in your interests to return your form as soon as possible, as if two or more Bidders submit identical bids for a Lot, the first bid received takes preference. In any event, all bids should be received at least 24 hours before the start of the Sale. Please check your Absentee Bidding Form carefully before returning it to us, fully completed and signed by you. It is your responsibility to check with our Bids Office that your bid has been received. This additional service is complimentary and is confidential. Such bids are made at your own risk and we cannot accept liability for our failure to receive and/or place any such bids. All bids made on your behalf will be made at the lowest level possible subject to Reserves and other bids made for the Lot. Where appropriate your bids will be rounded down to the nearest amount consistent with the Auctioneer's bidding increments. New Bidders must also provide proof of identity and address when submitting bids. Failure to do this will result in your bid not being placed.

#### Bidding via the internet

Please visit our *Website* at http://www.bonhams.com for details of how to bid via the internet.

#### Bidding through an agent

Bids will be accepted as placed on behalf of the person named as the principal on the *Bidding Form* although we may refuse to accept bids from an agent on behalf of a principal and will require written confirmation from the principal confirming the agent's authority to bid. Nevertheless, as the *Bidding Form* explains, any person placing a bid as agent on behalf of another (whether or not he has disclosed that fact or the identity of his principal) will be jointly and severally liable with the principal to the *Seller* and to *Bonhams* under any contract resulting from the acceptance of a bid. Subject to the above, please let us know if you are acting on behalf of another person when bidding for *Lots* at the *Sale*.

Equally, please let us know if you intend to nominate another person to bid on your behalf at the Sale unless this is to be carried out by us pursuant to a Telephone or Absentee Bidding Form that you have completed. If we do not approve the agency arrangements in writing before the Sale, we are entitled to assume that the person bidding at the Sale is bidding on his own behalf. Accordingly, the person bidding at the Sale will be the Buyer and will be liable to pay the Hammer Price and Buyer's Premium and associated charges. If we approve the

identity of your client in advance, we will be in a position to address the invoice to your principal rather than you. We will require proof of the agent's client's identity and residence in advance of any bids made by the agent on his behalf. Please refer to our Conditions of Business and contact our Customer Services Department for further details.

### 6. CONTRACTS BETWEEN THE BUYER AND SELLER AND THE BUYER AND BONHAMS

On the Lot being knocked down to the Buver, a Contract for Sale of the Lot will be entered into between the Seller and the Buyer on the terms of the Contract for Sale set out in Appendix 1 at the back of the Catalogue. You will be liable to pay the Purchase Price, which is the Hammer Price plus any applicable VAT. At the same time, a separate contract is also entered into between us as Auctioneers and the Buyer. This is our Buyer's Agreement, the terms of which are set out in Appendix 2 at the back of the Catalogue. Please read the terms of the Contract for Sale and our Buver's Agreement contained in the Catalogue in case you are the successful Bidder. We may change the terms of either or both of these agreements in advance of their being entered into, by setting out different terms in the Catalogue and/or by placing an insert in the Catalogue and/or by notices at the Sale venue and/or by oral announcements before and during the Sale. You should be alert to this possibility of changes and ask if there have been

### 7. BUYER'S PREMIUM AND OTHER CHARGES PAYABLE BY THE BUYER

Under the Buyer's Agreement, a premium (the Buyer's Premium) is payable to us by the Buyer in accordance with the terms of the Buyer's Agreement and at rates set out below, calculated by reference to the Hammer Price and payable in addition to it. Storage charges and Expenses are also payable by the Buyer as set out in the Buyer's Agreement. All the sums payable to us by the Buyer are subject to VAT. For this Sale the following rates of Buyer's Premium will be payable by Buyers of Lots:

25% up to £50,000 of the *Hammer Price*20% from £50,001 to £1,000,000 of the *Hammer Price*12% from £1,000,001 of the *Hammer Price* 

On certain Lots, which will be marked "AR" in the Catalogue and which are sold for a Hammer Price of €1,000 or greater (converted into the currency of the Sale using the European Central Bank Reference rate prevailing on the date of the Sale), the Additional Premium will be payable to us by the Buyer to cover our Expenses relating to the payment of royalties under the Artists Resale Right Regulations 2006. The Additional Premium will be a percentage of the amount of the Hammer Price calculated in accordance with the table below, and shall not exceed €12,500 (converted into the currency of the Sale using the European Central Bank Reference rate prevailing on the date of the Sale).

 Hammer Price
 Percentage amount

 From €0 to €50,000
 4%

 From €50,000.01 to €200,000
 3%

 From €200,000.01 to €350,000
 1%

 From €350,000.01 to €500,000
 0.5%

 Exceeding €500,000
 0.25%

#### 8. VAT

The prevailing rate of VAT at the time of going to press is 20%, but this is subject to government change and the rate payable will be the rate in force on the date of the Sale.

The following symbols are used to denote that VAT is due on the Hammer Price and Buyer's Premium:

- VAT at the prevailing rate on Hammer Price and Buyer's Premium
- Ω VAT on imported items at the prevailing rate on Hammer Price and Buyer's Premium
- \* VAT on imported items at a preferential rate of 5% on Hammer Price and the prevailing rate on Buyer's Premium

- G Gold bullion exempt from VAT on the Hammer Price and subject to VAT at the prevailing rate on the Buyer's Premium
- Zero rated for VAT, no VAT will be added to the Hammer Price or the Buver's Premium
- α Buyers from within the EU: VAT is payable at the prevailing rate on just the Buyer's Premium (NOT the Hammer Price). Buyers from outside the EU: VAT is payable at the prevailing rate on both Hammer Price and Buyer's Premium. If a Buyer, having registered under a non-EU address, decides that the item is not to be exported from the EU, then he should advise Bonhams immediately.

In all other instances no VAT will be charged on the Hammer Price, but VAT at the prevailing rate will be added to Buyer's Premium which will be invoiced on a VAT inclusive basis.

#### 9. PAYMENT

It is of critical importance that you ensure that you have readily available funds to pay the *Purchase Price* and the *Buyer's Premium* (plus *VAT* and any other charges and *Expenses* to us) in full before making a bid for the *Lot*. If you are a successful *Bidder*, payment will be due to us by 4.30 pm on the second working day after the *Sale* so that all sums are cleared by the eighth working day after the *Sale*. Unless agreed by us in advance payments made by anyone other than the registered *Buyer* will not be accepted. Payment will have to be by one of the following methods (all cheques should be made payable to Bonhams 1793 Limited). *Bonhams* reserves the right to vary the terms of payment at any time.

Sterling personal cheque drawn on a UK branch of a bank or building society: all cheques must be cleared before you can collect your purchases;

Bankers draft/building society cheque: if you can provide suitable proof of identity and we are satisfied as to the genuineness of the draft or cheque, we will allow you to collect your purchases immediately:

Cash: you may pay for Lots purchased by you at this Sale with notes, coins or travellers cheques in the currency in which the Sale is conducted (but not any other currency) provided that the total amount payable by you in respect of all Lots purchased by you at the Sale does not exceed £3,000, or the equivalent in the currency in which the Sale is conducted, at the time when payment is made. If the amount payable by you for Lots exceeds that sum, the balance must be paid otherwise than in coins, notes or travellers cheques;

Sterling travellers cheques: you may pay for *Lots* purchased by you at this *Sale* with travellers cheques, provided the total amount payable by you in respect of all *Lots* purchased by you at the *Sale* does not exceed £3,000. We will need to see your passport if you wish to pay using travellers cheques;

**Bank transfer:** you may electronically transfer funds to our *Trust Account*. If you do so, please quote your paddle number and invoice number as the reference. Our *Trust Account* details are as follows:

Bank: National Westminster Bank Plc Address: PO Box 4RY 250 Regent Street London W1A 4RY Account Name: Bonhams 1793 Limited Trust Account Account Number: 25563009 Sort Code: 56-00-77

IBAN Number: GB 33 NWBK 560027 25563009

If paying by bank transfer, the amount received after the deduction of any bank fees and/or conversion of the currency of payment to pounds sterling must not be less than the sterling amount payable, as set out on the invoice.

Debit cards: there is no additional charge for purchases made with personal debit cards, issued by a UK bank. Debit cards issued by an overseas bank, deferred and company debit cards and all credit cards will be subject to a 2% surcharge;

**Union Pay cards**: these are now accepted at our Knightsbridge and New Bond Street offices, when presented in person by the card holder. These cards are subject to a 2% surcharge.

Credit cards: Visa and Mastercard only. Please note there is a 2% surcharge on the total invoice value when payments are made using credit cards. It may be advisable to notify your card provider of your intended purchase in advance to reduce delays caused by us having to seek authority when you come to pay. If you have any questions with regard to payment, please contact our Customer Services Department.

#### 10. COLLECTION AND STORAGE

The Buyer of a Lot will not be allowed to collect it until payment in full and in cleared funds has been made (unless we have made a special arrangement with the Buyer). For collection and removal of purchased Lots, please refer to Sale Information at the front of the Catalogue. Our offices are open 9.00am – 5pm Monday to Friday. Details relating to the collection of a Lot, the storage of a Lot and our Storage Contractor after the Sale are set out in the Catalogue.

#### 11. SHIPPING

Please refer all enquiries to our shipping department on: Tel: +44 (0)20 8963 2850/2852 Fax: +44 (0)20 8963 2805 Email: shipping@bonhams.com

#### 12. EXPORT/TRADE RESTRICTIONS

It is your sole responsibility to comply with all export and import regulations relating to your purchases and also to obtain any relevant export and/or import licence(s). Export licences are issued by Arts Council England and application forms can be obtained from its Export Licensing Unit. The detailed provisions of the export licencing arrangements can be found on the ACE website http://www.artscouncil.org.uk/what-we-do/supportingmuseums/cultural-property/export-controls/export-licensing/ or by phoning ACE on +44 (0)20 7973 5188. The need for import licences varies from country to country and you should acquaint yourself with all relevant local requirements and provisions. The refusal of any import or export licence(s) or any delay in obtaining such licence(s) shall not permit the rescission of any Sale nor allow any delay in making full payment for the Lot. Generally, please contact our shipping department before the Sale if you require assistance in relation to export regulations.

#### 13. CITES REGULATIONS

Please be aware that all Lots marked with the symbol Y are subject to CITES regulations when exporting these items outside the EU. These regulations may be found at http://www.defra.gov.uk/ahvla-en/imports-exports/cites/ or may be requested from:

Animal Health and Veterinary Laboratories Agency (AHVLA) Wildlife Licencing Floor 1, Zone 17, Temple Quay House 2 The Square, Temple Quay

BRISTOL BS1 6EB Tel: +44 (0) 117 372 8774

#### 14. THE SELLERS AND/OR BONHAMS' LIABILITY

Other than any liability of the Seller to the Buyer of a Lot under the Contract for Sale, neither we nor the Seller are liable (whether in negligence or otherwise) for any error or misdescription or omission in any Description of a Lot or any Estimate in respect of it, whether contained in the Catalogue or otherwise, whether given orally or in writing and whether given before or during the Sale. Neither we nor the Seller will be liable for any loss of Business, profits, revenue or income, or for loss of reputation, or for disruption to Business or wasted time on the part of management or staff, or for indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence, other tort, breach of contract (if any) or statutory duty, restitutionary claim or otherwise. In any circumstances where we and/or the Seller are liable in relation to any Lot or

any Description or Estimate made of any Lot, or the conduct of any Sale in relation to any Lot, whether in damages, for an indemnity or contribution, or for a restitutionary remedy or otherwise, our and/or the Seller's liability (combined, if both we and the Seller are liable) will be limited to payment of a sum which will not exceed by way of maximum the amount of the Purchase Price of the Lot irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from any negligence, other tort, breach of contract (if any) or statutory duty or otherwise. Nothing set out above will be construed as excluding or restricting (whether directly or indirectly) our liability or excluding or restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by our negligence (or by the negligence of any person under our control or for whom we are legally responsible), or (iii) acts or omissions for which we are liable under the Occupiers Liability Act 1957, or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law or (v) our undertakings under paragraphs 9 (in relation to specialist Stamp or Book Sales only) and 10 of the Buyer's Agreement. The same applies in respect of the Seller, as if references to us in this paragraph were substituted with references to the Seller.

#### 15. BOOKS

As stated above, all *Lots* are sold on an "as is" basis, subject to all faults, imperfections and errors of *Description* save as set out below. However, you will be entitled to reject a *Book* in the circumstances set out in paragraph 11 of the *Buyers Agreement*. Please note that *Lots* comprising printed *Books*, unframed maps and bound manuscripts are not liable to *VAT* on the *Buyer's Premium*.

#### 16. CLOCKS AND WATCHES

All Lots are sold "as is", and the absence of any reference to the condition of a clock or watch does not imply that the Lot is in good condition and without defects, repairs or restorations. Most clocks and watches have been repaired in the course of their normal lifetime and may now incorporate parts not original to them. Furthermore, Bonhams makes no representation or warranty that any clock or watch is in working order. As clocks and watches often contain fine and complex mechanisms, Bidders should be aware that a general service, change of battery or further repair work, for which the Buyer is solely responsible, may be necessary. Bidders should be aware that the importation of watches such as Rolex, Frank Muller and Corum into the United States is highly restricted. These watches may not be shipped to the USA and can only be imported personally.

# 17. FIREARMS – PROOF, CONDITION AND CERTIFICATION

#### **Proof of Firearms**

The term "proof exemption" indicates that a firearm has been examined at a Proof House, but not proved, as either (a) it was deemed of interest and not intended for use, or (b) ammunition was not available. In either case, the firearm must be regarded as unsafe to fire unless subsequently proved. Firearms proved for Black Powder should not be used with smokeless ammunition.

The term "Certificate of Unprovability" indicates that a firearm has been examined at a Proof House and is deemed both unsuitable for proof and use. Reproof is required before any such firearm is to be used.

#### **Guns Sold as Parts**

Barrels of guns sold as parts will only be made available for sleeving and measurements once rendered unserviceable according to the Gun Barrel Proof Act of 1968 to 1978 and the Rules of Proof.

#### Condition of Firearms

Comment in this Catalogue is restricted, in general, to exceptional condition and to those defects that might affect the immediate safety of a firearm in normal use. An intending Bidder unable to make technical examinations and assessments is recommended to seek advice from a gunmaker or from a

modern firearms specialist. All prospective *Bidders* are advised to consult the \* of bore and wall-thickness measurements posted in the saleroom and available from the department. *Bidders* should note that guns are stripped only where there is a strong indication of a mechanical malfunction. Stripping is not, otherwise, undertaken. Guns intended for use should be stripped and cleaned beforehand. Hammer guns should have their rebound mechanisms checked before use. The safety mechanisms of all guns must be tested before use. All measurements are approximate.

#### **Original Gun Specifications Derived from Gunmakers**

The Sporting Gun Department endeavours to confirm a gun's original specification and date of manufacture with makers who hold their original records.

### Licensing Requirements Firearms Act 1968 as amended

Bonhams is constantly reviewing its procedures and would remind you that, in the case of firearms or shotguns subject to certification, to conform with current legislation, Bonhams is required to see, as appropriate, your original registered firearms dealer's certificate / shot gun certificate / firearm certificate / museum firearms licence / Section 5 authority or import licence (or details of any exemption from which you may benefit, for instance Crown servant status) for the firearm(s) you have purchased prior to taking full payment of the amount shown on your invoice. Should you not already be in possession of such an authority or exemption, you are required to initially pay a deposit of 95% of the total invoice with the balance of 5% payable on presentation of your valid certificate or licence showing your authority to hold the firearm(s) concerned.

Please be advised that if a successful *Bidder* is then unable to produce the correct paperwork, the *Lot(s)* will be reoffered by *Bonhams* in the next appropriate *Sale*, on standard terms for *Sellers*, and you will be responsible for any loss incurred by *Bonhams* on the original *Sale* to you.

In the case of RFD certificates and Section 5 authorities, we wish to keep an up-to-date copy on file. Please supply us with a Fax or photocopy. It would be helpful if you could send us an updated copy whenever your certificate or authority is renewed or chanced.

Lots marked 'S1' and bearing red labels are Section 1 firearms and require a valid British Firearms certificate, RFD Licence or import licence.

Lots marked 'S2' and bearing blue labels are Section 2 firearms and require a valid British Shotgun certificate, RFD licence or import licence.

Lots marked 'S5' and bearing specially marked red labels are Section 5 prohibited firearms and require a valid Section 5 Authority or import licence.

Lots marked with a '558' and bearing yellow labels are for obsolete calibres and no licence is required unless ammunition is held

Unmarked Lots require no licence.

Please do not hesitate to contact the Modern Sporting Gun Department should you have any queries.

#### **Taxidermy and Related Items**

As a Seller of these articles, Bonhams undertakes to comply fully with Cites and DEFRA regulations. Buyers are advised to inform themselves of all such regulations and should expect the exportation of items to take some time to arrange.

#### 18. FURNITURE

#### **Upholstered Furniture**

Whilst we take every care in cataloguing furniture which has been upholstered we offer no *Guarantee* as to the originality of the wood covered by fabric or upholstery.

#### 19. JEWELLERY

#### ~ Ruby and Jadeite

Ruby and jadeite gemstones of Burmese (Myanmar) origin may not be imported into the US. Rubies and jadeite of non–Burmese origin require certification before import into the US and it is the Buyer's responsibility to obtain all relevant and required export/import licences, certificates and documentation before shipping. Failure by the Buyer to successfully import goods into the US does not constitute grounds for non payment or cancellation of Sale. Bonhams will not be responsible for any additional costs in this regard howsoever incurred.

#### Gemstones

Historically many gemstones have been subjected to a variety of treatments to enhance their appearance. Sapphires and rubies are routinely heat treated to improve their colour and clarity, similarly emeralds are frequently treated with oils or resin for the same purpose. Other treatments such as staining, irradiation or coating may have been used on other gemstones. These treatments may be permanent, whilst others may need special care or re-treatment over the years to retain their appearance. Bidders should be aware that Estimates assume that gemstones may have been subjected to such treatments. A number of laboratories issue certificates that give more detailed Descriptions of gemstones. However there may not be consensus between different laboratories on the degrees, or types of treatment for any particular gemstone. In the event that Bonhams has been given or has obtained certificates for any Lot in the Sale these certificates will be disclosed in the Catalogue. Although, as a matter of policy, Bonhams endeavours to provide certificates from recognised laboratories for certain gemstones, it is not feasible to obtain certificates for each Lot. In the event that no certificate is published in the Catalogue, Bidders should assume that the gemstones may have been treated. Neither Bonhams nor the Seller accepts any liability for contradictions or differing certificates obtained by Buyers on any Lots subsequent to the Sale.

#### **Estimated Weights**

If a stone(s) weight appears within the body of the Description in capital letters, the stone(s) has been unmounted and weighed by Bonhams. If the weight of the stone(s) is stated to be approximate and does not appear in capital letters, the stone(s) has been assessed by us within its/their settings, and the stated weight is a statement of our opinion only. This information is given as a guide and Bidders should satisfy themselves with regard to this information as to its accuracy.

#### Signatures

#### 1. A diamond brooch, by Kutchinsky

When the maker's name appears in the title, in Bonhams' opinion the piece is by that maker.

#### 2. A diamond brooch, signed Kutchinsky

Has a signature that, in Bonhams' opinion, is authentic but may contain gemstones that are not original, or the piece may have been altered.

#### 3. A diamond brooch, mounted by Kutchinsky

Has been created by the jeweller, in Bonhams' opinion, but using stones or designs supplied by the client.

#### 20. PHOTOGRAPHS

#### **Explanation of** Catalogue Terms

- "Bill Brandt": in our opinion a work by the artist.
- · "Attributed to Bill Brandt": in our opinion probably a work by the artist, but less certainty to authorship is expressed than in the preceding category.
- "Signed and/or titled and/or dated and/or inscribed": in our opinion the signature and/or title and/or date and/or inscription are in the artist's hand.
- "Signed and/or titled and/or dated and/or inscribed in another hand": in our opinion the signature and/or title and/or date and/or inscription have been added by another hand

- The date given is that of the image (negative). Where no further date is given, this indicates that the photographic print is vintage (the term "vintage" may also be included in the Lot Description). A vintage photograph is one which was made within approximately 5-10 years of the negative. Where a second, later date appears, this refers to the date of printing. Where the exact printing date is not known, but understood to be later, "printed later" will appear in the Lot Description.
- Unless otherwise specified, dimensions given are those of the piece of paper on which the image is printed, including any margins. Some photographs may appear in the Catalogue without margins illustrated.
- All photographs are sold unframed unless stated in the Lot Description.

#### 21. PICTURES

#### **Explanation of** Catalogue **Terms**

The following terms used in the Catalogue have the following meanings but are subject to the general provisions relating to Descriptions contained in the Contract for Sale

- "Jacopo Bassano": in our opinion a work by the artist. When the artist's forename(s) is not known, a series of asterisks, followed by the surname of the artist, whether preceded by an initial or not, indicates that in our opinion the work is by the artist named;
- "Attributed to Jacopo Bassano": in our opinion probably a work by the artist but less certainty as to authorship is expressed than in the preceding category;
- "Studio/Workshop of Jacopo Bassano": in our opinion a work by an unknown hand in a studio of the artist which may or may not have been executed under the artist's direction:
- "Circle of Jacopo Bassano": in our opinion a work by a hand closely associated with a named artist but not necessarily his pupil:
- "Follower of Jacopo Bassano": in our opinion a work by a painter working in the artist's style, contemporary or nearly contemporary, but not necessarily his pupil;
- "Manner of Jacopo Bassano": in our opinion a work in the style of the artist and of a later date;
- "After Jacopo Bassano": in our opinion, a copy of a known work of the artist:
- "Signed and/or dated and/or inscribed": in our opinion the signature and/or date and/or inscription are from the hand
- "Bears a signature and/or date and/or inscription": in our opinion the signature and/or date and/or inscription have been added by another hand.

#### 22. PORCELAIN AND GLASS

#### **Damage and Restoration**

For your guidance, in our Catalogues we detail, as far as practicable, recorded all significant defects, cracks and restoration. Such practicable Descriptions of damage cannot be definitive, and in providing Condition Reports, we cannot Guarantee that there are no other defects present which have not been mentioned. Bidders should satisfy themselves by inspection, as to the condition of each Lot. Please see the Contract for Sale printed in this Catalogue. Because of the difficulty in determining whether an item of glass has been repolished, in our Catalogues reference is only made to visible chips and cracks. No mention is made of repolishing, severe or otherwise.

#### 23. VEHICLES

#### The Veteran Car Club of Great Britain

#### **Dating Plates and Certificates**

When mention is made of a Veteran Car Club Dating Plate or Dating Certificate in this Catalogue, it should be borne in mind that the Veteran Car Club of Great Britain using the services of Veteran Car Company Ltd, does from time to time, review cars already dated and, in some instances, where fresh evidence becomes available, the review can result in an alteration of date. Whilst the Club and Veteran Car Company Ltd make every effort to ensure accuracy, the date shown on the Dating Plate or Dating Certificate cannot be guaranteed as correct and intending purchasers should make their own enquiries as to the date of

#### **24. WINF**

Lots which are lying under Bond and those liable to VAT may not be available for immediate collection.

#### Examining the wines

It is occasionally possible to provide a pre-Sale tasting for larger parcels (as defined below). This is generally limited to more recent and everyday drinking wines. Please contact the department for details.

It is not our policy to inspect every unopened case. In the case of wines older than 20 years the boxes will usually have been opened and levels and appearance noted in the Catalogue where necessary. You should make proper allowance for variations in ullage levels and conditions of corks, capsules and labels.

#### Corks and Ullages

Ullage refers to the space between the base of the cork and the wine. Ullage levels for Bordeaux shaped bottles are only normally noted when below the neck and for Burgundy, Alsace, German and Cognac shaped bottles when greater than 4 centimetres (cm). Acceptable ullage levels increase with age; generally acceptable levels are as follows:

Under 15 years old – into neck or less than 4cm 15 to 30 years old – top shoulder (ts) or up to 5cm Over 30 years old – high shoulder (hs) or up to 6cm

It should be noted that ullages may change between publication of the Catalogue and the Sale and that corks may fail as a result of transporting the wine. We will only accept responsibility for Descriptions of condition at the time of publication of the Catalogue and cannot accept responsibility for any loss resulting from failure of corks either before or after this point.

#### Options to buy parcels

A parcel is a number of Lots of identical size of the same wine, bottle size and Description. The Buyer of any of these Lots has the option to accept some or all of the remaining Lots in the parcel at the same price, although such options will be at the Auctioneer's sole discretion. Absentee Bidders are, therefore, advised to bid on the first Lot in a parcel.

#### Wines in Bond

Wines lying in Bond are marked  $\Delta$  and VAT is payable by the purchaser, at the standard rate, on the Hammer Price, unless the wines are to remain under Bond. Buyers requiring their wine to remain in Bond must notify Bonhams at the time of the Sale. The Buyer is then himself responsible for all duty, clearance VAT and other charges that may be payable thereon. All such Lots must be transferred or collected within two weeks of the Sale

Buyers outside the UK must be aware that any forwarding agent appointed to export their purchases must have a movement certificate for Lots to be released under Bond.

#### **Bottling Details and Case Terms**

The following terms used in the Catalogue have the following meanings:

CB - Château bottled

DB - Domaine bottled

EstB - Estate bottled

BB - Bordeaux bottled

BE - Belgian bottled FB - French bottled

GB - German bottled

OB - Oporto bottled

UK – United Kingdom bottled

owc- original wooden case

iwc – individual wooden case

oc - original carton

#### SYMBOLS

#### THE FOLLOWING SYMBOLS ARE USED TO DENOTE

- Y Subject to CITES regulations when exporting these items outside the EU, see clause 13.
- W Objects displayed with a w will be located in the Bonhams Warehouse and will only be available for collection from this location.
- Please note that as a result of recent legislation ruby and jadeite gem stones of Burmese (Myanmar) origin may not be imported into the US. Rubies and jadeite of non-Burmese origin require certification before import into the US.
- Δ Wines lying in Bond.
- AR An Additional Premium will be payable to us by the Buyer to cover our Expenses relating to payment of royalties under the Artists Resale Right Regulations 2006. See clause 7 for details.
- O The Seller has been guaranteed a minimum price for the Lot, either by Bonhams or a third party. This may take the form of an irrevocable bid by a third party, who may make a financial gain on a successful Sale or a financial loss if unsuccessful.
- Bonhams owns the Lot either wholly or partially or may otherwise have an economic interest.
- •, †, \*, G,  $\Omega$ ,  $\alpha$  see clause 8, VAT, for details.

#### **DATA PROTECTION - USE OF YOUR INFORMATION**

Where we obtain any personal information about you, we shall only use it in accordance with the terms of our Privacy Policy (subject to any additional specific consent(s) you may have given at the time your information was disclosed). A copy of our Privacy Policy can be found on our Website www.bonhams. com or requested by post from Customer Services Department, 101 New Bond Street, London, W1S 1SR or by email from info@bonhams.com

#### **APPENDIX 1**

#### CONTRACT FOR SALE

**IMPORTANT:** These terms may be changed in advance of the Sale of the Lot to you, by the setting out of different terms in the Catalogue for the Sale and/or by placing an insert in the Catalogue and/or by notices at the Sale venue and/or by oral announcements before and during the Sale at the Sale venue. You should be alert to this possibility of changes and ask in advance of bidding if there have been any.

Under this contract the Seller's liability in respect of the quality of the Lot, it's fitness for any purpose and its conformity with any Description is limited. You are strongly advised to examine the Lot for yourself and/or obtain an independent examination of it before you buy it.

#### 1 THE CONTRACT

- 1.1 These terms govern the *Contract for Sale* of the *Lot* by the *Seller* to the *Buyer*.
- 1.2 The Definitions and Glossary contained in Appendix 3 in the Catalogue are incorporated into this Contract for Sale and a separate copy can also be provided by Bonhams on request. Where words and phrases are used which are in the List of Definitions, they are printed in italics.
- 1.3 The Seller sells the Lot as the principal to the Contract for Sale, such contract being made between the Seller and you through Bonhams which acts in the sole capacity as the Seller's agent and not as an additional principal. However, if the Catalogue states that Bonhams sells the Lot as principal, or such a statement is made by an announcement by the Auctioneer, or by a notice at the Sale, or an insert in the Catalogue, then Bonhams is the Seller for the purposes of this agreement.
- 1.4 The contract is made on the fall of the *Auctioneer's* hammer in respect of the *Lot* when it is knocked down to you.

#### 2 SELLER'S UNDERTAKINGS

- 2.1 The Seller undertakes to you that:
- 2.1.1 the *Seller* is the owner of the *Lot* or is duly authorised to sell the *Lot* by the owner;
- 2.1.2 save as disclosed in the *Entry* for the *Lot* in the *Catalogue*, the *Seller* sells the *Lot* with full title guarantee or, where the *Seller* is an executor, trustee, liquidator, receiver or administrator, with whatever right, title or interest he may have in the *Lot*;
- 2.1.3 except where the Sale is by an executor, trustee, liquidator, receiver or administrator the Seller is both legally entitled to sell the Lot, and legally capable of conferring on you quiet possession of the Lot and that the Sale conforms in every respect with the terms implied by the Sale of Goods Act 1979, Sections 12(1) and 12(2) (see the Definitions and Glossary);
- 2.1.4 the Seller has complied with all requirements, legal or otherwise, relating to any export or import of the Lot, and all duties and taxes in respect of the export or import of the Lot have (unless stated to the contrary in the Catalogue or announced by the Auctioneer) been paid and, so far as the Seller is aware, all third parties have complied with such requirements in the past;
- 2.1.5 subject to any alterations expressly identified as such made by announcement or notice at the Sale venue or by the Notice to Bidders or by an insert in the Catalogue, the Lot corresponds with the Contractual Description of the Lot, being that part of the Entry about the Lot in the Catalogue which is in bold letters and (except for colour) with any photograph of the Lot in the Catalogue and the contents of any Condition Report which has been provided to the Buyer.

#### 3 DESCRIPTIONS OF THE LOT

- 3.1 Paragraph 2.1.5 sets out what is the Contractual Description of the Lot. In particular, the Lot is not sold as corresponding with that part of the Entry in the Catalogue which is not printed in bold letters, which merely sets out (on the Seller's behalf) Bonhams' opinion about the Lot and which is not part of the Contractual Description upon which the Lot is sold. Any statement or representation other than that part of the Entry referred to in paragraph 2.1.5 (together with any express alteration to it as referred to in paragraph 2.1.5), including any Description or Estimate, whether made orally or in writing, including in the Catalogue or on Bonhams' Website, or by conduct, or otherwise, and whether by or on behalf of the Seller or Bonhams and whether made prior to or during the Sale, is not part of the Contractual Description upon which the Lot is sold.
- 3.2 Except as provided in paragraph 2.1.5, the Seller does not make or give and does not agree to make or give any contractual promise, undertaking, obligation, guarantee, warranty, or representation of fact, or undertake any duty of care, in relation to any Description of the Lot or any Estimate in relation to it, nor of the accuracy or completeness of any Description or Estimate which may have been made by or on behalf of the Seller including by Bonhams. No such Description or Estimate is incorporated into this Contract for Sale.

# FITNESS FOR PURPOSE AND SATISFACTORY QUALITY

4.1 The Seller does not make and does not agree to make any contractual promise, undertaking, obligation, guarantee, warranty, or representation of fact in relation to the satisfactory quality of the Lot or its fitness for any purpose. 4.2 The Seller will not be liable for any breach of any undertaking, whether implied by the Sale of Goods Act 1979 or otherwise, as to the satisfactory quality of the Lot or its fitness for any purpose.

#### 5 RISK, PROPERTY AND TITLE

- 5.1 Risk in the Lot passes to you when it is knocked down to you on the fall of the Auctioneer's hammer in respect of the Lot. The Seller will not be responsible thereafter for the Lot prior to you collecting it from Bonhams or the Storage Contractor, with whom you have separate contract(s) as Buyer. You will indemnify the Seller and keep the Seller fully indemnified from and against all claims, proceedings, costs, expenses and losses arising in respect of any injury, loss and damage caused to the Lot after the fall of the Auctioneer's hammer until you obtain full title to it.
- 5.2 Title to the Lot remains in and is retained by the Seller until the Purchase Price and all other sums payable by you to Bonhams in relation to the Lot have been paid in full to, and received in cleared funds by, Bonhams.

#### 6 PAYMENT

- Your obligation to pay the *Purchase Price* arises when the *Lot* is knocked down to you on the fall of the *Auctioneer's* hammer in respect of the *Lot*.
- 6.2 Time will be of the essence in relation to payment of the Purchase Price and all other sums payable by you to Bonhams. Unless agreed in writing with you by Bonhams on the Seller's behalf (in which case you must comply with the terms of that agreement), all such sums must be paid to Bonhams by you in the currency in which the Sale was conducted by not later than 4.30pm on the second working day following the Sale and you must ensure that the funds are cleared by the seventh working day after the Sale. Payment must be made to Bonhams by one of the methods stated in the Notice to Bidders unless otherwise agreed with you in writing by Bonhams. If you do not pay any sums due in accordance with this paragraph, the Seller will have the rights set out in paragraph 8 below.

#### COLLECTION OF THE LOT

7

7.1

- Unless otherwise agreed in writing with you by Bonhams, the Lot will be released to you or to your order only when Bonhams has received cleared funds to the amount of the full Purchase Price and all other sums owed by you to the Seller and to Bonhams.
- 7.2 The Seller is entitled to withhold possession from you of any other Lot he has sold to you at the same or at any other Sale and whether currently in Bonhams' possession or not until payment in full and in cleared funds of the Purchase Price and all other sums due to the Seller and/or Bonhams in respect of the Lot.
- 7.3 You will collect and remove the Lot at your own expense from Bonhams' custody and/ or control or from the Storage Contractor's custody in accordance with Bonhams' instructions or requirements.
- 7.4 You will be wholly responsible for packing, handling and transport of the Lot on collection and for complying with all import or export regulations in connection with the Lot.
- 7.5 You will be wholly responsible for any removal, storage or other charges or Expenses incurred by the Seller if you do not remove the Lot in accordance with this paragraph 7 and will indemnify the Seller against all charges, costs, including any legal costs and fees, Expenses and losses suffered by the Seller by reason of your failure to remove the Lot including any charges due under any Storage Contract. All such sums due to the Seller will be payable on demand.

#### FAILURE TO PAY FOR THE LOT

- 8.1 If the Purchase Price for a Lot is not paid to Bonhams in full in accordance with the Contract for Sale the Seller will be entitled, with the prior written agreement of Bonhams but without further notice to you, to exercise one or more of the following rights (whether through Bonhams or otherwise):
- 8.1.1 to terminate immediately the *Contract for Sale* of the *Lot* for your breach of contract;
- 8.1.2 to resell the *Lot* by auction, private treaty or any other means on giving seven days' written notice to you of the intention to resell;
- 8.1.3 to retain possession of the Lot;
- 8.1.4 to remove and store the Lot at your expense;
- 8.1.5 to take legal proceedings against you for any sum due under the *Contract for Sale* and/or damages for breach of contract;
- 8.1.6 to be paid interest on any monies due (after as well as before judgement or order) at the annual rate of 5% per annum above the base rate of National Westminster Bank Plc from time to time to be calculated on a daily basis from the date upon which such monies become payable until the date of actual payment;
- 8.1.7 to repossess the *Lot* (or any part thereof) which has not become your property, and for this purpose (unless the *Buyer* buys the *Lot* as a *Consumer* from the *Seller* selling in the course of a *Business*) you hereby grant an irrevocable licence to the *Seller* by himself and to his servants or agents to enter upon all or any of your premises (with or without vehicles) during normal *Business* hours to take possession of the *Lot* or part thereof;
- 8.1.8 to retain possession of any other property sold to you by the *Seller* at the *Sale* or any other auction or by private treaty until all sums due under the *Contract* for *Sale* shall have been paid in full in cleared funds;
- 8.1.9 to retain possession of, and on three months' written notice to sell, Without Reserve, any of your other property in the possession of the Seller and/or of Bonhams (as bailee for the Seller) for any purpose (including, without limitation, other goods sold to you) and to apply any monies due to you as a result of such Sale in satisfaction or part satisfaction of any amounts owed to the Seller or to Bonhams; and
- 8.1.10 so long as such goods remain in the possession of the Seller or Bonhams as its bailee, to rescind the contract for the Sale of any other goods sold to you by the Seller at the Sale or at any other auction or by private treaty and apply any monies received from you in respect of such goods in part or full satisfaction of any amounts owed to the Seller or to Bonhams by you.
- 8.2 You agree to indemnify the Seller against all legal and other costs of enforcement, all losses and other Expenses and costs (including any monies payable to Bonhams in order to obtain the release of the Lot) incurred by the Seller (whether or not court proceedings will have been issued) as a result of Bonhams taking steps under this paragraph 8 on a full indemnity basis together with interest thereon (after as well as before judgement or order) at the rate specified in paragraph 8.1.6 from the date upon which the Seller becomes liable to pay the same until payment by you.
- 8.3 On any resale of the Lot under paragraph 8.1.2, the Seller will account to you in respect of any balance remaining from any monies received by him or on his behalf in respect of the Lot, after the payment of all sums due to the Seller and to Bonhams, within 28 days of receipt of such monies by him or on his behalf

#### THE SELLER'S LIABILITY

- 9.1 The Seller will not be liable for any injury, loss or damage caused by the Lot after the fall of the Auctioneer's hammer in respect of the Lot.
- 9.2 Subject to paragraph 9.3 below, except for breach of the express undertaking provided in paragraph 2.1.5, the Seller will not be liable for any breach of any term that the Lot will correspond with any Description applied to it by or on behalf of the Seller, whether implied by the Sale of Goods Act 1979 or otherwise.
- 9.3 Unless the Seller sells the Lot in the course of a Business and the Buyer buys it as a Consumer,
- 9.3.1 the Seller will not be liable (whether in negligence, other tort, breach of contract or statutory duty or in restitution or under the Misrepresentation Act 1967, or in any other way) for any lack of conformity with, or inaccuracy, error, misdescription or omission in any Description of the Lot or any Entry or Estimate in relation to the Lot made by or on behalf of the Seller (whether made in writing, including in the Catalogue, or on the Website, or orally, or by conduct or otherwise) and whether made before or after this agreement or prior to or during the Sale;
- 9.3.2 the Seller will not be liable for any loss of Business, Business profits or revenue or income or for loss of reputation or for disruption to Business or wasted time on the part of the Buyer or of the Buyer's management or staff or, for any indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence, other tort, breach of contract, statutory duty, restitutionary claim or otherwise;
- 9.3.3 in any circumstances where the Seller is liable to you in respect of the Lot, or any act, omission, statement, or representation in respect of it, or this agreement or its performance, and whether in damages, for an indemnity or contribution or for a restitutionary remedy or in any way whatsoever, the Seller's liability will be limited to payment of a sum which will not exceed by way of maximum the amount of the Purchase Price of the Lot irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from any negligence, other tort, breach of contract, statutory duty, bailee's duty, restitutionary claim or otherwise.
- 9.4 Nothing set out in paragraphs 9.1 to 9.3 above will be construed as excluding or restricting (whether directly or indirectly) any person's liability or excluding or restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by the Seller's negligence (or any person under the Seller's control or for whom the Seller is legally responsible), or (iii) acts or omissions for which the Seller is liable under the Occupiers Liability Act 1957, or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law.

#### 10 MISCELLANEOUS

- 10.1 You may not assign either the benefit or burden of the *Contract for Sale*.
  - 7.2 The Seller's failure or delay in enforcing or exercising any power or right under the Contract for Sale will not operate or be deemed to operate as a waiver of his rights under it except to the extent of any express waiver given to you in writing. Any such waiver will not affect the Seller's ability subsequently to enforce any right arising under the Contract for Sale.

- 10.3 If either party to the Contract for Sale is prevented from performing that party's respective obligations under the Contract for Sale by circumstances beyond its reasonable control or if performance of its obligations would by reason of such circumstances give rise to a significantly increased financial cost to it, that party will not, for so long as such circumstances prevail, be required to perform such obligations. This paragraph does not apply to the obligations imposed on you by paragraph 6.
- 10.4 Any notice or other communication to be given under the Contract for Sale must be in writing and may be delivered by hand or sent by first class post or air mail or fax transmission, if to the Seller, addressed c/o Bonhams at its address or fax number in the Catalogue (marked for the attention of the Company Secretary), and if to you to the address or fax number of the Buyer given in the Bidding Form (unless notice of any change of address is given in writing). It is the responsibility of the sender of the notice or communication to ensure that it is received in a legible form within any applicable time period.
- 10.5 If any term or any part of any term of the Contract for Sale is held to be unenforceable or invalid, such unenforceability or invalidity will not affect the enforceability and validity of the remaining terms or the remainder of the relevant term.
- 10.6 References in the Contract for Sale to Bonhams will, where appropriate, include reference to Bonhams' officers, employees and agents.
- 10.7 The headings used in the *Contract for Sale* are for convenience only and will not affect its interpretation.
- 10.8 In the Contract for Sale "including" means "including, without limitation".
- 10.9 References to the singular will include reference to the plural (and vice versa) and reference to any one gender will include reference to the other genders.
- 10.10 Reference to a numbered paragraph is to a paragraph of the *Contract for Sale*.
- 10.11 Save as expressly provided in paragraph 10.12 nothing in the Contract for Sale confers (or purports to confer) on any person who is not a party to the Contract for Sale any benefit conferred by, or the right to enforce any term of, the Contract for Sale.
- 10.12 Where the Contract for Sale confers an immunity from, and/or an exclusion or restriction of, the responsibility and/or liability of the Seller, it will also operate in favour and for the benefit of Bonhams, Bonhams' holding company and the subsidiaries of such holding company and the successors and assigns of Bonhams and of such companies and of any officer, employee and agent of Bonhams and such companies, each of whom will be entitled to rely on the relevant immunity and/or exclusion and/or restriction within and for the purposes of Contracts (Rights of Third Parties) Act 1999, which enables the benefit of a contract to be extended to a person who is not a party to the contract, and generally at law.

#### 11 GOVERNING LAW

All transactions to which the Contract for Sale applies and all connected matters will be governed by and construed in accordance with the laws of that part of the United Kingdom where the Sale takes place and the Seller and you each submit to the exclusive jurisdiction of the courts of that part of the United Kingdom, save that the Seller may bring proceedings against you in any other court of competent jurisdiction to the extent permitted by the laws of the relevant jurisdiction. Bonhams has a complaints procedure in place.

#### APPENDIX 2

#### **BUYER'S AGREEMENT**

**IMPORTANT:** These terms may be changed in advance of the Sale of the Lot to you, by the setting out of different terms in the Catalogue for the Sale and/or by placing an insert in the Catalogue and/or by notices at the Sale venue and/or by oral announcements before and during the Sale at the Sale venue. You should be alert to this possibility of changes and ask in advance of bidding if there have been any.

#### 1 THE CONTRACT

- 1.1 These terms govern the contract between Bonhams personally and the Buyer, being the person to whom a Lot has been knocked down by the Auctioneer.
- 1.2 The Definitions and Glossary contained in Appendix 3 to the Catalogue for the Sale are incorporated into this agreement and a separate copy can also be provided by us on request. Where words and phrases which are defined in the List of Definitions are used in this agreement, they are printed in italics. Reference is made in this agreement to information printed in the Notice to Bidders, printed in the Catalogue for the Sale, and where such information is referred to it is incorporated into this agreement.
- 1.3 Except as specified in paragraph 4 of the *Notice to Bidders* the *Contract for Sale* of the *Lot* between you and the *Seller* is made on the fall of the *Auctioneer's* hammer in respect of the *Lot*, when it is knocked down to you. At that moment a separate contract is also made between you and *Bonhams* on the terms in this *Buyer's Agreement*.
- 1.4 We act as agents for the Seller and are not answerable or personally responsible to you for any breach of contract or other default by the Seller, unless Bonhams sells the Lot as principal.
- 1.5 Our personal obligations to you are governed by this agreement and we agree, subject to the terms below, to the following obligations:
- 1.5.1 we will, until the date and time specified in the Notice to Bidders or otherwise notified to you, store the Lot in accordance with paragraph 5;
- 1.5.2 subject to any power of the Seller or us to refuse to release the Lot to you, we will release the Lot to you in accordance with paragraph 4 once you have paid to us, in cleared funds, everything due to us and the Seller.
- 1.5.3 we will provide guarantees in the terms set out in paragraphs 9 and 10.
- 1.6 We do not make or give and do not agree to make or give any contractual promise, undertaking, obligation, Guarantee, warranty, representation of fact in relation to any Description of the Lot or any Estimate in relation to it, nor of the accuracy or completeness of any Description or Estimate which may have been made by us or on our behalf or by or on behalf of the Seller (whether made orally or in writing, including in the Catalogue or on Bonhams' Website, or by conduct, or otherwise), and whether made before or after this agreement or prior to or during the Sale. No such Description or Estimate is incorporated into this agreement between you and us. Any such Description or Estimate, if made by us or on our behalf, was (unless Bonhams itself sells the Lot as principal) made as agent on behalf of the

#### 2 PERFORMANCE OF THE CONTRACT FOR SALE

You undertake to us personally that you will observe and comply with all your obligations and undertakings to the Seller under the Contract for Sale in respect of the Lot.

#### 3 PAYMENT

- 3.1 Unless agreed in writing between you and us or as otherwise set out in the *Notice to Bidders*, you must pay to us by not later than 4.30pm on the second working day following the *Sale*:
- 3.1.1 the Purchase Price for the Lot;
- 3.1.2 a *Buyer's Premium* in accordance with the rates set out in the *Notice to Bidders*, and
- 3.1.3 if the Lot is marked [AR], an Additional Premium which is calculated and payable in accordance with the Notice to Bidders together with VAT on that sum if applicable so that all sums due to us are cleared funds by the seventh working day after the Sale.
- 3.2 You must also pay us on demand any *Expenses* payable pursuant to this agreement.
- 3.3 All payments to us must be made in the currency in which the Sale was conducted, using, unless otherwise agreed by us in writing, one of the methods of payment set out in the Notice to Bidders. Our invoices will only be addressed to the registered Bidder unless the Bidder is acting as an agent for a named principal and we have approved that arrangement, in which case we will address the invoice to the principal.
- 3.4 Unless otherwise stated in this agreement all sums payable to us will be subject to VAT at the appropriate rate and VAT will be payable by you on all such sums.
- 3.5 We may deduct and retain for our own benefit from the monies paid by you to us the Buyer's Premium, the Commission payable by the Seller in respect of the Lot, any Expenses and VAT and any interest earned and/or incurred until payment to the Seller.
- 3.6 Time will be of the essence in relation to any payment payable to us. If you do not pay the Purchase Price, or any other sum due to us in accordance with this paragraph 3, we will have the rights set out in paragraph 7 below.
- 8.7 Where a number of Lots have been knocked down to you, any monies we receive from you will be applied firstly pro-rata to pay the Purchase Price of each Lot and secondly pro-rata to pay all amounts due to Bonhams.

#### 4 COLLECTION OF THE LOT

- 4.1 Subject to any power of the Seller or us to refuse to release the Lot to you, once you have paid to us, in cleared funds, everything due to the Seller and to us, we will release the Lot to you or as you may direct us in writing. The Lot will only be released on production of a buyer collection document, obtained from our cashier's office.
- 4.2 You must collect and remove the Lot at your own expense by the date and time specified in the Notice to Bidders, or if no date is specified, by 4.30pm on the seventh day after the Sale.
- 4.3 For the period referred to in paragraph 4.2, the Lot can be collected from the address referred to in the Notice to Bidders for collection on the days and times specified in the Notice to Bidders. Thereafter, the Lot may be removed elsewhere for storage and you must enquire from us as to when and where you can collect it, although this information will usually be set out in the Notice to Bidders.

- 4.4 If you have not collected the Lot by the date specified in the Notice to Bidders, you authorise us, acting as your agent and on your behalf, to enter into a contract (the "Storage Contract") with the Storage Contractor for the storage of the Lot on the then current standard terms and conditions agreed between Bonhams and the Storage Contractor (copies of which are available on request). If the Lot is stored at our premises storage fees at our current daily rates (currently a minimum of £3 plus VAT per Lot per day) will be payable from the expiry of the period referred to in paragraph 4.2. These storage fees form part of our Expenses.
- 4.5 Until you have paid the *Purchase Price* and any *Expenses* in full the *Lot* will either be held by us as agent on behalf of the *Seller* or held by the *Storage Contractor* as agent on behalf of the *Seller* and ourselves on the terms contained in the *Storage Contract*
- 4.6 You undertake to comply with the terms of any Storage Contract and in particular to pay the charges (and all costs of moving the Lot into storage) due under any Storage Contract. You acknowledge and agree that you will not be able to collect the Lot from the Storage Contractor's premises until you have paid the Purchase Price, any Expenses and all charges due under the Storage Contract.
- 4.7 You will be wholly responsible for packing, handling and transport of the Lot on collection and for complying with all import or export regulations in connection with the Lot.
- 4.8 You will be wholly responsible for any removal, storage, or other charges for any Lot not removed in accordance with paragraph 4.2, payable at our current rates, and any Expenses we incur (including any charges due under the Storage Contract), all of which must be paid by you on demand and in any event before any collection of the Lot by you or on your behalf.

#### STORING THE LOT

5

We agree to store the Lot until the earlier of your removal of the Lot or until the time and date set out in the Notice to Bidders, on the Sale Information Page or at the back of the catalogue (or if no date is specified, by 4.30pm on the seventh day after the Sale) and, subject to paragraphs 6 and 10, to be responsible as bailee to you for damage to or the loss or destruction of the Lot (notwithstanding that it is not your property before payment of the Purchase Price). If you do not collect the Lot before the time and date set out in the Notice to Bidders (or if no date is specified, by 4.30pm on the seventh day after the Sale) we may remove the Lot to another location, the details of which will usually be set out in the relevant section of the Catalogue. If you have not paid for the Lot in accordance with paragraph 3, and the Lot is moved to any third party's premises, the Lot will be held by such third party strictly to Bonhams' order and we will retain our lien over the Lot until we have been paid in full in accordance with paragraph 3.

#### 6 RESPONSIBILITY FOR THE LOT

- 6.1 Only on the payment of the Purchase Price to us will title in the Lot pass to you. However under the Contract for Sale, the risk in the Lot passed to you when it was knocked down to you.
- 6.2 You are advised to obtain insurance in respect of the *Lot* as soon as possible after the *Sale*.

# 7 FAILURE TO PAY OR TO REMOVE THE LOT AND PART PAYMENTS

- 7.1 If all sums payable to us are not so paid in full at the time they are due and/or the Lot is not removed in accordance with this agreement, we will without further notice to you be entitled to exercise one or more of the following rights (without prejudice to any rights we may exercise on behalf of the Seller):
- 7.1.1 to terminate this agreement immediately for your breach of contract:
- 7.1.2 to retain possession of the Lot;
- 7.1.3 to remove, and/or store the Lot at your expense;
- 7.1.4 to take legal proceedings against you for payment of any sums payable to us by you (including the Purchase Price) and/or damages for breach of contract:
- 7.1.5 to be paid interest on any monies due to us (after as well as before judgement or order) at the annual rate of 5% per annum above the base lending rate of National Westminster Bank Plc from time to time to be calculated on a daily basis from the date upon which such monies become payable until the date of actual payment;
- 7.1.6 to repossess the Lot (or any part thereof) which has not become your property, and for this purpose (unless you buy the Lot as a Consumer) you hereby grant an irrevocable licence to us, by ourselves, our servants or agents, to enter upon all or any of your premises (with or without vehicles) during normal business hours to take possession of any Lot or part thereof:
- 7.1.7 to sell the *Lot Without Reserve* by auction, private treaty or any other means on giving you three months' written notice of our intention to do so;
- 7.1.8 to retain possession of any of your other property in our possession for any purpose (including, without limitation, other goods sold to you or with us for Sale) until all sums due to us have been paid in full;
- 7.1.9 to apply any monies received from you for any purpose whether at the time of your default or at any time thereafter in payment or part payment of any sums due to us by you under this agreement;
- 7.1.10 on three months' written notice to sell, Without Reserve, any of your other property in our possession or under our control for any purpose (including other goods sold to you or with us for Sale) and to apply any monies due to you as a result of such Sale in payment or part payment of any amounts owed to US:
- 7.1.11 refuse to allow you to register for a future Sale or to reject a bid from you at any future Sale or to require you to pay a deposit before any bid is accepted by us at any future Sale in which case we will be entitled to apply such deposit in payment or part payment, as the case may be, of the Purchase Price of any Lot of which you are the Buyer.
- 7.2 You agree to indemnify us against all legal and other costs, all losses and all other Expenses (whether or not court proceedings will have been issued) incurred by us as a result of our taking steps under this paragraph 7 on a full indemnity basis together with interest thereon (after as well as before judgement or order) at the rate specified in paragraph 7.1.5 from the date upon which we become liable to pay the same until payment by you.

- 7.3 If you pay us only part of the sums due to us such payment shall be applied firstly to the Purchase Price of the Lot (or where you have purchased more than one Lot pro-rata towards the Purchase Price of each Lot) and secondly to the Buyer's Premium (or where you have purchased more than one Lot pro-rata to the Buyer's Premium on each Lot) and thirdly to any other sums due to us.
- 7.4 We will account to you in respect of any balance we hold remaining from any monies received by us in respect of any Sale of the Lot under our rights under this paragraph 7 after the payment of all sums due to us and/or the Seller within 28 days of receipt by us of all such sums paid to us.

### 8 CLAIMS BY OTHER PERSONS IN RESPECT OF THE LOT

- 8.1 Whenever it becomes apparent to us that the *Lot* is the subject of a claim by someone other than you and other than the *Seller* (or that such a claim can reasonably be expected to be made), we may, at our absolute discretion, deal with the *Lot* in any manner which appears to us to recognise the legitimate interests of ourselves and the other parties involved and lawfully to protect our position and our legitimate interests. Without prejudice to the generality of the discretion and by way of example,
- 8.1.1 retain the *Lot* to investigate any question raised or reasonably expected by us to be raised in relation to the *Lot*; and/or
- 8.1.2 deliver the Lot to a person other than you; and/or
- 8.1.3 commence interpleader proceedings or seek any other order of any court, mediator, arbitrator or government body; and/or
- 8.1.4 require an indemnity and/or security from you in return for pursuing a course of action agreed to by you.
- 8.2 The discretion referred to in paragraph 8.1:
- 8.2.1 may be exercised at any time during which we have actual or constructive possession of the Lot, or at any time after such possession, where the cessation of such possession has occurred by reason of any decision, order or ruling of any court, mediator, arbitrator or government body; and
- 8.2.2 will not be exercised unless we believe that there exists a serious prospect of a good arguable case in favour of the claim.

#### 9 FORGERIES

- 9.1 We undertake a personal responsibility for any Forgery in accordance with the terms of this paragraph 9.
- 9.2 Paragraph 9 applies only if:
- 9.2.1 your name appears as the named person to whom the original invoice was made out by us in respect of the *Lot* and that invoice has been paid; and
- 9.2.2 you notify us in writing as soon as reasonably practicable after you have become aware that the Lot is or may be a Forgery, and in any event within one year after the Sale, that the Lot is a Forgery, and
- 9.2.3 within one month after such notification has been given, you return the Lot to us in the same condition as it was at the time of the Sale, accompanied by written evidence that the Lot is a Forgery and details of the Sale and Lot number sufficient to identify the

- 9.3 Paragraph 9 will not apply in respect of a Forgery if:
- 9.3.1 the Entry in relation to the Lot contained in the Catalogue reflected the then accepted general opinion of scholars and experts or fairly indicated that there was a conflict of such opinion or reflected the then current opinion of an expert acknowledged to be a leading expert in the relevant field; or
- 9.3.2 it can be established that the Lot is a Forgery only by means of a process not generally accepted for use until after the date on which the Catalogue was published or by means of a process which it was unreasonable in all the circumstances for us to have employed.
- 9.4 You authorise us to carry out such processes and tests on the *Lot* as we in our absolute discretion consider necessary to satisfy ourselves that the *Lot* is or is not a *Forgery*.
- 9.5 If we are satisfied that a Lot is a Forgery we will (as principal) purchase the Lot from you and you will transfer the title to the Lot in question to us, with full title guarantee, free from any liens, charges, encumbrances and adverse claims, in accordance with the provisions of Sections 12(1) and 12(2) of the Sale of Goods Act 1979 and we will pay to you an amount equal to the sum of the Purchase Price, Buyer's Premium, VAT and Expenses paid by you in respect of the Lot.
- 9.6 The benefit of paragraph 9 is personal to, and incapable of assignment by, you.
- 9.7 If you sell or otherwise dispose of your interest in the Lot, all rights and benefits under this paragraph will
- 9.8 Paragraph 9 does not apply to a Lot made up of or including a Chinese painting or Chinese paintings, a motor vehicle or motor vehicles, a Stamp or Stamps or a Book or Books.

#### 10 OUR LIABILITY

- 10.1 We will not be liable whether in negligence, other tort, breach of contract or statutory duty or in restitution or under the Misrepresentation Act 1967 or in any other way for lack of conformity with or any inaccuracy, error, misdescription or omission in any Description of the Lot or any Entry or Estimate in respect of it, made by us or on our behalf or by or on behalf of the Seller (whether made in writing, including in the Catalogue, or on the Bonhams' Website, or orally, or by conduct or otherwise) and whether made before or after this agreement or prior to or during the Sale.
- 10.2 Our duty to you while the Lot is at your risk and/or your property and in our custody and/or control is to exercise reasonable care in relation to it, but we will not be responsible for damage to the Lot or to other persons or things caused by:
- 10.2.1 handling the *Lot* if it was affected at the time of *Sale* to you by woodworm and any damage is caused as a result of it being affected by woodworm; or
- 10.2.2 changes in atmospheric pressure; nor will we be liable for:
- 10.2.3 damage to tension stringed musical instruments; or
- 10.2.4 damage to gilded picture frames, plaster picture frames or picture frame glass; and if the Lot is or becomes dangerous, we may dispose of it without notice to you in advance in any manner we think fit and we will be under no liability to you for doing so.

- 10.3.1 We will not be liable to you for any loss of Business, Business profits, revenue or income or for loss of Business profits, revenue or income or for loss of Business reputation or for disruption to Business or wasted time on the part of the Buyer's management or staff or, if you are buying the Lot in the course of a Business, for any indirect losses or consequential damages of any kind, irrespective in any case of the nature, volume or source of the loss or damage alleged to be suffered, and irrespective of whether the said loss or damage is caused by or claimed in respect of any negligence, other tort, breach of contract, statutory duty, bailee's duty, a restitutionary claim or otherwise.
- 10.3.2 Unless you buy the Lot as a Consumer, in any circumstances where we are liable to you in respect of a Lot, or any act, omission, statement, representation in respect of it, or this agreement or its performance, and whether in damages, for an indemnity or contribution or for a restitutionary remedy or in any way whatsoever, our liability will be limited to payment of a sum which will not exceed by way of maximum the amount of the Purchase Price of the Lot plus Buyer's Premium (less any sum you may be entitled to recover from the Seller) irrespective in any case of the nature, volume or source of any loss or damage alleged to be suffered or sum claimed as due, and irrespective of whether the liability arises from negligence, other tort, breach of contract, statutory duty, bailee's duty, a restitutionary claim or otherwise.

You may wish to protect yourself against loss by obtaining insurance.

10.4 Nothing set out above will be construed as excluding or restricting (whether directly or indirectly) any person's liability or excluding or restricting any person's rights or remedies in respect of (i) fraud, or (ii) death or personal injury caused by our negligence (or any person under our control or for whom we are legally responsible), or (iii) acts or omissions for which we are liable under the Occupiers Liability Act 1957, or (iv) any other liability to the extent the same may not be excluded or restricted as a matter of law, or (v) under our undertaking in paragraph 9 of these conditions

#### 11 BOOKS MISSING TEXT OR ILLUSTRATIONS

Where the *Lot* is made up wholly of a *Book* or *Books* and any *Book* does not contain text or illustrations (in either case referred to as a "non-conforming *Lot*"), we undertake a personal responsibility for such a non-conforming *Lot* in accordance with the terms of this paragraph, if:

the original invoice was made out by us to you in respect of the *Lot* and that invoice has been paid; and

you notify us in writing as soon as reasonably practicable after you have become aware that the *Lot* is or may be a non-conforming *Lot*, and in any event within 20 days after the *Sale* (or such longer period as we may agree in writing) that the *Lot* is a non-conforming *Lot*; and

within 20 days of the date of the relevant Sale (or such longer period as we may agree in writing) you return the Lot to us in the same condition as it was at the time of the Sale, accompanied by written evidence that the Lot is a non-conforming Lot and details of the Sale and Lot number sufficient to identify the Lot.

#### but not if:

the *Entry* in the *Catalogue* in respect of the *Lot* indicates that the rights given by this paragraph do not apply to it; or

the Entry in the Catalogue in respect of the Lot reflected the then accepted general opinion of scholars and experts or fairly indicated that there was a conflict of such opinion; or

it can be established that the *Lot* is a non-conforming *Lot* only by means of a process not generally accepted for use until after the date on which the *Catalogue* was published or by means of a process which it was unreasonable in all the circumstances for us to have employed; or

the *Lot* comprises atlases, maps, autographs, manuscripts, extra illustrated books, music or periodical publications; or

the Lot was listed in the Catalogue under "collections" or "collections and various" or the Lot was stated in the Catalogue to comprise or contain a collection, issue or Books which are undescribed or the missing text or illustrations are referred to or the relevant parts of the Book contain blanks, half titles or advertisements.

If we are reasonably satisfied that a Lot is a nonconforming Lot, we will (as principal) purchase the Lot from you and you will transfer the title to the Lot in question to us, with full title guarantee, free from any liens, charges, encumbrances and adverse claims and we will pay to you an amount equal to the sum of the Purchase Price and Buyer's Premium paid by you in respect of the Lot.

The benefit of paragraph 10 is personal to, and incapable of assignment by, you and if you sell or otherwise dispose of your interest in the *Lot*, all rights and benefits under this paragraph will cease.

#### 12 MISCELLANEOUS

- 12.1 You may not assign either the benefit or burden of this agreement.
- 12.2 Our failure or delay in enforcing or exercising any power or right under this agreement will not operate or be deemed to operate as a waiver of our rights under it except to the extent of any express waiver given to you in writing. Any such waiver will not affect our ability subsequently to enforce any right arising under this agreement.
- 12.3 If either party to this agreement is prevented from performing that party's respective obligations under this agreement by circumstances beyond its reasonable control or if performance of its obligations would by reason of such circumstances give rise to a significantly increased financial cost to it, that party will not, for so long as such circumstances prevail, be required to perform such obligations. This paragraph does not apply to the obligations imposed on you by paragraph 3.
- 12.4 Any notice or other communication to be given under this agreement must be in writing and may be delivered by hand or sent by first class post or air mail or fax transmission (if to Bonhams marked for the attention of the Company Secretary), to the address or fax number of the relevant party given in the Contract Form (unless notice of any change of address is given in writing). It is the responsibility of the sender of the notice or communication to ensure that it is received in a legible form within any applicable time period.
- 12.5 If any term or any part of any term of this agreement is held to be unenforceable or invalid, such unenforceability or invalidity will not affect the enforceability and validity of the remaining terms or the remainder of the relevant term.
- 12.6 References in this agreement to Bonhams will, where appropriate, include reference to Bonhams' officers, employees and agents.

- 12.7 The headings used in this agreement are for convenience only and will not affect its interpretation.
- 12.8 In this agreement "including" means "including, without limitation".
- 12.9 References to the singular will include reference to the plural (and vice versa) and reference to any one gender will include reference to the other genders.
- 12.10 Reference to a numbered paragraph is to a paragraph of this agreement.
- 12.11 Save as expressly provided in paragraph 12.12 nothing in this agreement confers (or purports to confer) on any person who is not a party to this agreement any benefit conferred by, or the right to enforce any term of, this agreement.
- 12.12 Where this agreement confers an immunity from, and/or an exclusion or restriction of, the responsibility and/or liability of Bonhams, it will also operate in favour and for the benefit of Bonhams' holding company and the subsidiaries of such holding company and the successors and assigns of Bonhams and of such companies and of any officer, employee and agent of Bonhams and such companies, each of whom will be entitled to rely on the relevant immunity and/or exclusion and/or restriction within and for the purposes of Contracts (Rights of Third Parties) Act 1999, which enables the benefit of a contract to be extended to a person who is not a party to the contract, and generally at law.

#### 13 GOVERNING LAW

All transactions to which this agreement applies and all connected matters will be governed by and construed in accordance with the laws of that part of the United Kingdom where the Sale takes (or is to take) place and we and you each submit to the exclusive jurisdiction of the courts of that part of the United Kingdom, save that we may bring proceedings against you in any other court of competent jurisdiction to the extent permitted by the laws of the relevant jurisdiction. Bonhams has a complaints procedure in place.

#### DATA PROTECTION - USE OF YOUR INFORMATION

Where we obtain any personal information about you, we shall only use it in accordance with the terms of our Privacy Policy (subject to any additional specific consent(s) you may have given at the time your information was disclosed). A copy of our Privacy Policy can be found on our Website www.bonhams. com or requested by post from Customer Services Department, 101 New Bond Street, London W15 1SR, United Kingdom or by email from info@bonhams.com.

#### **APPENDIX 3**

#### **DEFINITIONS AND GLOSSARY**

Where these Definitions and Glossary are incorporated, the following words and phrases used have (unless the context otherwise requires) the meanings given to them below. The Glossary is to assist you to understand words and phrases which have a specific legal meaning with which you may not be familiar.

#### LIST OF DEFINITIONS

- "Additional Premium" a premium, calculated in accordance with the Notice to Bidders, to cover Bonhams' Expenses relating to the payment of royalties under the Artists Resale Right Regulations 2006 which is payable by the Buyer to Bonhams on any Lot marked [AR] which sells for a Hammer Price which together with the Buyer's Premium (but excluding any VAT) equals or exceeds 1000 euros (converted into the currency of the Sale using the European Central Bank Reference rate prevailing on the date of the Sale).
- "Auctioneer" the representative of *Bonhams* conducting the *Sale*.

- "Bidder" a person who has completed a Bidding Form.
- "Bidding Form" our Bidding Registration Form, our Absentee Bidding Form or our Telephone Bidding Form.
- "Bonhams" Bonhams 1793 Limited or its successors or assigns. Bonhams is also referred to in the Buyer's Agreement, the Conditions of Business and the Notice to Bidders by the words "we", "us" and "our".
- "Book" a printed Book offered for Sale at a specialist Book Sale
- "Business" includes any trade, Business and profession.
- "Buyer" the person to whom a Lot is knocked down by the Auctioneer. The Buyer is also referred to in the Contract for Sale and the Buyer's Agreement by the words "you" and "your".
- "Buyer's Agreement" the contract entered into by Bonhams with the Buyer (see Appendix 2 in the Catalogue).
- "Buyer's Premium" the sum calculated on the Hammer Price at the rates stated in the Notice to Bidders.
- "Catalogue" the Catalogue relating to the relevant Sale, including any representation of the Catalogue published on our Website.
- "Commission" the Commission payable by the Seller to Bonhams calculated at the rates stated in the Contract Form.
- "Condition Report" a report on the physical condition of a Lot provided to a Bidder or potential Bidder by Bonhams on behalf of the Seller.
- "Conditions of Sale" the Notice to Bidders, Contract for Sale, Buyer's Agreement and Definitions and Glossary.
- "Consignment Fee" a fee payable to Bonhams by the Seller calculated at rates set out in the Conditions of Business.
- "Consumer" a natural person who is acting for the relevant purpose outside his trade, *Business* or profession.
- "Contract Form" the Contract Form, or vehicle Entry form, as applicable, signed by or on behalf of the Seller listing the Lots to be offered for Sale by Bonhams.
- "Contract for Sale" the Sale contract entered into by the Seller with the Buyer (see Appendix 1 in the Catalogue).
- "Contractual Description" the only Description of the Lot (being that part of the Entry about the Lot in the Catalogue which is in bold letters, any photograph (except for the colour) and the contents of any Condition Report) to which the Seller undertakes in the Contract of Sale the Lot corresponds.
- "Description" any statement or representation in any way descriptive of the *Lot*, including any statement or representation relating to its authorship, attribution, condition, provenance, authenticity, style, period, age, suitability, quality, origin, value, estimated selling price (including the *Hammer Brice*)
- "Entry" a written statement in the Catalogue identifying the Lot and its Lot number which may contain a Description and illustration(s) relating to the Lot.
- **"Estimate"** a statement of our opinion of the range within which the hammer is likely to fall.
- "Expenses" charges and Expenses paid or payable by Bonhams in respect of the Lot including legal Expenses, banking charges and Expenses incurred as a result of an electronic transfer of money, charges and Expenses for loss and damage cover, insurance, Catalogue and other reproductions and illustrations, any customs duties, advertising, packing or shipping costs, reproductions rights' fees, taxes, levies, costs of testing, searches or enquiries, preparation of the Lot for Sale, storage charges, removal charges, removal charges or costs of collection from the Seller as the Seller's agents or from a defaulting Buyer, plus VAT if applicable.
- "Forgery" an imitation intended by the maker or any other person to deceive as to authorship, attribution, origin, authenticity, style, date, age, period, provenance, culture, source or composition, which at the date of the Sale had a value materially less than it would have had if the Lot had not been such an imitation, and which is not stated to be such an imitation in any description of the Lot. A Lot will not be a Forgery by reason of any damage to, and/or restoration and/or modification work (including repainting or over painting) having been carried out on the Lot, where that damage, restoration or modification work (as the case may be) does not substantially affect the identity of the Lot as one conforming to the Description of the Lot.
- "Guarantee" the obligation undertaken personally by Bonhams to the Buyer in respect of any Forgery and, in the case of specialist Stamp Sales and/or specialist Book Sales, a Lot made up of a Stamp or Stamps or a Book or Books as set out in the Buyer's Agreement.
- "Hammer Price" the price in the currency in which the Sale is conducted at which a Lot is knocked down by the Auctioneer.

- "Loss and Damage Warranty" means the warranty described in paragraph 8.2 of the Conditions of Business.
- "Loss and Damage Warranty Fee" means the fee described in paragraph 8.2.3 of the Conditions of Business.
- "Lot" any item consigned to Bonhams with a view to its Sale at auction or by private treaty (and reference to any Lot will include, unless the context otherwise requires, reference to individual items comprised in a group of two or more items offered for Sale as one Lot).
- "Motoring Catalogue Fee" a fee payable by the Seller to Bonhams in consideration of the additional work undertaken by Bonhams in respect of the cataloguing of motor vehicles and in respect of the promotion of Sales of motor vehicles.
- "New Bond Street" means Bonhams' saleroom at 101 New Bond Street, London W1S 1SR.
- "Notional Charges" the amount of Commission and VAT which would have been payable if the Lot had been sold at the Notional Price.
- "Notional Fee" the sum on which the Consignment Fee payable to Bonhams by the Seller is based and which is calculated according to the formula set out in the Conditions of Business
- "Notional Price" the latest in time of the average of the high and low Estimates given by us to you or stated in the Catalogue or, if no such Estimates have been given or stated, the Reserve applicable to the Lot.
- "Notice to Bidders" the notice printed at the back or front of our Catalogues.
- "Purchase Price" the aggregate of the Hammer Price and VAT on the Hammer Price (where applicable), the Buyer's Premium and VAT on the Buyer's Premium and any Expenses.
- "Reserve" the minimum price at which a *Lot* may be sold (whether at auction or by private treaty).
- "Sale" the auction Sale at which a Lot is to be offered for Sale by Bonhams.
- "Sale Proceeds" the net amount due to the Seller from the Sale of a Lot, being the Hammer Price less the Commission, any VAT chargeable thereon, Expenses and any other amount due to us in whatever capacity and howsoever arising.
- "Seller" the person who offers the Lot for Sale named on the Contract Form. Where the person so named identifies on the form another person as acting as his agent, or where the person named on the Contract Form acts as an agent for a principal (whether such agency is disclosed to Bonhams or not), "Seller" includes both the agent and the principal who shall be jointly and severally liable as such. The Seller is also referred to in the Conditions of Business by the words "you" and "your". "Specialist Examination" a visual examination of a Lot by a
- specialist on the *Lot*.

  "Stamp" means a postage *Stamp* offered for *Sale* at a Specialist *Stamp Sale*.
- **"Standard Examination"** a visual examination of a *Lot* by a non-specialist member of *Bonhams'* staff.
- "Storage Contract" means the contract described in paragraph 8.3.3 of the Conditions of Business or paragraph 4.4 of the *Buyer's Agreement* (as appropriate).
- "Storage Contractor" means the company identified as such in the Catalogue.
- "Terrorism" means any act or threatened act of terrorism, whether any person is acting alone or on behalf of or in connection with any organisation(s) and/or government(s), committed for political, religious or ideological or similar purposes including, but not limited to, the intention to influence any government and/or put the public or any section of the public into fear.
- "Trust Account" the bank account of Bonhams into which all sums received in respect of the Purchase Price of any Lot will be paid, such account to be a distinct and separate account to Bonhams' normal business bank account.
- "VAT" value added tax at the prevailing rate at the date of the Sale in the United Kingdom.
- "Website" Bonhams Website at www.bonhams.com
- "Withdrawal Notice" the Seller's written notice to Bonhams revoking Bonhams' instructions to sell a Lot.
- "Without Reserve" where there is no minimum price at which a *Lot* may be sold (whether at auction or by private treaty).

#### **GLOSSARY**

The following expressions have specific legal meanings with which you may not be familiar. The following glossary is intended to give you an understanding of those expressions but is not intended to limit their legal meanings:

- "artist's resale right": the right of the creator of a work of art to receive a payment on Sales of that work subsequent to the original Sale of that work by the creator of it as set out in the Artists Resale Right Regulations 2006.
- "bailee": a person to whom goods are entrusted.
- "indemnity": an obligation to put the person who has the benefit of the indemnity in the same position in which he would have been, had the circumstances giving rise to the indemnity not arisen and the expression "indemnify" is construed accordingly.
- "interpleader proceedings": proceedings in the Courts to determine ownership or rights over a *Lot*.
- "knocked down": when a Lot is sold to a Bidder, indicated by the fall of the hammer at the Sale.
- "lien": a right for the person who has possession of the *Lot* to retain possession of it.
- "risk": the possibility that a Lot may be lost, damaged, destroyed, stolen, or deteriorate in condition or value.
- "title": the legal and equitable right to the ownership of a Lot.
  "tort": a legal wrong done to someone to whom the wrong doer has a duty of care.

#### **SALE OF GOODS ACT 1979**

The following is an extract from the Sale of Goods Act 1979:

"Section 12 Implied terms about title, etc

- (1) In a contract of sale, other than one to which subsection (3) below applies, there is an implied term on the part of the seller that in the case of a sale he has a right to sell the goods, and in the case of an agreement to sell he will have such a right at the time when the property is to pass.
- In a contract of sale, other than one to which subsection
   below applies, there is also an implied term that-
  - (a) the goods are free, and will remain free until the time when the property is to pass, from any charge or encumbrance not disclosed or known to the buyer before the contract is made, and
  - (b) the buyer will enjoy quiet possession of the goods except in so far as it may be disturbed by the owner or other person entitled to the benefit of any charge or encumbrance so disclosed or known.
- (3) This subsection applies to a contract of sale in the case of which there appears from the contract or is to be inferred from its circumstances an intention that the seller should transfer only such title as he or a third person may have.
- (4) In a contract to which subsection (3) above applies there is an implied term that all charges or encumbrances known to the seller and not known to the buyer have been disclosed to the buyer before the contract is made.
- (5) In a contract to which subsection (3) above applies there is also an implied term that none of the following will disturb the buyer's quiet possession of the goods, namely:
  - (a) the seller:
  - (b) in a case where the parties to the contract intend that the seller should transfer only such title as a third person may have, that person;
  - (c) anyone claiming through or under the seller or that third person otherwise than under a charge or encumbrance disclosed or known to the buyer before the contract is made.
- (5A) As regards England and Wales and Northern Ireland, the term implied by subsection (1) above is a condition and the terms implied by subsections (2), (4) and (5) above are warranties."

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